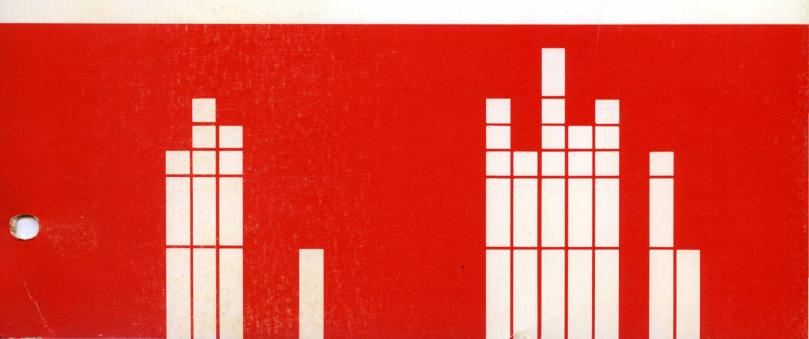
GC31-2033-3

4700 Finance Communication System

Subsystem Problem Determination Guide

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4700 Finance Communication System

Subsystem Problem Determination Guide

Publication Number GC31-2033-3

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Fourth Edition (August 1985)

It is the responsibility of the user to establish and maintain appropriate operating procedures for the equipment and system, including those related to the integrity and security of the system, together with audit and control measures.

This edition, GC31-2033-3, is a major revision of GC31-2033-2, which is obsolete. This publication is current with the following releases:

- Controller data support for IBM 4701 Controller Models 1 and 2, EC level 325250.
- Controller data support for IBM 4701 Controller Model 3 and for the IBM 4702 Processor, EC level 325251.

Technical changes are indicated by a vertical line to the left of each change.

Changes occur often to the information herein: before using this publication in connection with the installation or operation of IBM equipment, consult the latest *IBM System/370 Bibliography of Industry Systems and Application Programs*, GC20-0370, for the editions that are applicable and current.

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Preface

This manual is for customer personnel who are diagnosing problems in the IBM 4700 Finance Communication System. Its detailed procedures describe how to analyze each of the major elements of the system and find the source of a system problem.

This manual is also for system support personnel who have the responsibility for maintaining and trouble-shooting the 4700. With some training, the manual can be used by personnel at a branch location.

The user of this manual should:

- 1. Be able to access the system monitor.
- 2. Be able to use the system monitor to retrieve data or request function.
- 3. Be familiar with the operator control panel on the controller.
- 4. Know the location of the speed and address switches on the terminals and how to set them.
- 5. Know how to initiate the internal stand-alone tests of the modems.
- 6. Be able to read a loop layout diagram and trace the loop cabling.

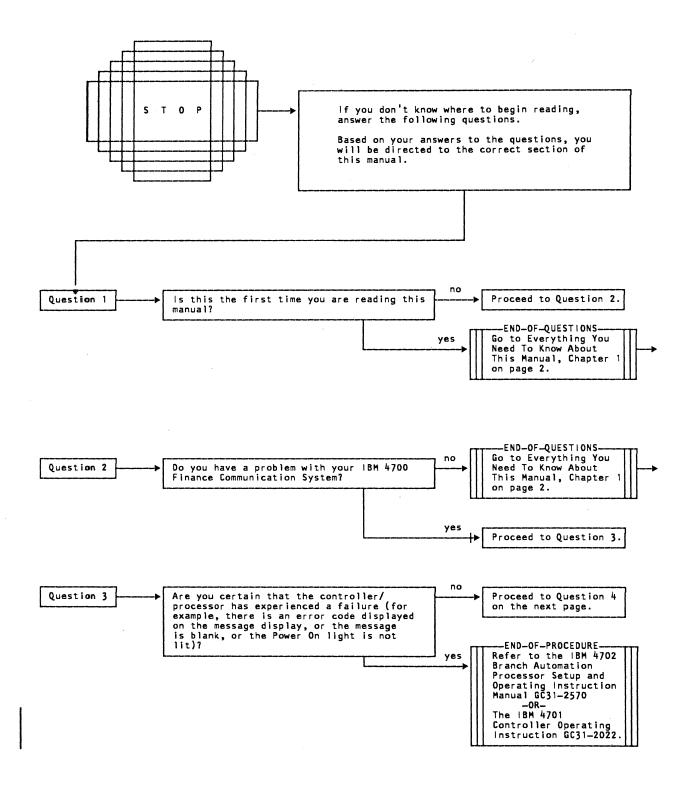
This publication contains these sections:

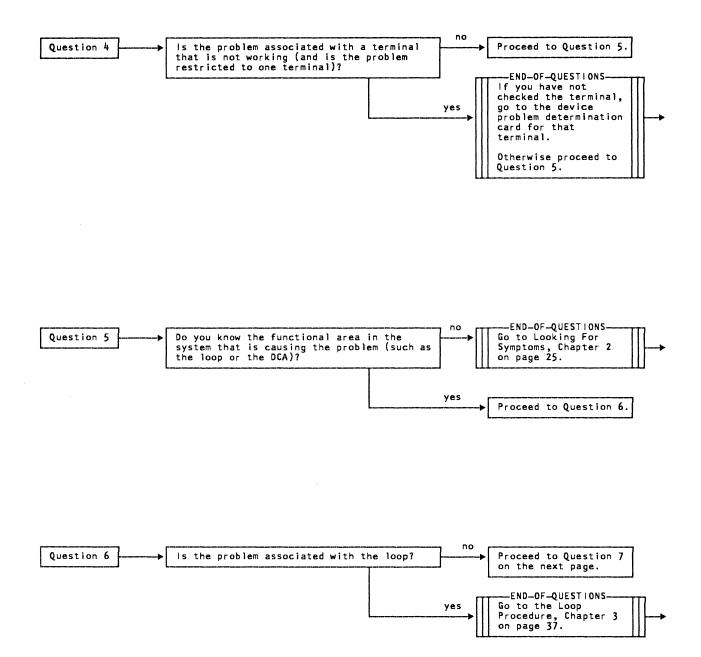
- An explanation of the manual which you should read before attempting to use the procedures.
- A procedure to find meaningful symptoms of the problem.
- Functional procedures to use in finding the source of the problem.
- A description of how the major system functions work.

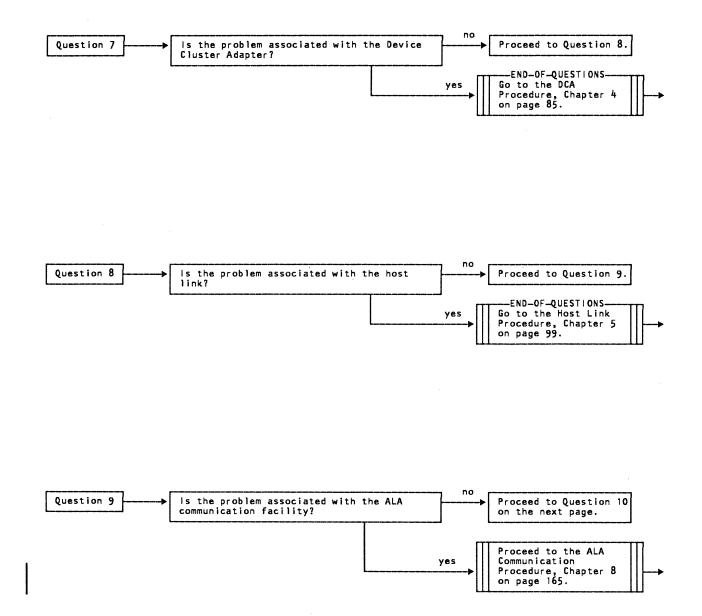
Related publications include:

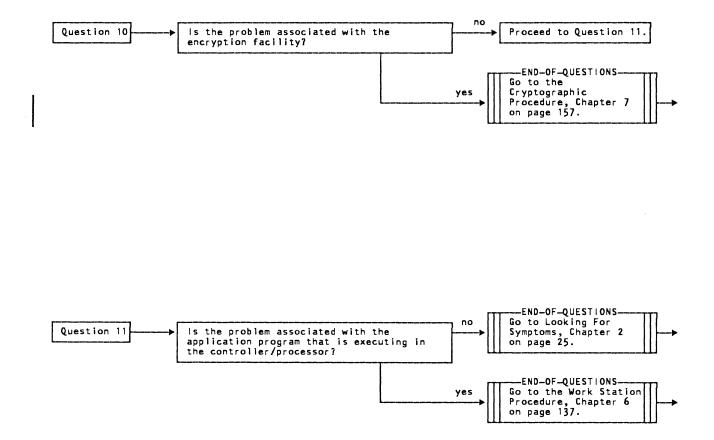
- IBM 4704 Display Station Problem Determination Card, GC31-2035
- IBM 4700 Finance Communication System Subsystem Operating Procedures, GC31-2032.
- IBM 4702 Branch Automation Processor Setup and Operating Instruction, GC31-2570
- IBM 4701 Controller Operating Instruction, GC31-2022.

Before attempting to use the procedures in this manual, read "Chapter 1, Everything You Need to Know About This Manual."









IBM 4700 Finance Communication System

Summary of Changes to GC31-2033-2 by GC31-2033-3

This revision includes substantial changes for the support of the IBM 4702. Specifically, there are changes to the Loop Problem Determination Procedure (PDP01), DCA Problem Determination Procedure (PDP02), and related changes to the Preface, Definition of Terms, and Looking for Symptoms. The Controller Problem Determination Procedure (PDP05) has been removed from this manual and is now described in the *IBM 4702 Branch Automation Processor Setup and Operating Instruction*, GC31-2570. The Controller Description, formerly Appendix 3, has also been deleted.

IBM 4700 Finance Communication System

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IBM 4700 Finance Communication System

Chapter One

Everything You Need to Know About This Manual

Subsystem Problem Determination Guide

Chapter One

Everything You Need to Know About This Manual

Purpose of the Manual

This manual is intended for use by customer personnel in diagnosing problems in the IBM 4700 Finance Communication System.

It tells in detail how to examine each major system element to find the source of a system problem.

Organization of the Manual

The manual is organized into four main sections:

- The Introduction explains the contents of this manual and how it should be used. You are now in this section.
- Searching For Symptoms of the Problem directs you through an inspection of the 4700 log messages and system indicators for identifying meaningful symptoms.
- Functional Procedures are a series of detailed actions for you to perform in isolating the problem to something that can be replaced or repaired. These procedures cover the major functional areas of the controller (such as the loop, the DCA, the host link).

The Functional Procedures are the major part of this manual. They are designed like road maps that you can follow in determining the cause of the problem that is affecting your institution's operation. They detail, in an easily understood way, a method for inspecting the 4700 System. The objective of these procedures is to isolate the source of a system problem to a specific component in your system. These procedures should give you the necessary assistance to restore your system to a fully operational status with minimum loss of time.

• Information About Major System Functions - is an explanation of some of the major components in the system. For example, the loop protocol is explained in great detail.

This level of knowledge is not required to use the Functional Procedures but is included for those readers interested in further knowledge on the subject.

The System Monitor

The system monitor is an application program supplied with the 4700 System that enables you to exercise operational control over the system. Through the use of this multi-function facility you can change system operating parameters, determine statistical counts for various functional parts of the system and for all of the attached devices, retrieve both system and application generated log messages, obtain application program debugging assistance, and perform data transfer type testing of attached devices. The use of the system monitor is an integral part of the problem determination procedures in this manual. Familiarity with the operation of the system monitor is thus one of the requirements for using these procedures.

The IBM 4700 System permits two methods of user connection to the system monitor:

- 1. Direct connection of one of the display terminals attached to the controller/processor (either a local or remote loop connected terminal, or a DCA connected terminal), and
- 2. Remote access connection which is the method of communicating with the system monitor using a terminal that is not connected to the IBM 4701 Controller or 4702 Processor (this terminal is connected to some other element in the overall system which is in turn connected to the controller/processor normally via a telecommunications link). The remote access connection is usually made with the feature of the Communications Network Management (CNM) program that executes in the host system and the controller/processor. In the absence of CNM, you can make the remote access connection using the Programmable Input Control facility of the IBM 4700 System.

Direct Terminal Connection to the System Monitor

You can use any loop-connected or DCA-connected display terminal that is connected to the IBM 4701 Controller or 4702 Processor to communicate with the system monitor in direct terminal connection mode. A terminal used in this fashion is referred to as a Control Operator Terminal.

Only one control operator terminal can be active on a controller/processor at any one time. The terminal that assumes the role of the control operator terminal must be either a terminal in the 'free pool' or, if connected to a work station, the work station must be in the 'idle state' (the work station must have issued a LEXIT instruction).

You indicate that you want to assign a specific display terminal as a control operator terminal by pressing the Reset key on the keyboard three (3) times. If this terminal can be assigned as a control operator terminal the system will signify this fact by requesting the input of an appropriate identification code. This control operator identification code is established by your organization as part of system security restrictions of control operations to those individuals who are authorized to perform them.

A more complete description of this direct terminal connection to the system monitor is in the IBM 4700 Finance Communication System Subsystem Operating Procedures, GC31-2032.

Remote Access Connection to the System Monitor

In addition to direct terminal connection to the system monitor, the capabilities of the system monitor can also be invoked by someone who does not have access to a terminal that is connected to the controller/processor. This manner of access is referred to as remote access connection and uses a terminal on a system that is connected normally through a telecommunication link (such as a terminal on the host processor).

Remote Access Connection Using Communications Network Management

A system support operator using a terminal on a host system that has the Communications Network Management (CNM) program installed can invoke system monitor functions in a manner that is identical to the direct terminal connection. The same commands are used to request system monitor functions whether the operator is using a terminal on the controller/processor or a terminal on a telecommunication linked system. Thus the procedures do not differentiate between the two forms of terminal connection when suggesting performing a System Monitor function.

Remote Access Connection Using the Programmed Input Facility

If remote access connection is desired without using CNM, you must use the Programmed Input Facility of the 4700. This facility enables a work station in the controller/processor (or more than one work station) to communicate with the work station executing the system monitor application program.

You must write an application program that will communicate with the system monitor work station and with a host application program. This companion application program executing in the host will be responsible for accepting terminal input containing the system monitor commands, transmitting these commands to the controller/processor program, and displaying the responses from the controller/processor.

Statistical Counters

Statistical counters record counts of events related to functional components in the system such as terminals and communication facilities. The content of these counters are referred to by the procedures in this manual.

To ensure that the counters continue to increase when they reach their maximum value (they will wrap from 255 to 128), the STATS parameter of the STARTGEN statement in the CPGEN should specify the WRAP option.

Format of the Procedures

The format of these procedures has been specifically chosen to make the series of actions that make up the procedure readable and understandable. These actions are tasks for you to do in the process of diagnosing the problem. The format of each action has three parts (see Figure 1):

- 1. The 'Action' describes the task you are to perform.
- 2. The 'Method of Analysis' details how to perform the action.
- 3. The 'Recommendations' indicates, if the source of the problem has been determined, how to correct the problem, or, if the procedure is not yet complete, the next action in the procedure to perform.

A unique recommendation box (labeled 'END OF PROCEDURE') identifies when you have reached the final action in the procedure (see the recommendation associated with the 'no' answer in Figure 1).

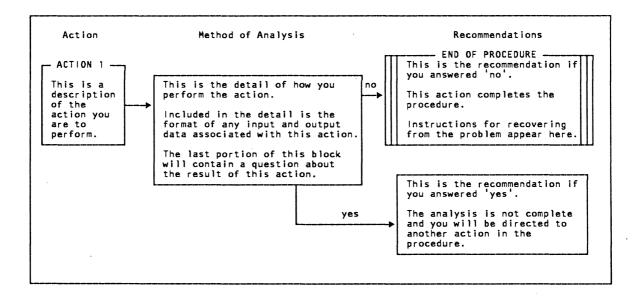


Figure 1. Format of the Procedures

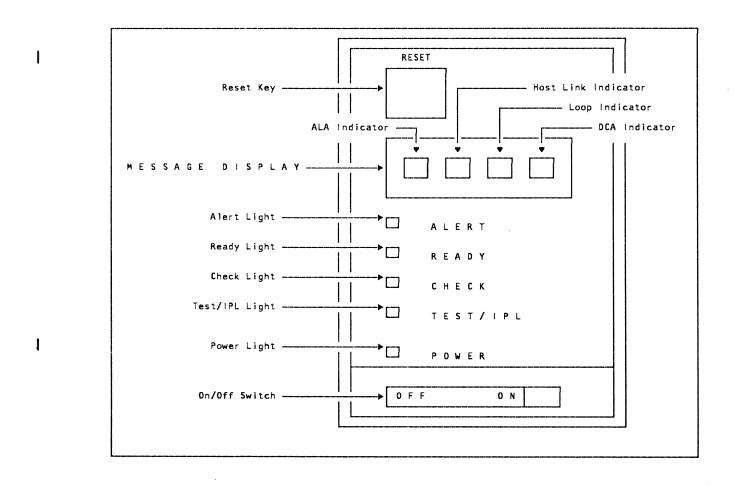
Nomenclature and Terminology Used in the Procedures

Terminology: The Message Display

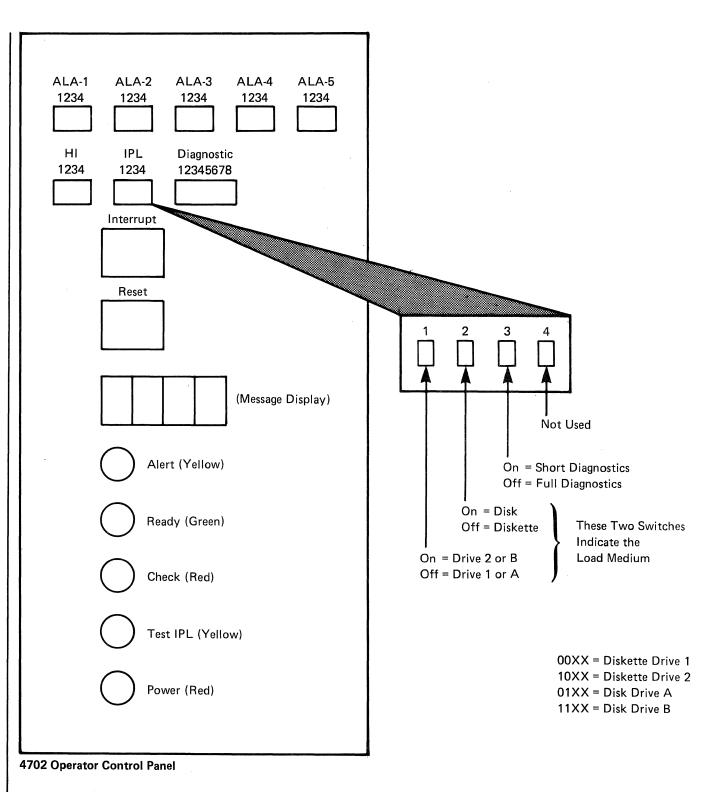
The message display is on the Operator Control Panel and has four alphameric character displays (see Figure 2). During system startup, this four-character display indicates the diagnostic routine that is currently executing and, in the event of a detected failure, displays a failure code.

When system startup completes and the system is operational, the message display defines the operational status of the major functional components of the subsystem (that is, the alternative line attachment (ALA) and host links, the loop, and the Device Cluster Adapter).

Each of these system components has been assigned a specific display character. Thus, the first character of the message display indicates the status of the ALA lines, the second character indicates the status of the host link, the third character indicates the status of the loop, and the fourth character indicates the status of the Device Cluster Adapter.



| Figure 2. 4701 Operator Control Panel





Terminology: Requesting System Monitor Commands in the Procedures

The system monitor is a multi-function facility in the 4700 that enables the user to retrieve system data and to control devices on the system. This capability is used extensively in the problem determination procedures in this manual.

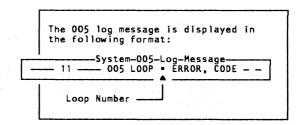
The procedures assume that you have accessed the system monitor before beginning the procedure. Thus, the procedures do not direct you (nor indicate how) to 'log on' to the system monitor. Detailed instructions for gaining access to the system monitor are in the *IBM 4700 Finance Communication System Subsystem Operating Procedures*, GC31-2032.

When the procedures direct you to perform a system monitor operation, the format of the input message to the system monitor will appear like this example from the loop procedure:

> Using the system monitor: 1. Issue the Log Selective Display command to display any log messages associated with the loop. This command is requested by entering 301 005 from the control operator

terminal.

The display of the data that will result from entering a directed system monitor command will appear like this example from the Loop Procedure:



- 建酸盐酸盐 医血管炎 氨基胆氨酸化 医静脉炎

This display of the output data will consist of the constant data in the log message (for example, the word LOOP in the 005 log message), dashes for fields that are not of interest at the moment, and black boxes (that is, • in the 005 log message above) indicating the data of interest. Arrows will also identify the fields of interest.

Terminology: Terminal Ready Indicators

1

All terminals that connect to an IBM 4700 System (such as, loop or DCA terminals) display the terminal Ready indicator when a valid connection is made between the terminal and the controller.

The Ready indicator is a light on some terminals (such as, the IBM 4710 Receipt Validation printer or the IBM 3604 Display) and a symbol on other terminals (such as, the IBM 4704 Display or the IBM 3278 Display). The symbol used on the IBM 4704 Display is a lightning bolt beside the word 'OK'. The IBM 3278 Display uses the digits '4700' as the symbol for the Ready indicator.

To determine the type of Ready indicator for a specific terminal and its location on the terminal, refer to the Operating Reference Manual for that terminal.

Terminology: Direction to Another Action

To minimize the probability of your going to the wrong action, the procedure uses these variations in wording to indicate whether you are directed to the *next* sequential action, to an action *beyond* the next sequential action, or to an *earlier* action:

- 1. If the next action is the *next sequential* action, the recommendation is worded: "Proceed to Action --."
- 2. If the next action is beyond the next sequential action, the recommendation is worded: "Go to Action --."
- 3. If the next action is an earlier numbered action, the recommendation is worded: "Go back to Action --."

Terminology: Your Institution's Procedures

When you have completed a procedure, the 'End of Procedure' usually directs you to obtain service for some component in the IBM 4700 system.

You can have some devices repaired at a Service Center. Other devices may be serviced at your site. How service is obtained varies from institution to institution.

IBM recommends that your institution create a definition of how you will obtain service for each device type. This service definition will be part of a procedure for your institution that reflects what is unique to your system.

Your 'institution procedure' should also address application level problem determination. It should include a procedure that is tailored to the application program. This procedure should probably follow the same format as the procedures in this manual to avoid any reader confusion.

Terminology: Loop Layout Diagram

The loop is an integral part of the 4700 system and is one of the ways of connecting terminals to the controller/processor. The loop has been designed to provide efficient and effective data transmission as well as sophisticated error recovery. In addition, the loop has integrated problem determination capability to permit a high degree of serviceability.

Performing problem determination on the loop requires knowledge of the physical layout of the loop cables and the direction of the signal path. When the installation layout plan is complete, you should develop a Loop Layout Diagram similar to the Loop Layout Diagram in Figure 5. As an example, the form in this figure has been filled out with data to reflect the loop configuration shown in Figure 4.

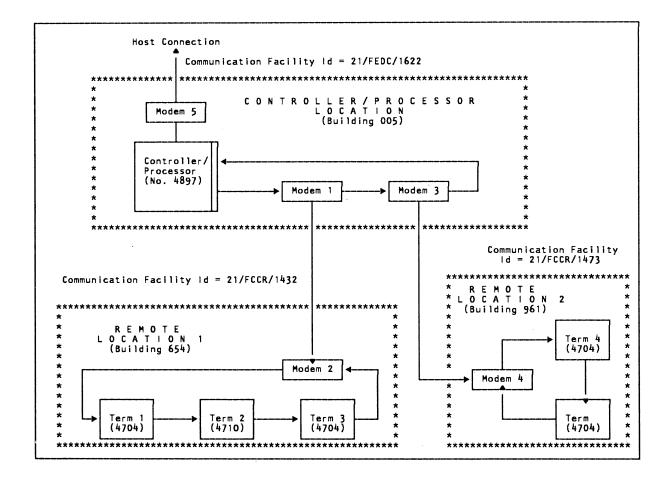


Figure 4. Example of a Remote Loop Configuration

Sequence on the loop refers to the direction of data flow on the loop. The data signals leave the controller/processor from the portion of the loop receptacle that accepts the male cable connector. The terminals should be in the order that they receive the data signals.

A copy of the Loop Layout Diagram is in the appendix of this manual and also in the IBM 4700 Finance Communication System Installation Planning Manual, GC31-2018.

L	PLAY				Lo	op Number:	rocessor ID: _1_ Location n Facility ID: 1	: _B1dg 005_
Sequence	Modem or	Terminal	Location			Nearest	Interconnect	Workstation
on loop	Terminal Type	Address	Building	Floor	Column	Telephone Extension	Communication Facility ID	Number
1	Modem 1		005	1	AA17	2114	21/FCCR/1432	
2	Modem 2		654	2	M10	2628	21/FCCR/1432	
3	4704	1	654	2	N4	2745		5
4	4704	2	654	2	N5	3533		5
5	4704	3	654	8	F20	2546		5
6	Modem 3		005	1	AA17	2114	21/FCCR/1473	
7	Modem 4		961	1	Н3	3514	21/FCCR/1473	
8	4704	4	961	1	Н8	2641		2
9	4710	5	961	1	н8	2746		3

Figure 5. Loop Layout Diagram

An Example of the Procedures

To help you to understand the format of the procedures, a sample procedure has been developed that addresses the problem that you might experience when your house feels uncomfortably cold. This procedure details the actions one should perform in determining the cause of the problem and is shown, with an explanation of each action, in the following sections. The objective of the procedure is to determine what to do to restore the house to a comfortable temperature.

To keep the procedure as simple as possible, the assumption is made that the heating system is electric and controlled from within the house by means of a thermostat. A thermostat is an electro-mechanical control device that is an integral part of the heating system and which is used to regulate the temperature of the house. The thermostat consists of a settable indicator that is used to define the desired temperature for the house and a temperature measurement facility for determining the current temperature of the house. When the house temperature drops below the desired temperature (that which has been set on the indicator) the thermostat causes the heating system to operate and will keep the heating system operating until the house temperature reaches the desired temperature.

It is further assumed that the electrical system is protected by fuses and the user of this procedure knows the location of the fuse box and how to change a fuse.

Action 1 - Determine the Setting of the Thermostat

The first action is to determine the setting of the thermostat. The 'Method of Analysis' block in Action 1 directs you to check this setting and shows, by means of a diagram, what you should look for when examining the thermostat. No question is asked of you at this time and so only one 'Recommendation' block is defined. This directs the reader to proceed to Action 2.

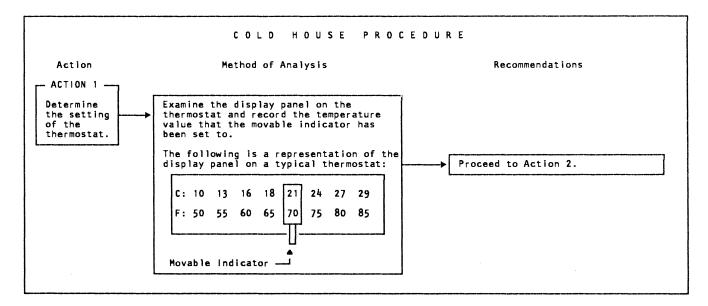


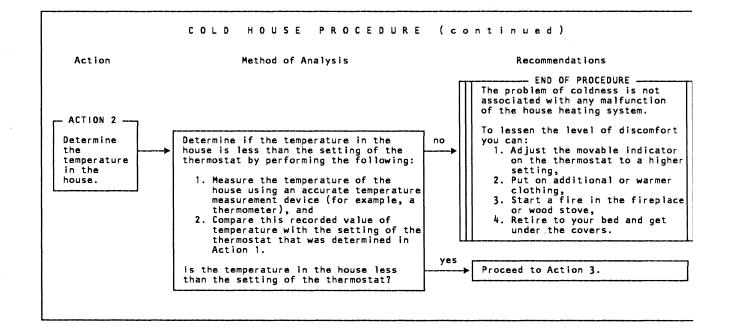
Figure 6. Action 1 of the Cold House Procedure

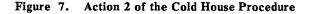
Action 2 - Determine the Current Temperature

The second action (Action 2) determines whether the temperature is outside the limits of the thermostat setting. We do this by reading a thermometer. If the temperature in the house matches the setting of the thermostat, we can assume the heating system is operating correctly. The reason the house feels cold is probably due to too low a setting of the thermostat. However, feeling cold could also be the result of the person not wearing an adequate amount of clothing.

If the temperature matches the setting of the thermostat, the procedure ends at this action. The recommendation block that is associated with the 'yes' answer to the question is an 'End of Procedure' block (signified by the three lines on each side of the block). It recommends several solutions including elevation of the thermostat setting.

If the thermometer registers a value less than the thermostat setting, further examination is necessary and thus you are directed to proceed to Action 3.





Action 3 - Verify Availability of Electricity

Reaching this point in the procedure indicates that the temperature of the house does not match the setting of the thermostat. One of the possible causes for this condition could be that the heating system is not operating as a result of an interruption in electric service to the house.

The procedure in Action 3 directs the reader to verify that there is electricity available at this time. The method of performing this verification that is suggested is to attempt to operate an electric appliance or to light a lamp. This should indicate, if the appliance operates (or doesn't operate) whether electricity is present or not present. If there is no electricity, the recommendation block associated with a 'no' answer directs the reader to notify the appropriate utility company of this fact. At this point, the procedure is finished because the cause of the problem has been identified and a method of resolving the problem has been suggested. This 'no' recommendation block is thus an 'End of Procedure' block.

If electric service is present in the house, further examination is necessary and thus the reader is directed to proceed to Action 4.

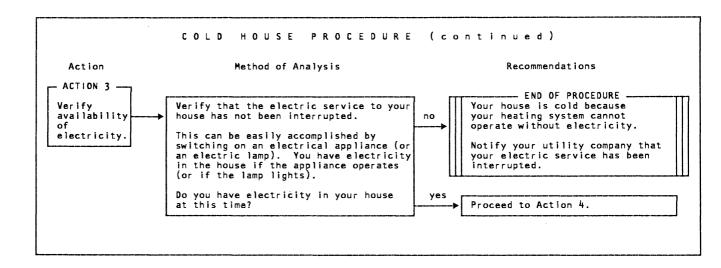


Figure 8. Action 3 of the Cold House Procedure

Action 4 - Check the Heating System Fuse

At this point in the procedure it has been determined that the electric service to the house has not been interrupted. However, there may not be electricity available at the heating system because the associated fuse may have interrupted the electric service because of an overload condition.

The procedure in Action 4 directs the reader to replace the fuse associated with the heating system to determine whether the fuse had 'blown'. If this action causes the heating system to again operate (it is assumed that operation of the heating system can be determined by, for instance, hearing the blowers begin to operate), the problem has been solved. The coldness of the house was due to an interruption of electricity to the heating system caused by a 'blown' fuse.

If replacing the fuse does not correct the problem, further testing is beyond the capability of an average homeowner. The recommendation in this case is to call a heating specialist for service.

This action completes the procedure.

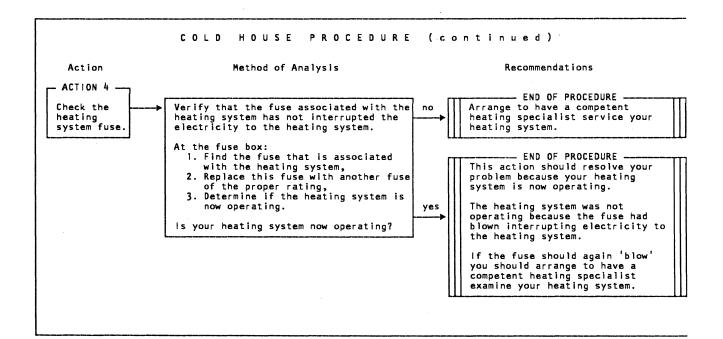


Figure 9. Action 4 of the Cold House Procedure

Definition of Terms

I

I

1

address sharing

A feature of the 4700 terminals that permits more than one terminal to be assigned to the same terminal address.

ALA

See Alternative Line Attachment.

Alert light

This is the topmost light on the IBM controller/processor. It comes on when a log message, that should be examined by the control operator, has been written to the log file. The Alert light goes off when log messages are displayed (using a system monitor command).

Alternative Line Attachment (ALA)

A point-to-point or multidrop communication medium used to exchange messages between the controller/processor and terminals. Several communication protocols are supported.

application program

That portion of the controller/processor programming that is written for or by the user and performs the customer application function. In your installation, this may be a program product from a vendor.

auxiliary diskette drive

The drive in the 4701 expansion unit.

CNM

See Communication Network Management.

Communications Network Management (CNM)

A facility in the controller/processor and the host processor for accomplishing network problem determination. This facility permits an operator at the host processor to remotely control the 4700 system and solicit statistical data for error and performance analysis.

controller diskette drive

The drive in the 4701 controller.

controller log file

That portion of the temporary file on your operating diskette where system, and user, log messages are recorded.

control operator terminal

A terminal that has been used to access the system monitor. Only one terminal can be a Control Operator Terminal at any one time. This terminal is used to perform system functions (for example, starting the host link or displaying statistical counter data).

CPGEN

The collection of configuration instructions that defines the physical and logical configuration of the controller/processor and associated terminals.

See Device Cluster Adapter.

Device Cluster Adapter (DCA)

A feature of the 4700 system that provides a very high data rate terminal connection capability on the controller/processor. Terminals are connected with coaxial cables and data is transmitted to and from the terminals at a data rate in the millions-of-bits per second.

diagnostic phase of startup

The initial processing that verifies correct operation of the controller/processor hardware.

direction of loop data flow

The data signals leave from the loop port that accepts the male cable connector and return through the female cable connector.

diskette drive 1

The drive from which you loaded (IPL'd) the 4701 controller, or the upper drive on the 4702 processor.

diskette drive 2

The drive <u>NOT</u> used to load (IPL) the 4701 controller, or the lower drive on the 4702 processor.

EIA cable

A cable, using a standard connection interface defined by the Electronics Industries Association, that connects the controller/processor to a modem. Also referred to as the RS232-C cable.

finance loop

A communication medium (utilizing the finance loop protocol) used to exchange messages between the controller/processor and its associated terminals. The physical loop uses a shielded pair of twisted conductors for local segments. Remote segments require the use of modems.

finance loop protocol

Communication on the finance loop involves a strict line discipline. The bit pattern on the loop is grouped into basic elements called slots and frames which carry the control orders, terminal orders, and the data that makes up the messages.

frame

A logical collection of bits on the Finance Loop. A frame consists of a unique beginning slot, used to identify the frame, and followed by 16 data/command slots associated (by slot number) with the terminals on the loop.

free pool

The collection of devices that have been specified in the CPGEN but have not been assigned to specific work station. These devices can be associated with a work station through the use of system monitor commands or by using the ASSIGN instruction in an application program.

hexadecimal digit

One of the counting elements in a number system with a base of 16. The digits are 0-9 and A-F (where A is equivalent to 10 and F is equivalent to 15).

hexdigit

See hexadecimal digit.

host processor

The computing system that the controller/processor is connected to via the host link.

host link

The physical and logical connection between the host processor and the controller/processor.

idle state

The condition of an application work station when the application program has completed execution (that is, issued a LEXIT instruction) or has not yet begun executing.

log file

See controller log file.

logical work station

A portion of storage that is dedicated to the execution of an application program on behalf of input from terminals that make up an associated physical work station.

loop layout diagram

A form used to record the sequence of terminal connections on a loop and the communication facility identification of all telecommunication links.

loop protocol

See finance loop protocol.

loop segment

That portion of the loop that services a single physical location on a loop that services multiple locations.

Loop Station Connector (LSC)

An outlet socket that provides quick physical connection of devices to the IBM Multiuse Communications Loop. It contains capability to channel data signals on the loop past a device that is powered off or unplugged. LSC

See Loop Station Connector.

modem

A signal-conversion device located at the end of a telecommunication line. At a transmitting location, the modem converts data bits to signals suitable for transmission over the telecommunication line. At the receiving location, it converts the transmitted signals back to data bits.

Multiuse Communication Loop

The unit data link feature on an IBM 8100 System for remote attachment or direct connection of terminals or controller/processors using a SDLC data transmission protocol.

operational loading phase of startup

That portion of startup when system data is loaded into the controller/processor storage and initialized.

operational phase of startup

The final phase of startup. The work stations are active and the application programs are executing. The controller/processor has been tested and found to be operational. The system data has been successfully loaded from the diskette and initialized.

operator control panel

The front panel of the controller/processor that contains the operational keys and display indicators (the Alert light and the message display are on this panel).

PDP

See problem determination procedure.

physical unit

Systems Network Architecture (SNA) terminology for that function which communicates with the system services control point in the host processor to establish and end communication sessions between the controller/processor and the host processor. This function is also responsible for sending maintenance statistics to the host processor.

physical work station

The collection of terminals that are logically related to the execution of an application program. These terminals are all associated with a logical work station

problem determination procedure (PDP)

A sequence of one or more actions that assist in the resolution of a problem.

Programmed Input Facility

The application level interface to the system monitor. This facility enables an application program to communicate with the system monitor and request system monitor functions.

PU

See physical unit.

Ready indicator

A visual indicator on the terminal that indicates when the terminal can communicate with the controller/processor. This indicator, in almost all cases, is a light (for example, the Ready indicator on the IBM 4710 Printer) or a generated symbol (a lightning bolt followed by the characters OK) written to a fixed location of a display screen (for example, the Ready indicator on the IBM 4704 Display).

Reset key

The top button on the operator panel of the controller/processor. Pressing this key starts the controller/processor.

slot

The basic transmission block in the finance loop protocol. A slot consists of 18 bits (two data bytes and two control bits) and is normally associated with a specific terminal on the loop.

slot group

Those slots in the frame that are associated with a given terminal address.

SSCP

See System Services Control Point.

SSCP-PU Session.

The initial logical connection that must be established under the Systems Network Architecture communications protocol before a device can communicate with its host processor.

statistical counters

That portion of storage that is used to record counts of events related to terminals and communication lines attached to the controller/processor.

System Monitor

A system-provided application program. It is used to perform various system functions requested either from the control operator terminal or from an application program using the Programmed Input Facility.

System Services Control Point (SSCP).

The portion of the Systems Network Architecture (SNA) function in the host processor that is responsible for establishing, managing, and ending host communication sessions with the controller processor.

temporary file

A file on the diskette that is used to store data that will not be retained when the controller/processor is restarted.

work station

See logical work station.

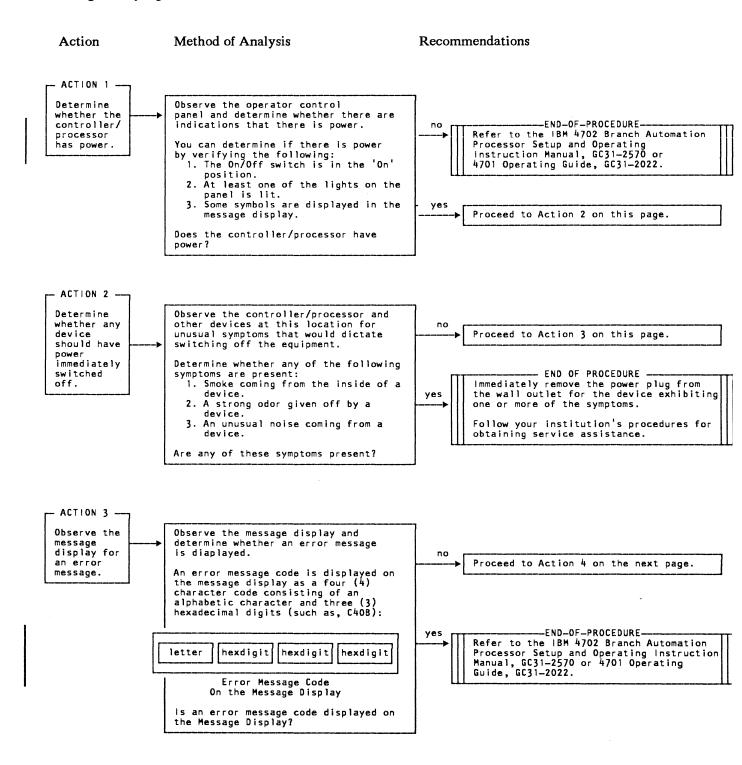
IBM 4700 Finance Communication System

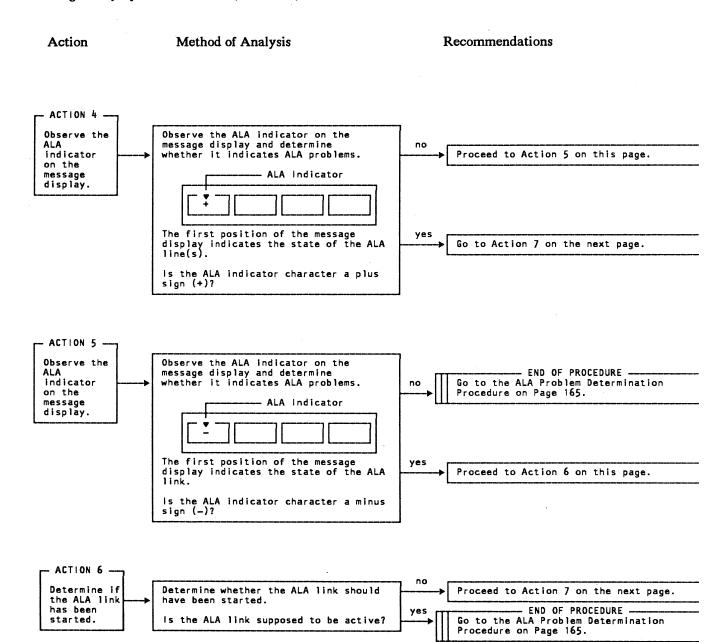
Chapter Two

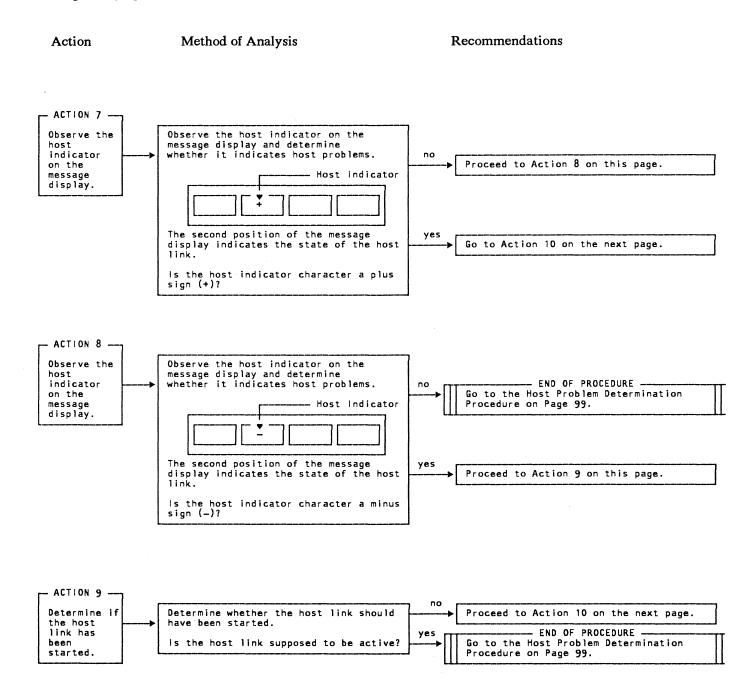
Looking for Symptoms Procedure

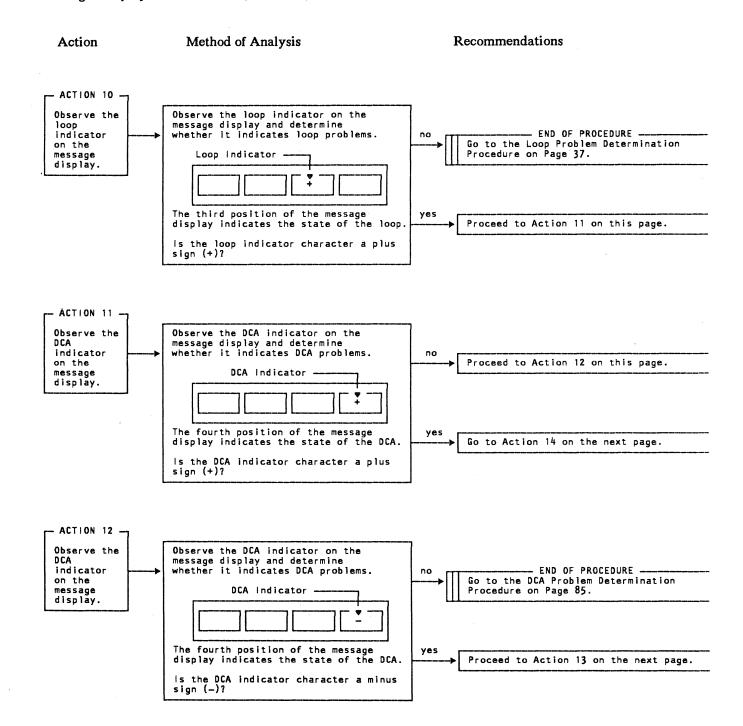
Chapter Two Looking for Symptoms Procedure

Looking for Symptoms Procedure

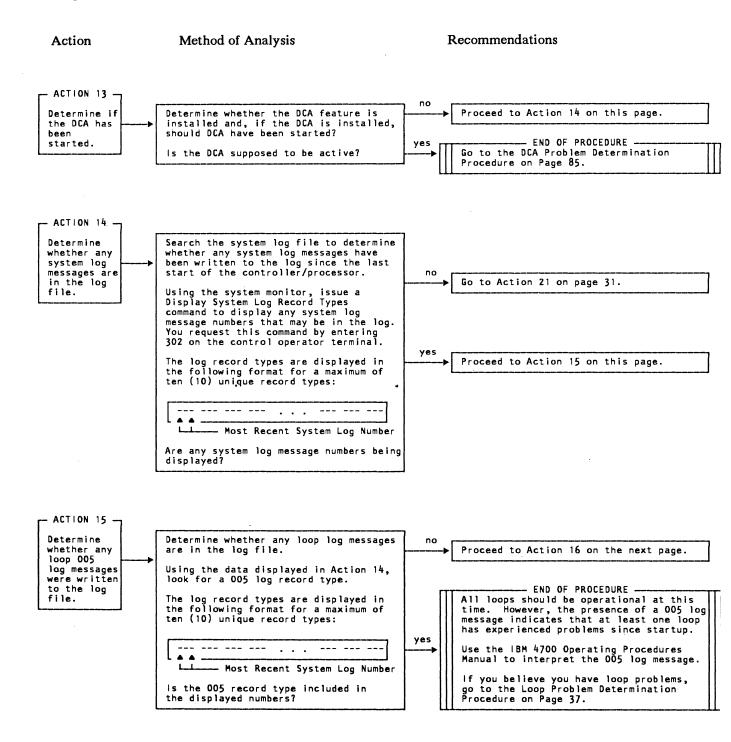


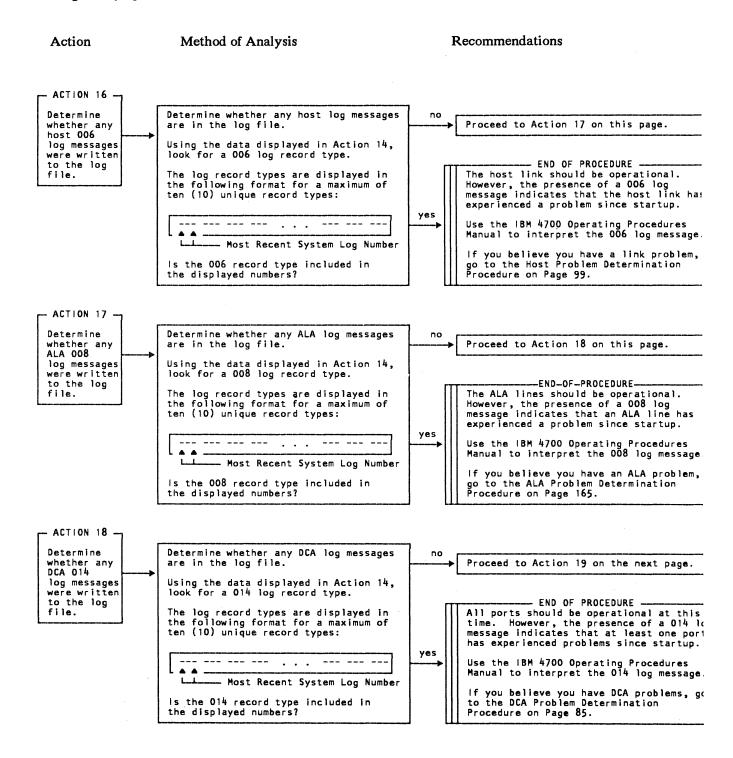






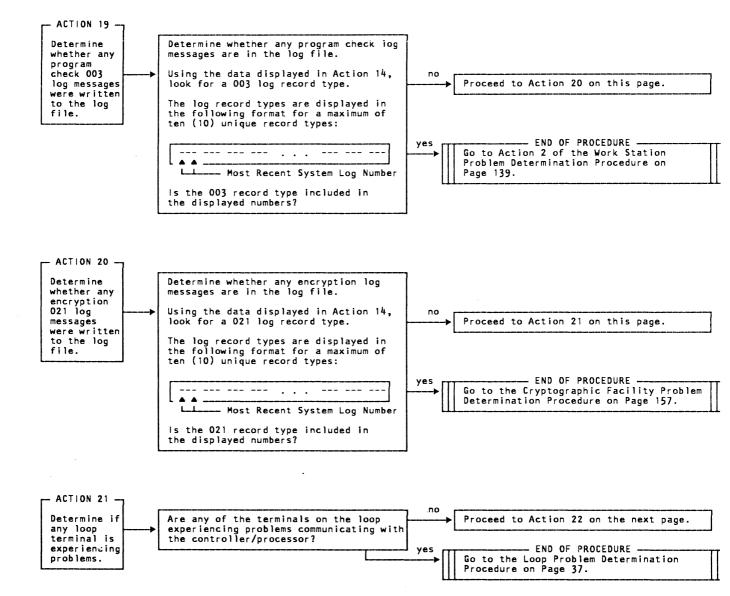






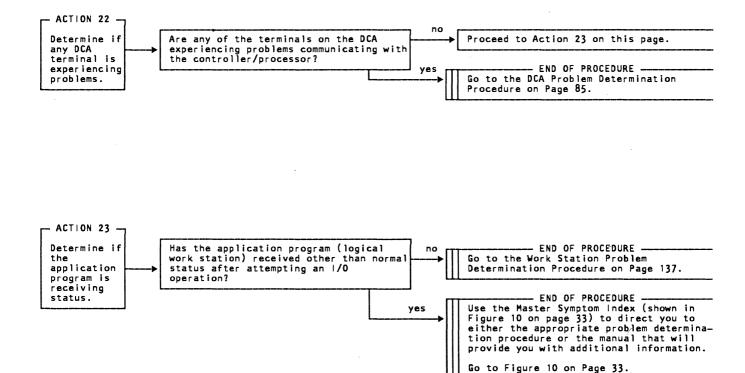
Action

Method of Analysis





Method of Analysis



SYMPTOM			GO TO	TABLE 1		
ALA Communication Problem		PDP 07 Page 165		SYSTEM		S GO TO
Application Log Message		Application PDP			LOG MESSAGE	
Application Program Check		PDP 04 Page 137			11 003	GC 31-2570
Application Program Status, ALA		Table 5		7		
Application Program Status, DCA		Table 4			11 005	PDP01 Page 37
Application Program Status, Link		Table 3				PDP03 Page 99
Application Program Status, Loop		Tabl	le 2		11 014	PDP02 Page 85
Controller Not Operational		GC 3 1	-2022		A11 044 -	
Processor Not Operational		GC31-2570		All Other Log Mess		ges GC31-2032
DCA Problem		PDP 02 Page 85				
Encryption Problem		PDP	06 Page 157			
Host Communication Problem		PDP	03 Page 99			
Loop Problem		PDP 01 Page 37				
System Log Message		Table 1		.		
Work Station Hang		PDP 04 Page 137			a dan daga ang pang mang pang gala tang dita dita dita dita dita dita dita dita	
	TABLE	2	TABĽE 3	ТАВ	LE 4	TABLE 5
STATUS	LOOP STATUS - GO TO		HOST LINK STATUS - GO TO	DCA STATUS - GO TO		ALA LINE STATUS - GO TO
0200 or 1200	PDP01 Page 37		PDPO3 Page 99	PDPO2 Page 85		PDP07 Page 165
0201 or 1201	PDPO1 Page 37		GC31-2032	PDPO2 Page 85		PDP07 Page 165
0202 or 1202	GC31-2032		GC31-2032	GC31-2032		PDP07 Page 165
0203 or 1203	GC31-2032		GC31-2032	GC31-2032		PDP07 Page 165
0440 or 1440	PDPO1 Page 37		GC31-2032	PDP02 Page 85		ALA Feature Manual
0800 or 1800	PDPO1 Page 37		GC31-2032	PDP02 Page 85		ALA Feature Manual
2000 or 3000	GC31-2032		PDP03 Page 99	GC31-2032		ALA Feature Manual
8000 or 9000	GC31-2032		PDP03 Page 99	GC31-2032 AL		ALA Feature Manual
All Other GC31-2032 Status			GC31-2032	GC31-2032 AL		ALA Feature Manual

Figure 10. Master Symptom Index

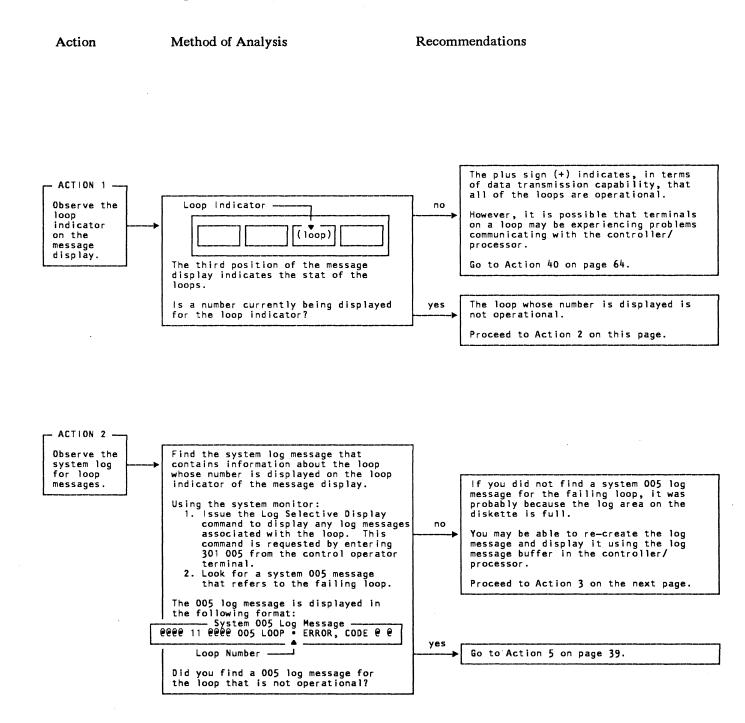
Notes:

- 1. GC31-2032 is the IBM 4700 Finance Communication System Subsystem Operating Procedures manual
- 2. GC31-2570 is the IBM 4702 Branch Automation Processor Setup and Operating Instruction manual
- 3. GC31-2022 is the IBM 4701 Controller Operating Instruction manual.

IBM 4700 Finance Communication System

apter Three nce Loop Problem Determination Procedure

Chapter Three Finance Loop Problem Determination Procedure



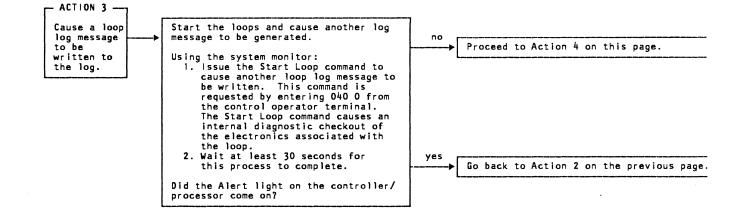
PDP01 – Finance Loop Problem Determination Procedure

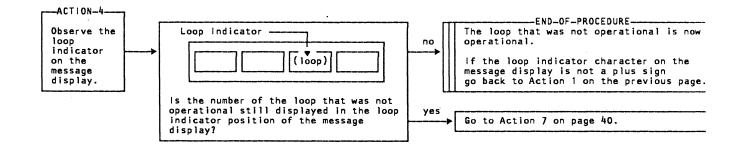
Subsystem Problem Determination Guide

Action

Method of Analysis

Recommendations

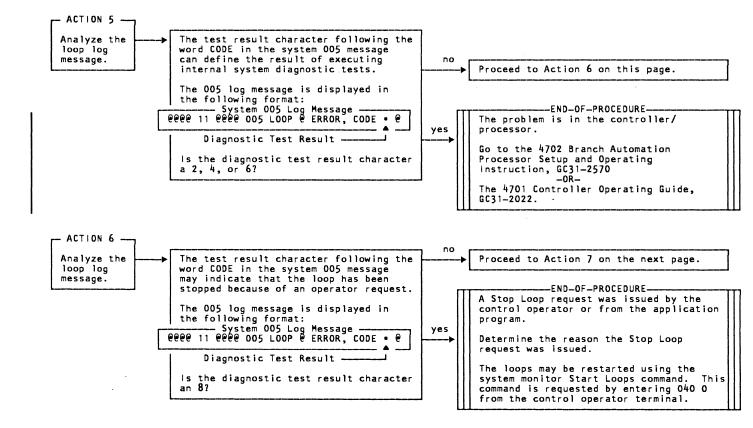




IBM 4700 Finance Communication System

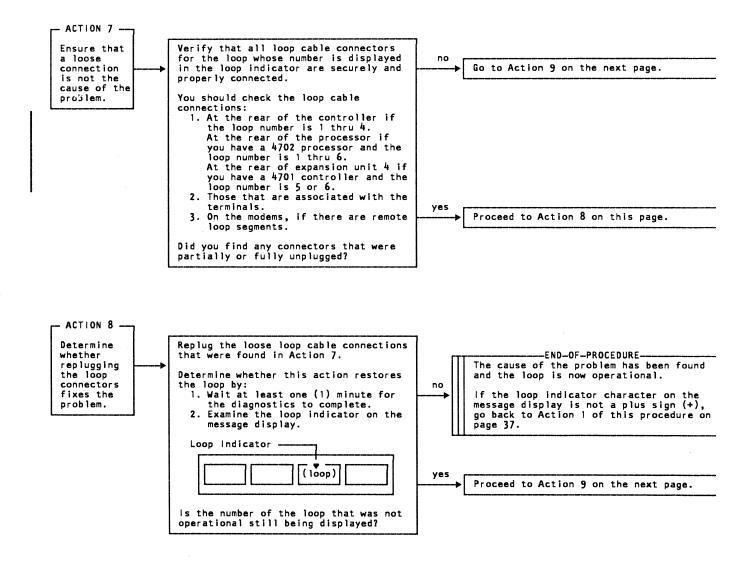
Action

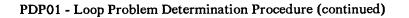
Method of Analysis





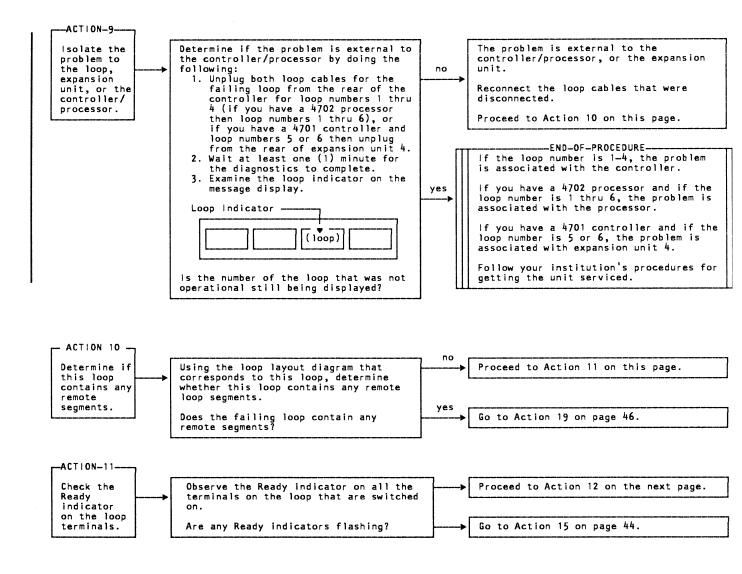
Method of Analysis

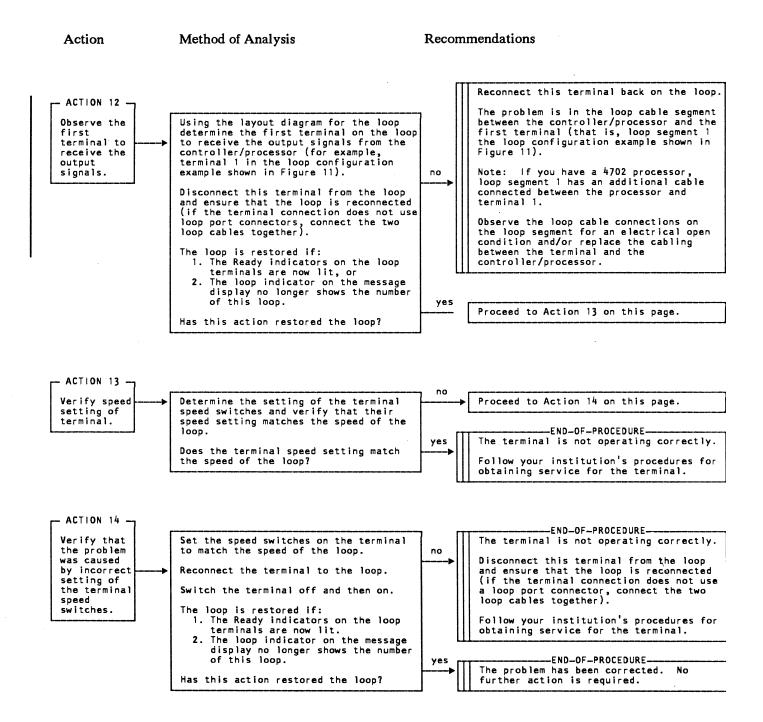




Action

Method of Analysis





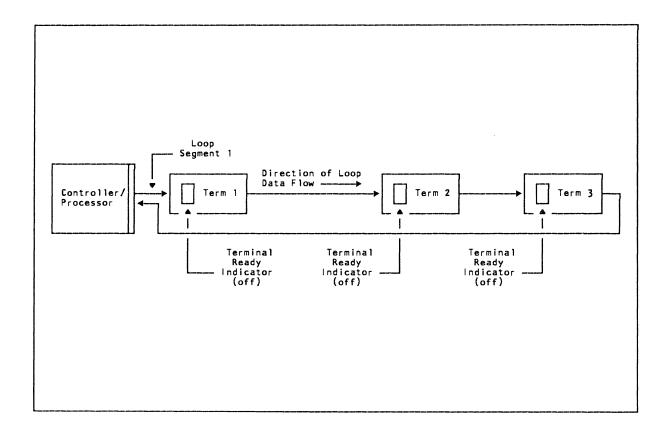
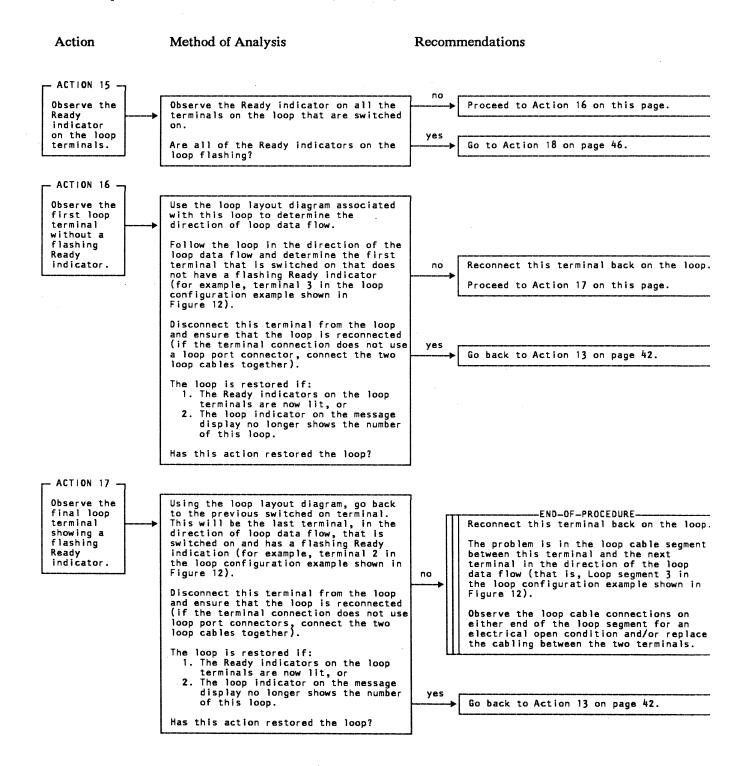


Figure 11. Example of a Local Loop Configuration with No Terminal Ready Indicators Flashing



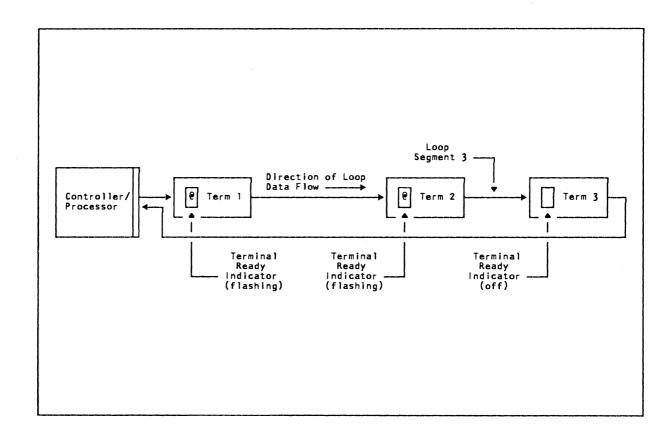
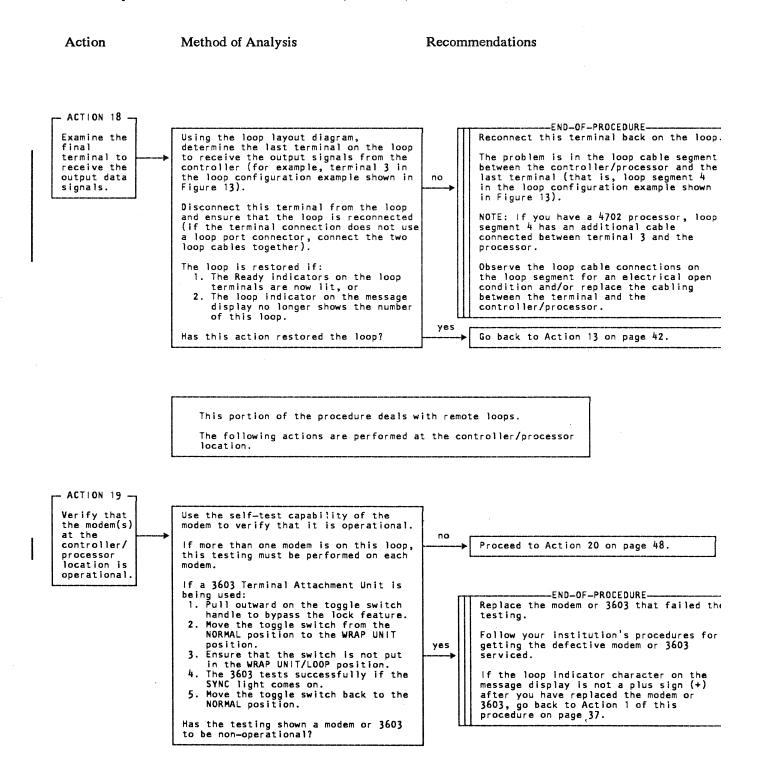


Figure 12. Example of a Local Loop Configuration with Some Terminal Ready Indicators Flashing



IBM 4700 Finance Communication System

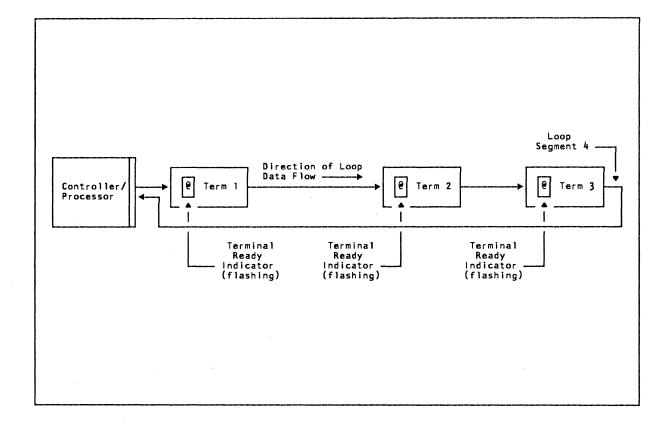
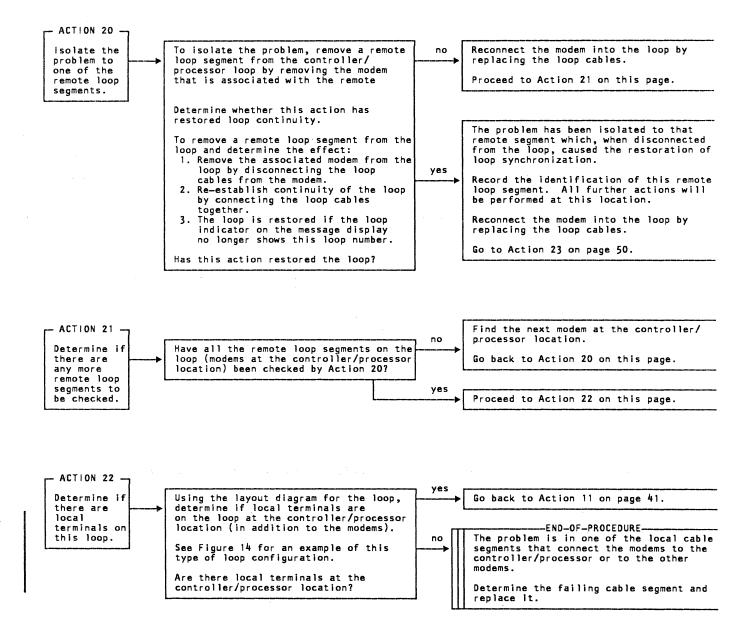


Figure 13. Example of a Local Loop Configuration with All Terminal Ready Indicators Flashing



Method of Analysis



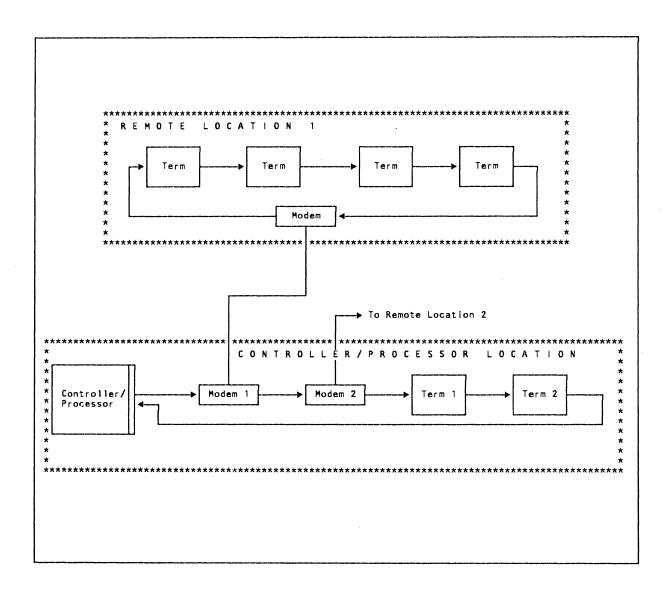


Figure 14. Example of a Remote Loop Configuration

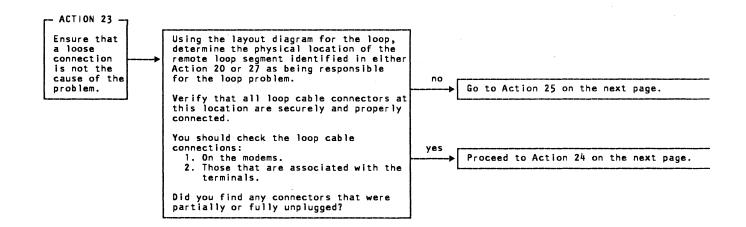
Action

Method of Analysis

Recommendations

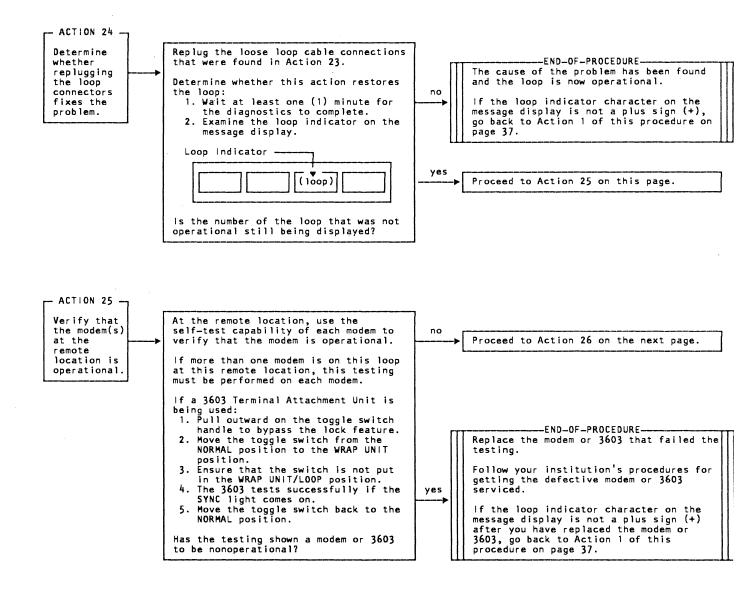
This portion of the procedure deals with remote loops.

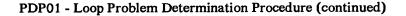
The following actions are performed at the remote location.



Action

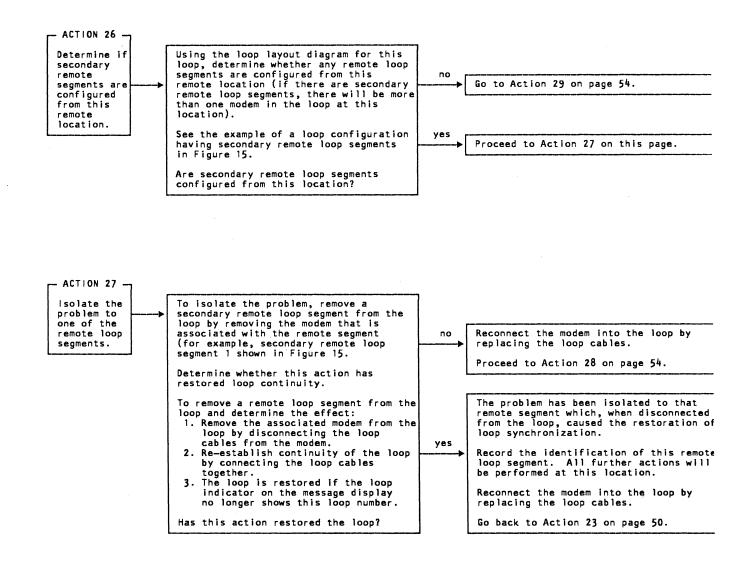
Method of Analysis





Action

Method of Analysis



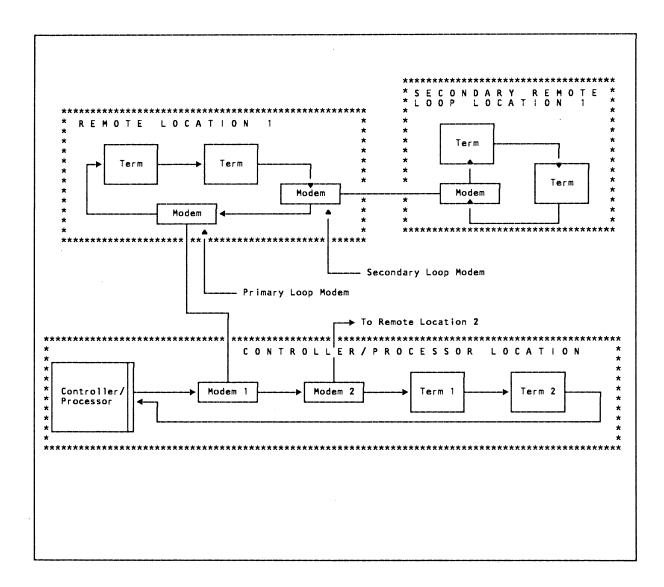
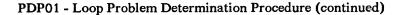
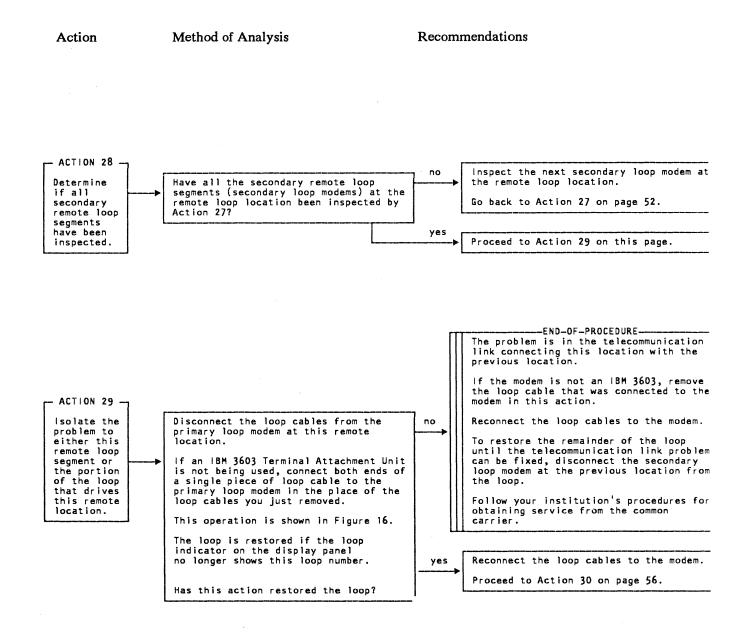


Figure 15. Example of a Remote Loop Configuration with Secondary Remote Loop Segments





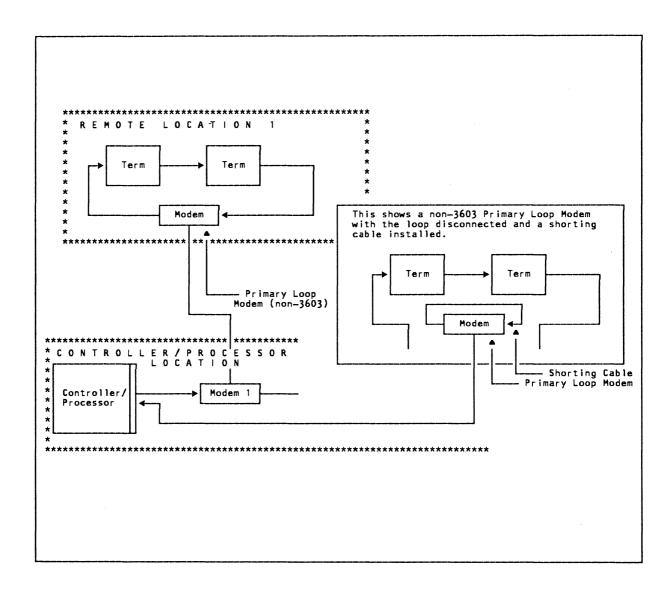
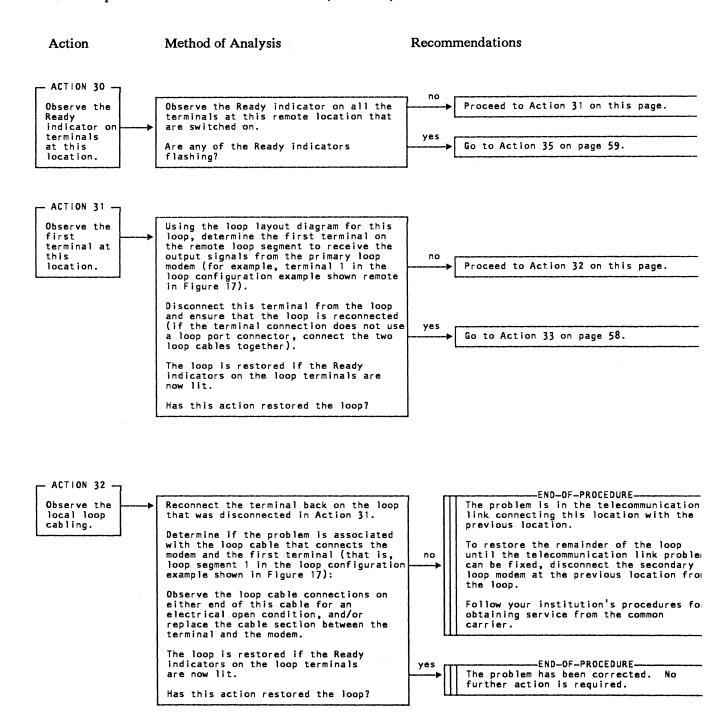


Figure 16. Example of a Remote Loop Configuration Showing the Cables Disconnected from a Non-3603 Primary Loop Modem



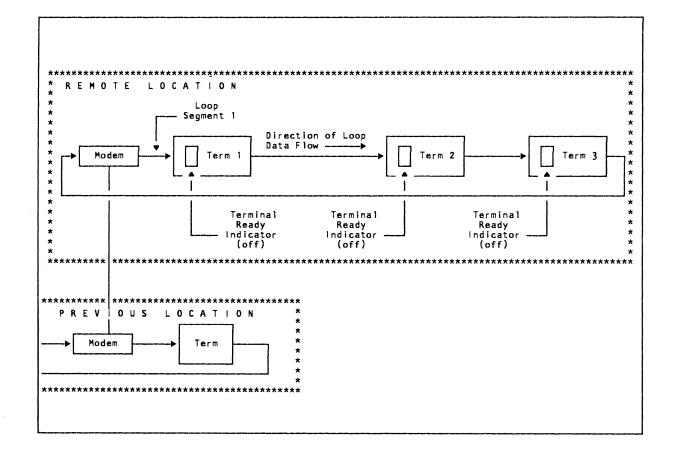
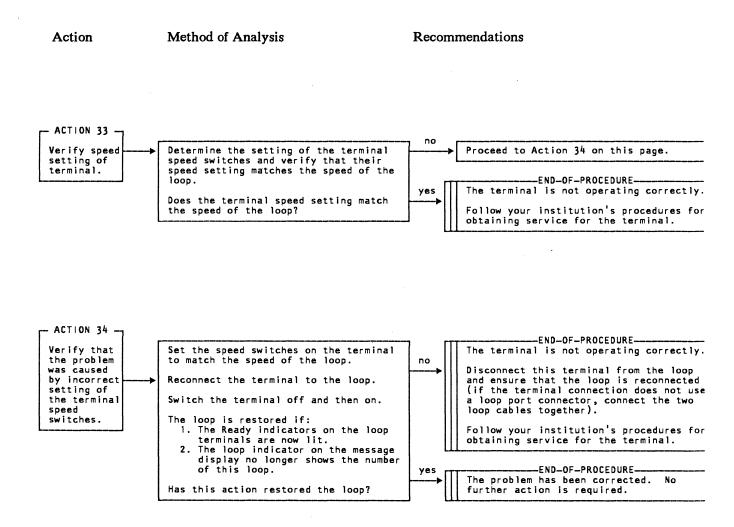


Figure 17. Example of a Remote Loop Configuration with No Ready Indicators Flashing



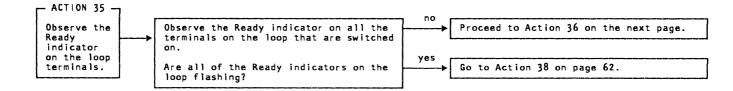
Action

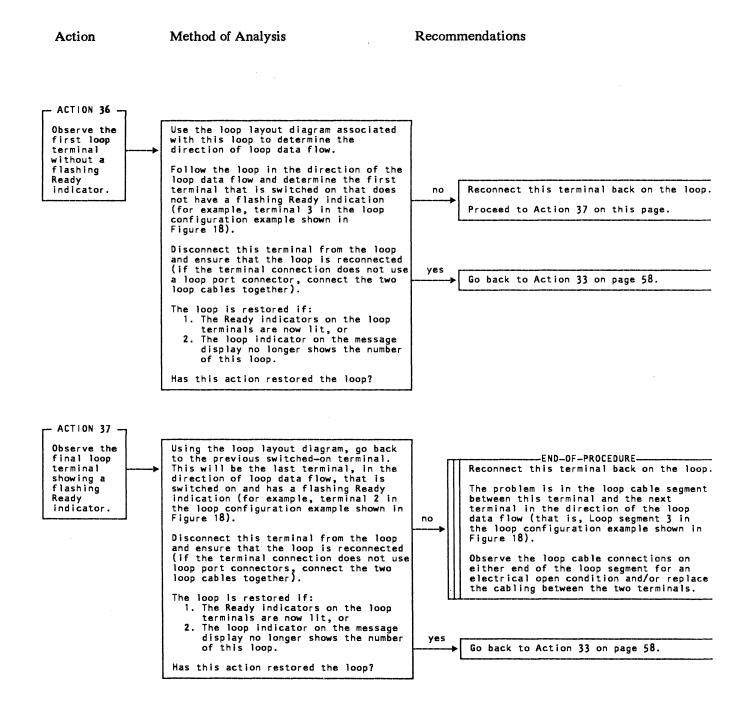
Method of Analysis

Recommendations

At this point you should have at least one terminal that has a flashing Ready indicator.

The next action is to determine if all of the switched-on terminals have Ready indicators that are flashing.





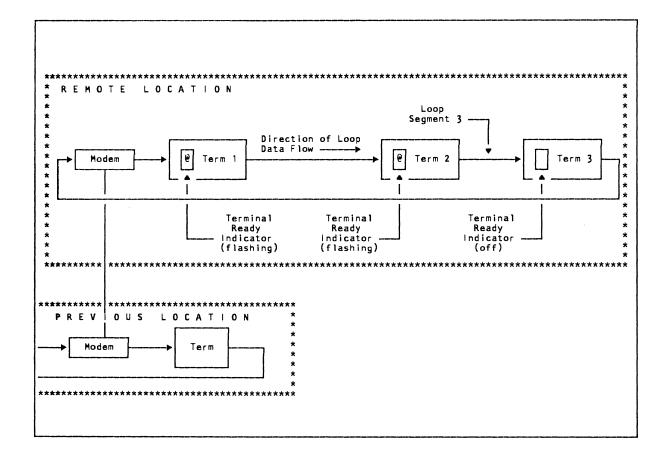
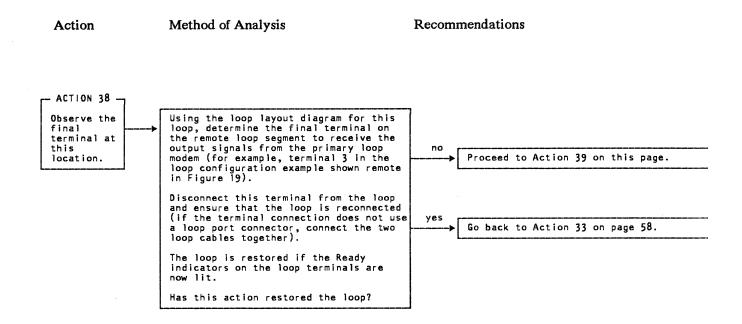
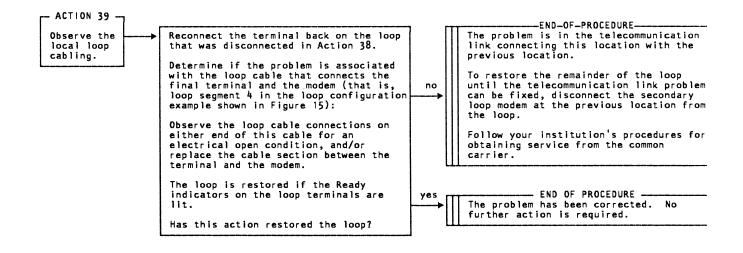


Figure 18. Example of a Remote Loop Configuration with Some Ready Indicators Flashing





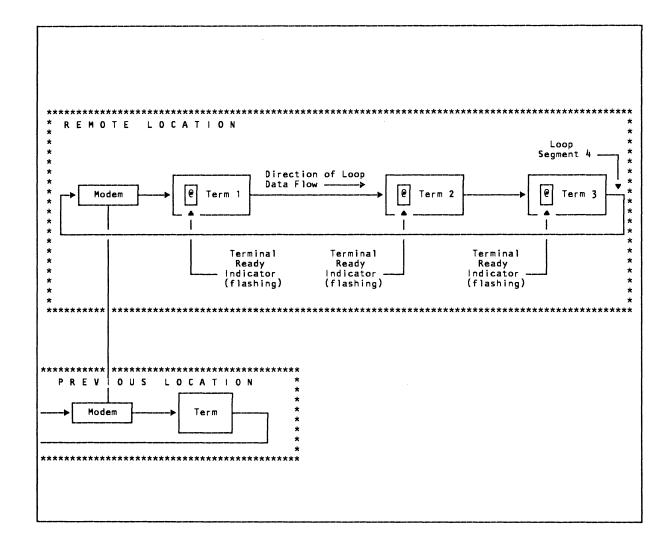
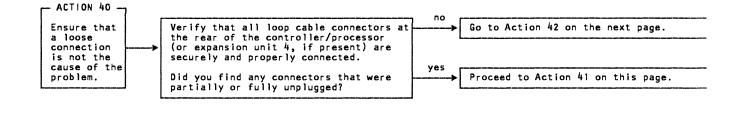
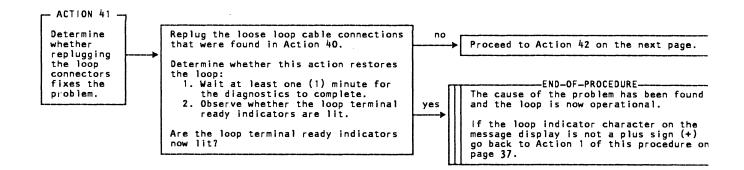


Figure 19. Example of a Remote Loop Configuration with All Ready Indicators Flashing

Action

Method of Analysis



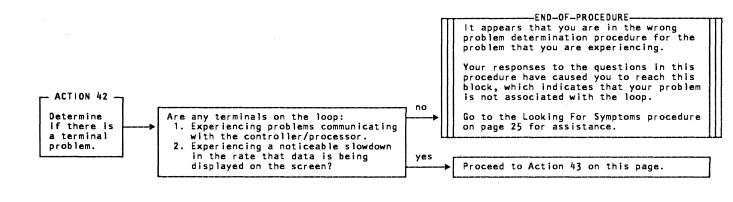


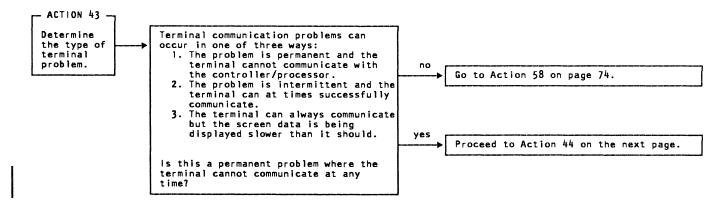
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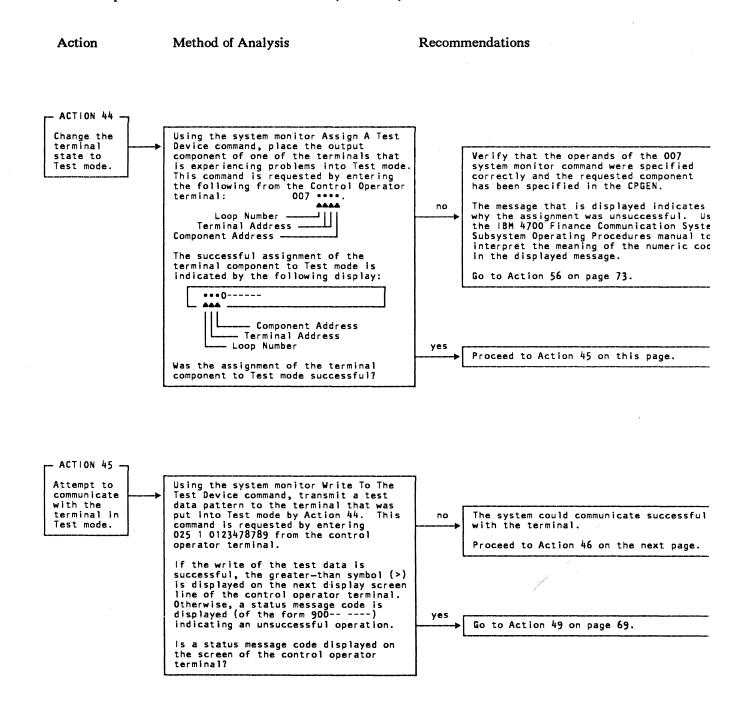
Method of Analysis

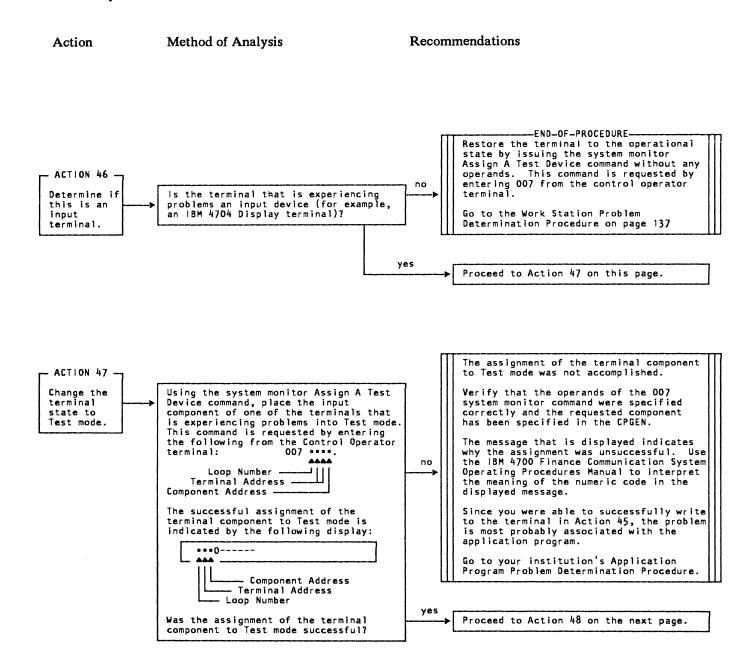
Recommendations

This portion of the procedure deals with those loop problems that do not cause the loop to become inoperable but cause a terminal(s) to be unable to communicate with the controller/processor.



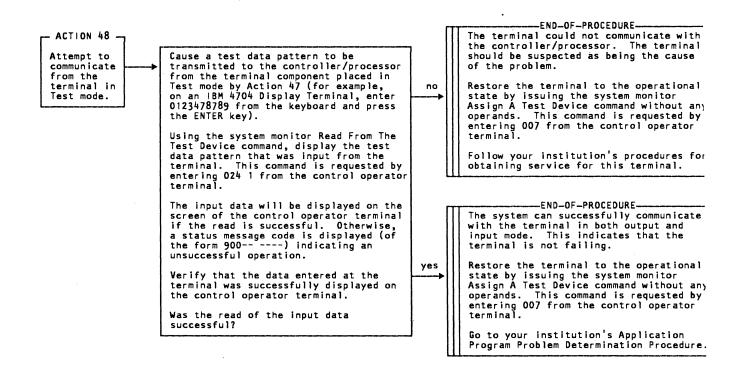






Action

Method of Analysis

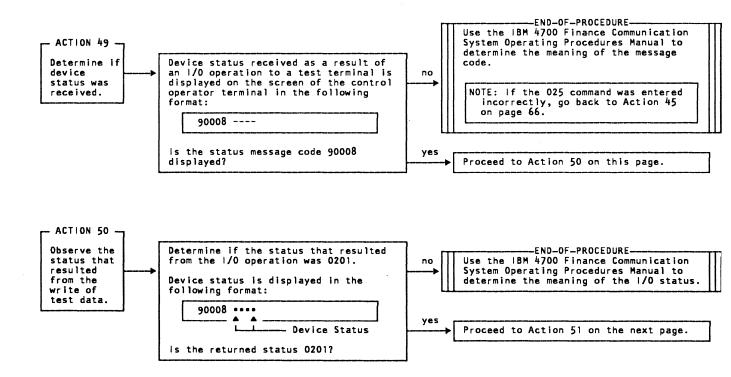


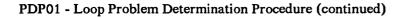
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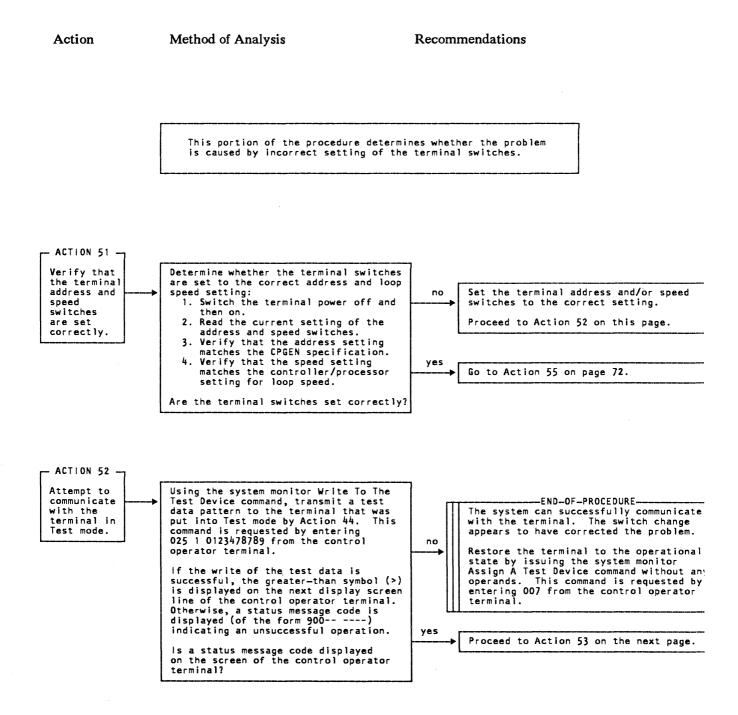
Method of Analysis

Recommendations

You have not successfully written test data to a terminal. The next two actions will determine whether device status 0201 was received as a result of the I/O operation.

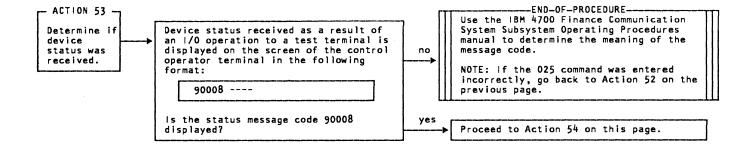


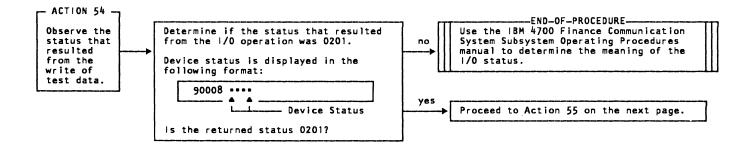




Action

Method of Analysis



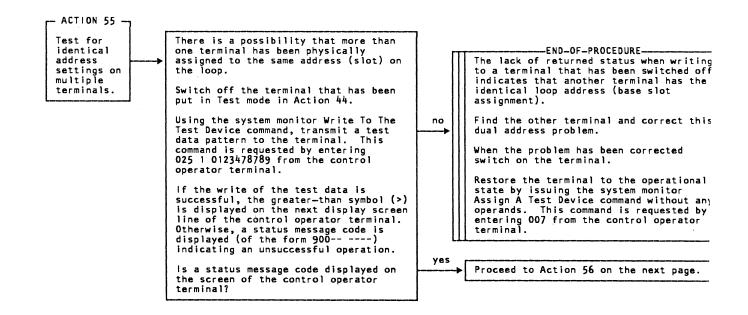


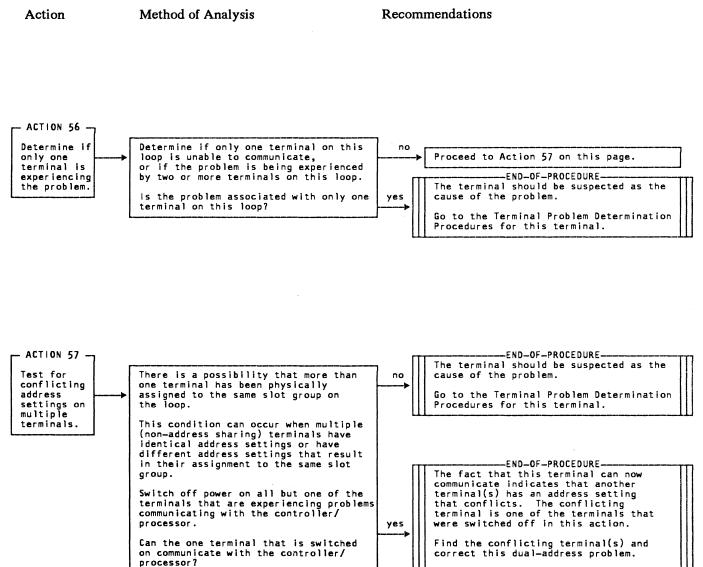
Action

Method of Analysis

Recommendations

This portion of the procedure checks for the possibility that more than one terminal has the same loop address (the same base slot assignment).





When the problem has been corrected switch on the terminals that were switched off for this action.

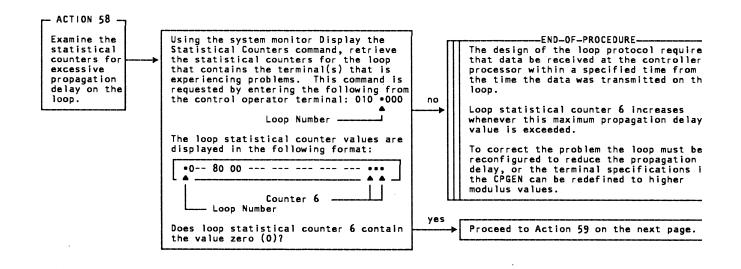
Action

Method of Analysis

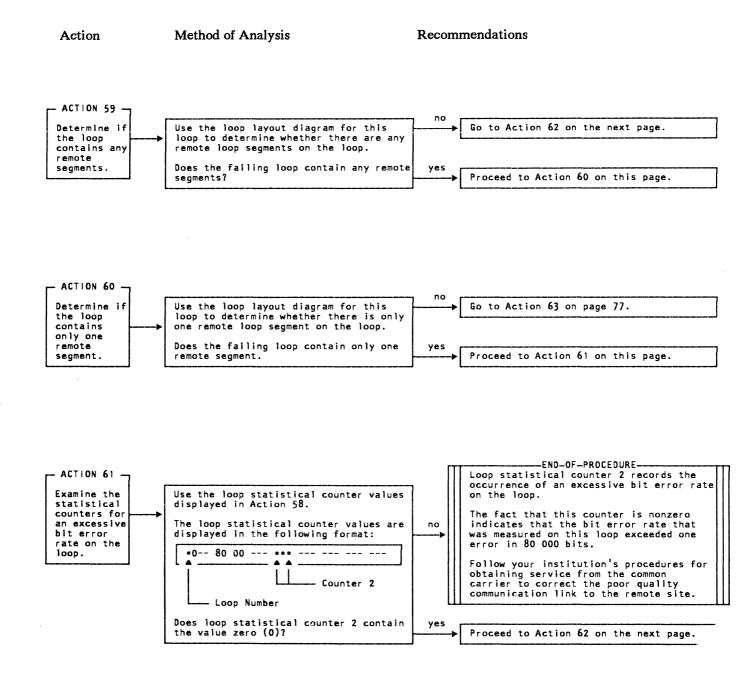
Recommendations

This portion of the procedure deals with intermittent loop problems.

The problems are such that all terminals at a given location will experience difficulty at times communicating with the controller/processor.



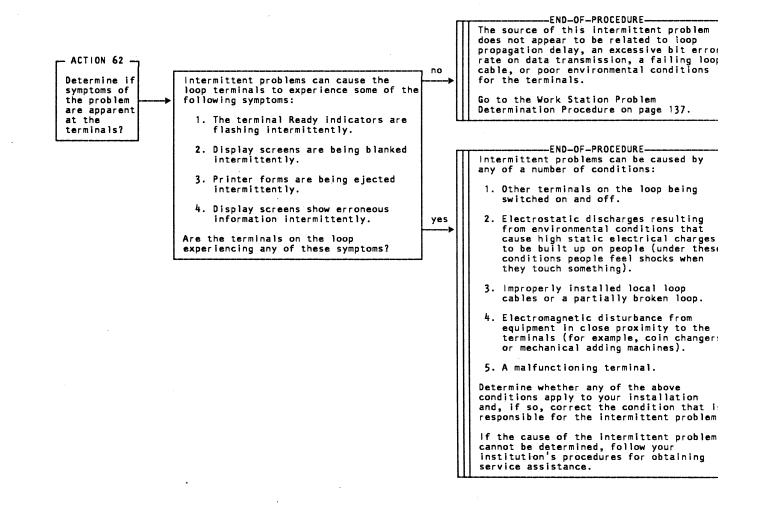
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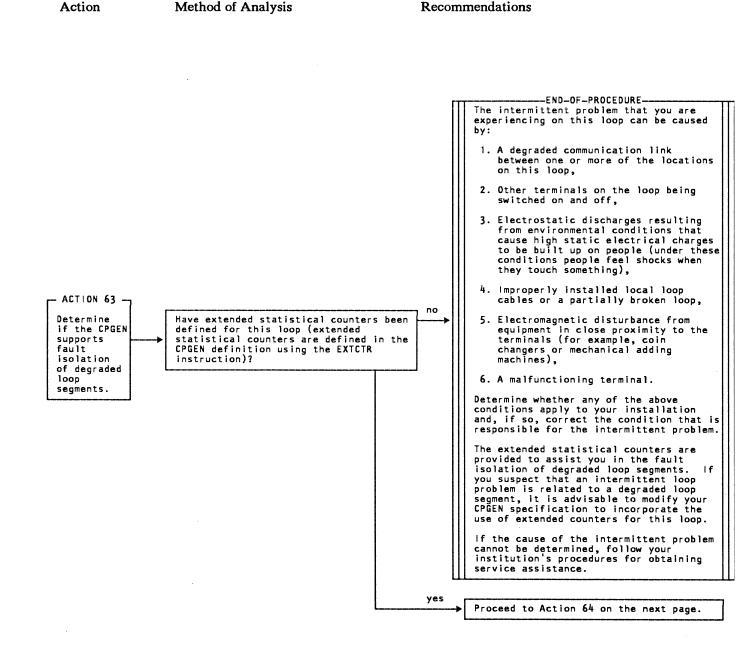


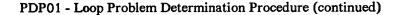
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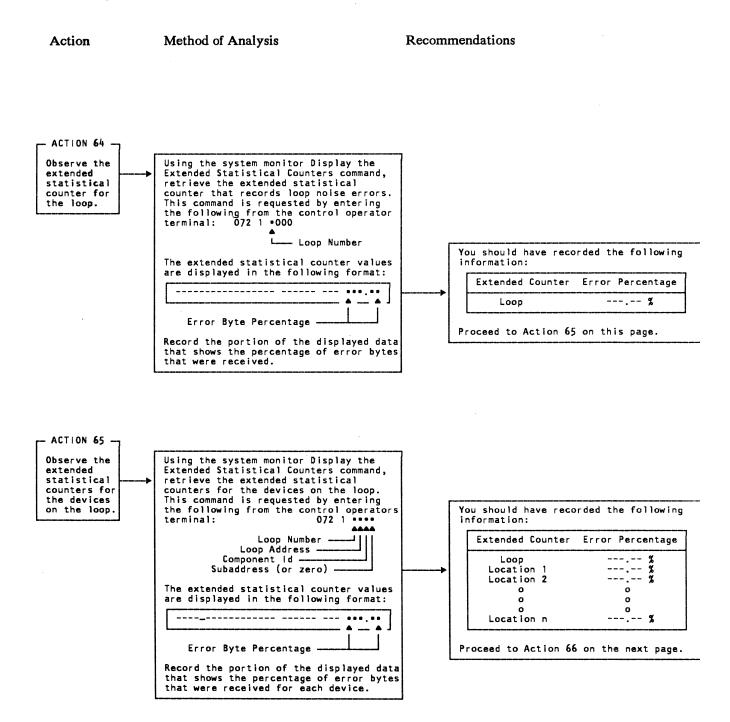
Action

Method of Analysis



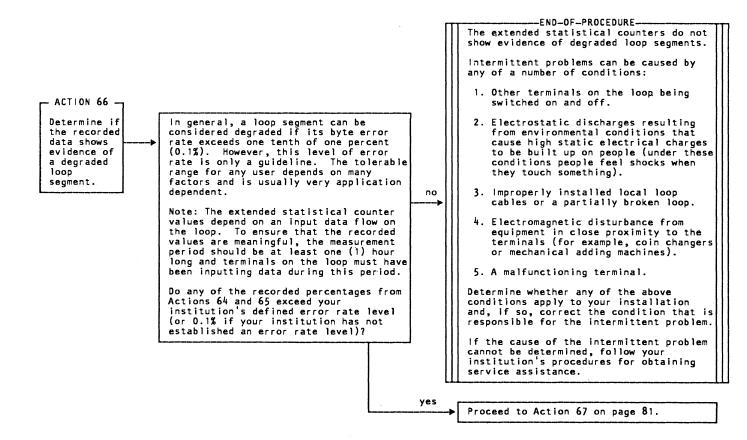






Action

Method of Analysis



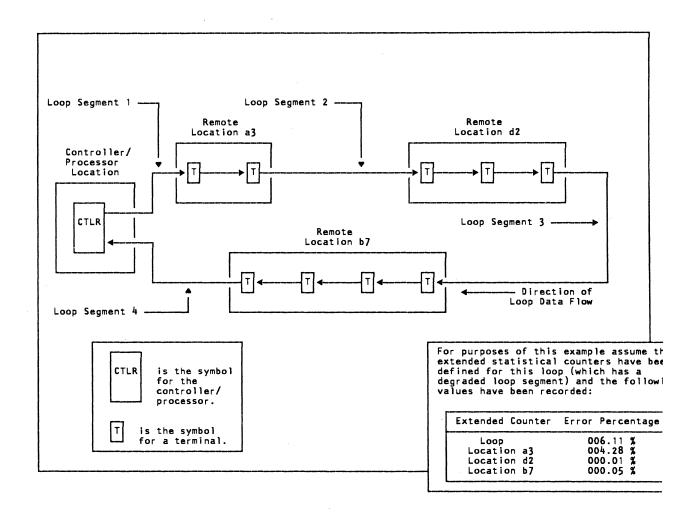
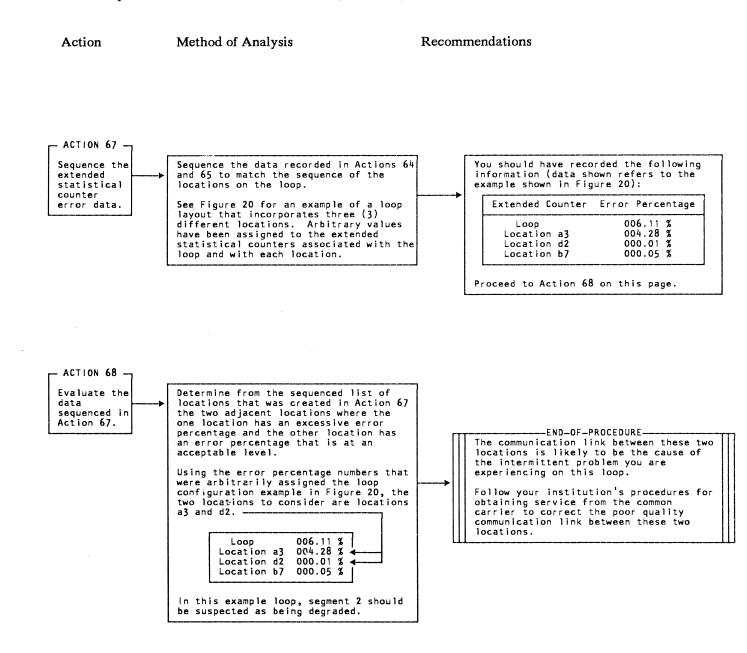


Figure 20. Example of a Multi-location Loop Configuration with a Degraded Loop Segment (loop segment 2)



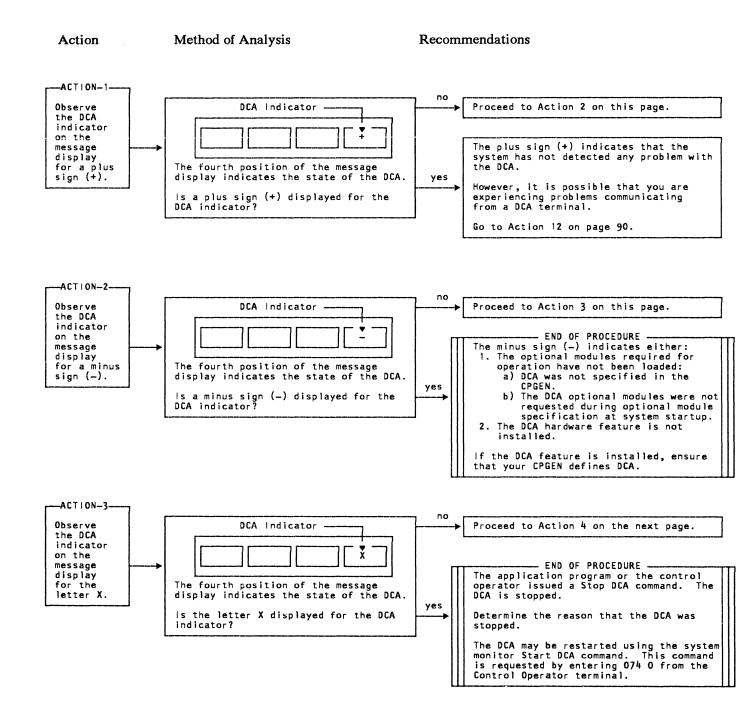
IBM 4700 Finance Communication System

Chapter Four

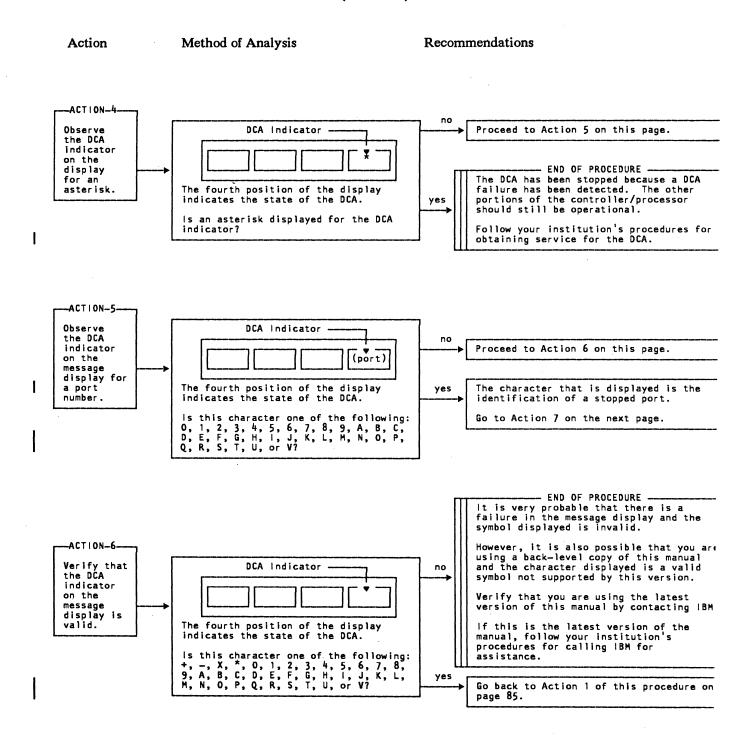
Device Cluster Adapter Problem Determination Procedure

Chapter Four

Device Cluster Adapter Problem Determination Procedure



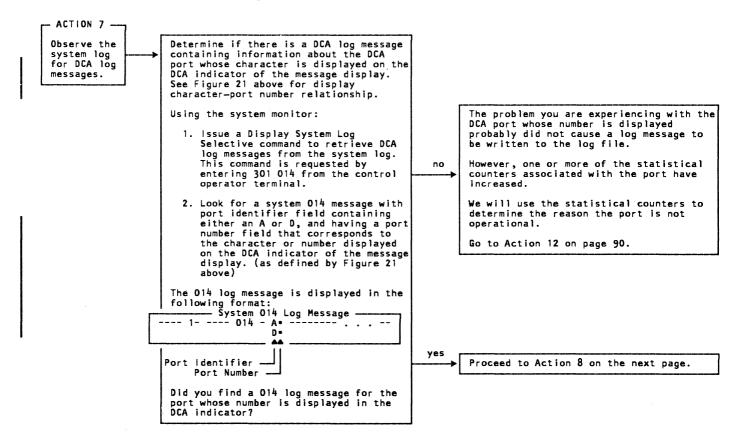
PDP02 - DCA Problem Determination Procedure



Action	Method of A	Method of Analysis		Recommendations			
	This portion of the procedure involves the analysis of DCA log messages related to a specific port.						
1	To reach this point in the procedure you will be experiencing problems with a DCA terminal. These problems may or may not cause the associated DCA port to be stopped.						
	Message Character	Port ID in	Port Number in	Port Number to be Used with System Monitor Commands:			

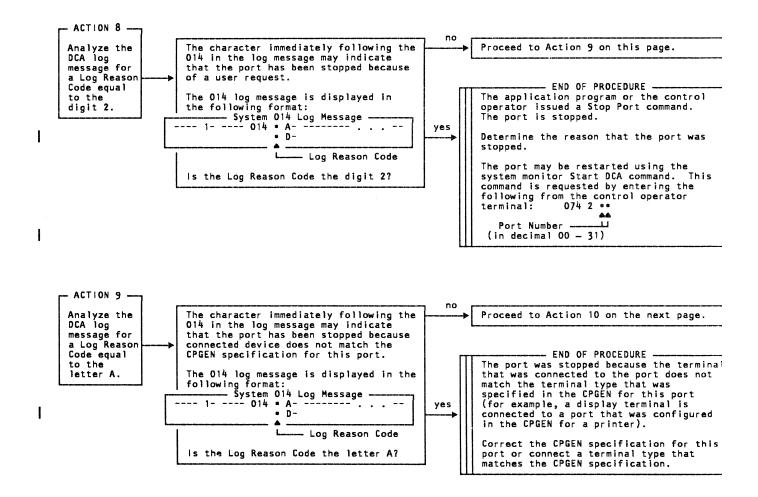
	Character Displayed	in System Log	in System Log	010	074
DCA Ports O thru 15	0 thru F	A	0 thru F	A 00 - 15	00 - 15
DCA Ports 16 thru 31	G thru V	D	0 thru F	D 00 - 15	16 - 31
		Ar 100 cm			

| Figure 21. Example of DCA Port Assignment



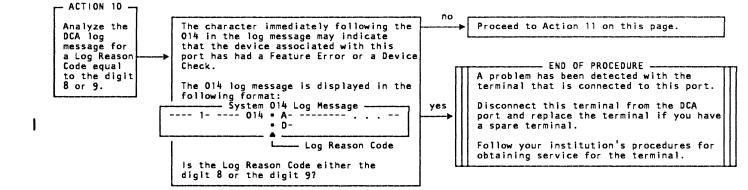
Action

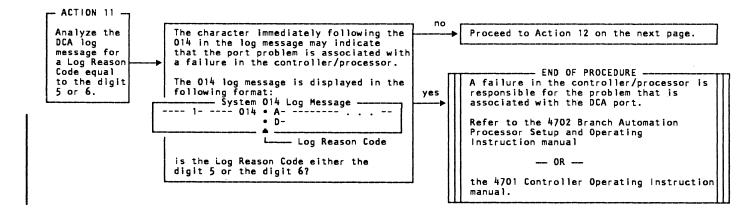
Method of Analysis



Action

Method of Analysis





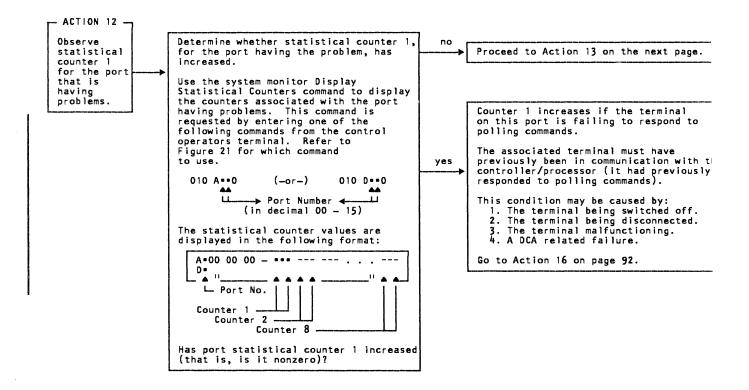
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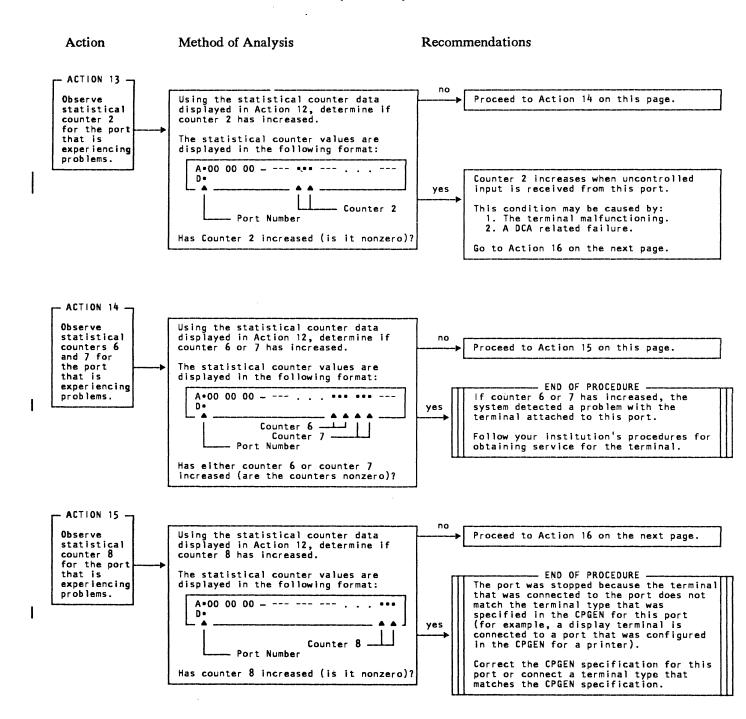
Method of Analysis

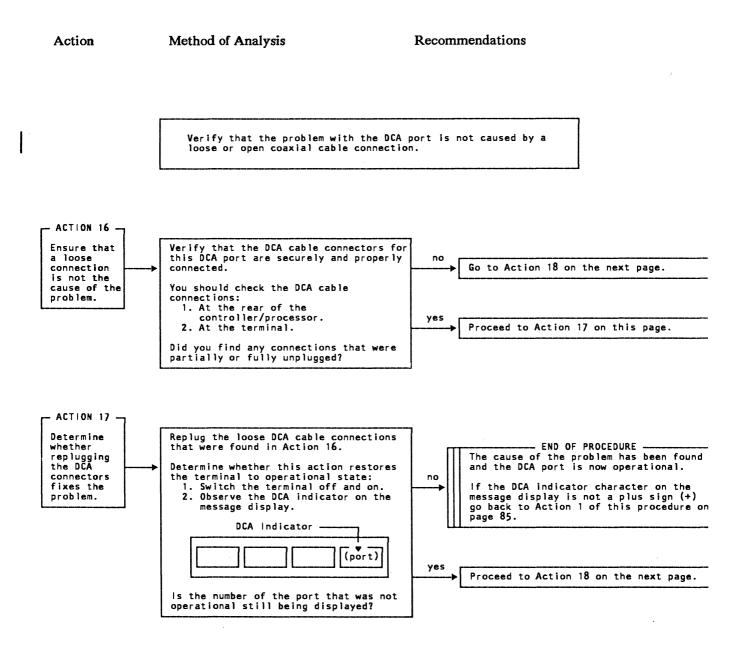
Recommendations

This portion of the procedure involves the analysis of the DCA statistical counters associated with a specific port.

To reach this point in the procedure you will probably be experiencing problems with a DCA terminal that have not caused the port to be stopped.





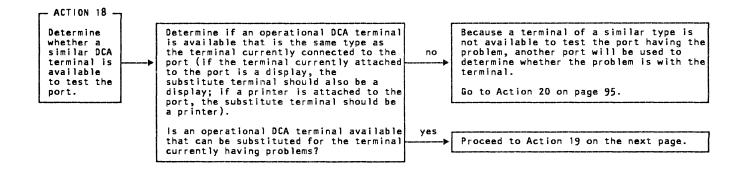


Action

Method of Analysis

Recommendations

In this portion of the procedure we shall determine the source of the problem by using a substitute terminal of the same type, if one is available, or by trying the inoperable terminal on a different DCA port.



PDP02 - DCA Problem Determination Procedure (continued)

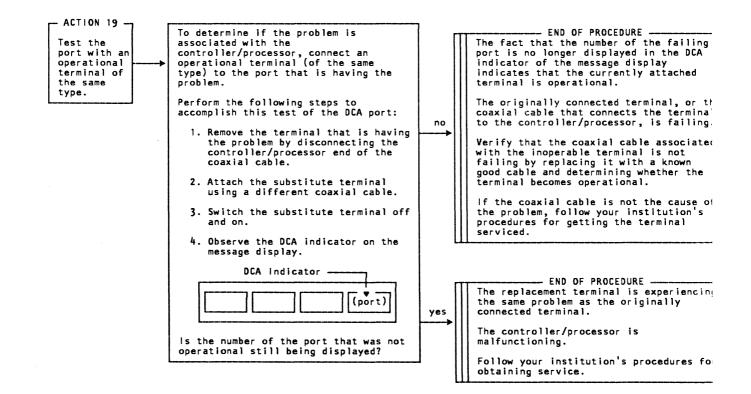
Action

Method of Analysis

Recommendations

Another terminal of the same type as the inoperative terminal is available for use as a test terminal.

We will connect this test terminal to the port that is having the problem. If this substitute terminal becomes operational the indication is that the originally connected terminal (or the coaxial cable that connects it) is failing. If the terminal does not become operational, the DCA port is failing and the controller/processor requires service.



PDP02 - DCA Problem Determination Procedure (continued)

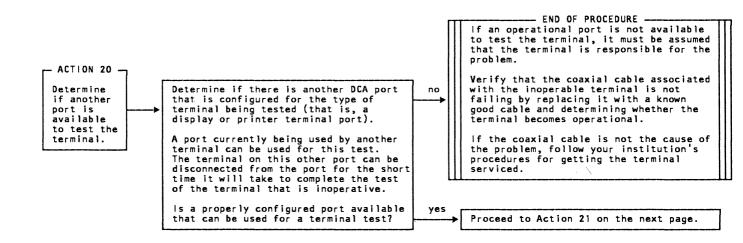
Action

Method of Analysis

Recommendations

A terminal that can be used as a test terminal (of the same type as the inoperable terminal) is not available.

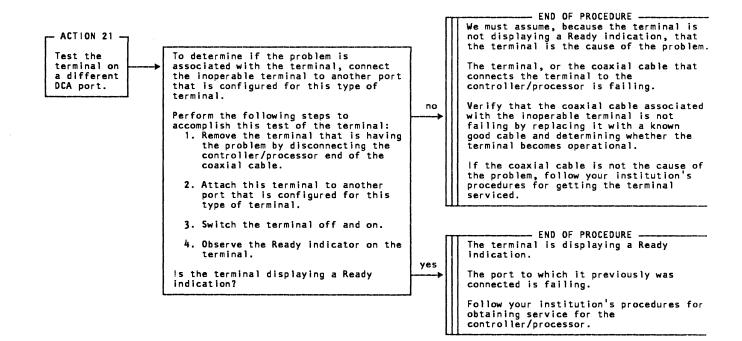
The inoperable terminal will be connected to a different port (if there is a properly configured port available) to test the terminal. If the terminal becomes operational, the port that it was previously connected to is failing and the controller/processor requires service. If the terminal does not become operational, the problem is with the terminal.



PDP02 - DCA Problem Determination Procedure (continued)

Action

Method of Analysis



Chapter Five

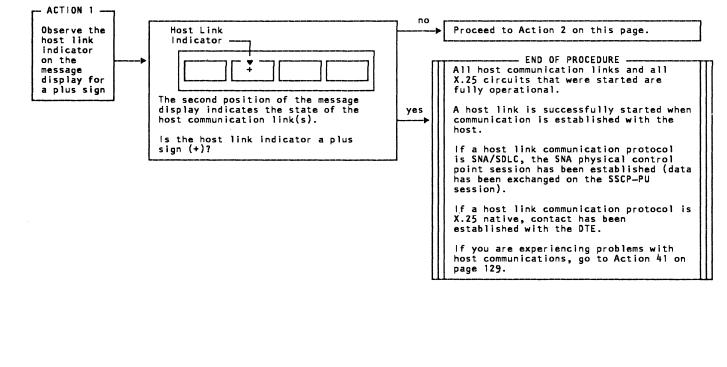
Host Link Problem Determination Procedure

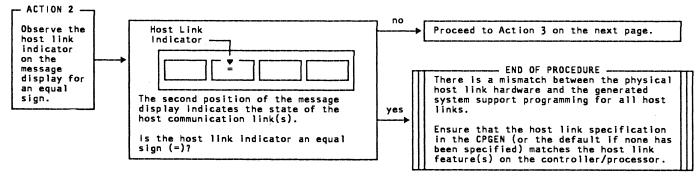
Chapter Five Host Link Problem Determination Procedure

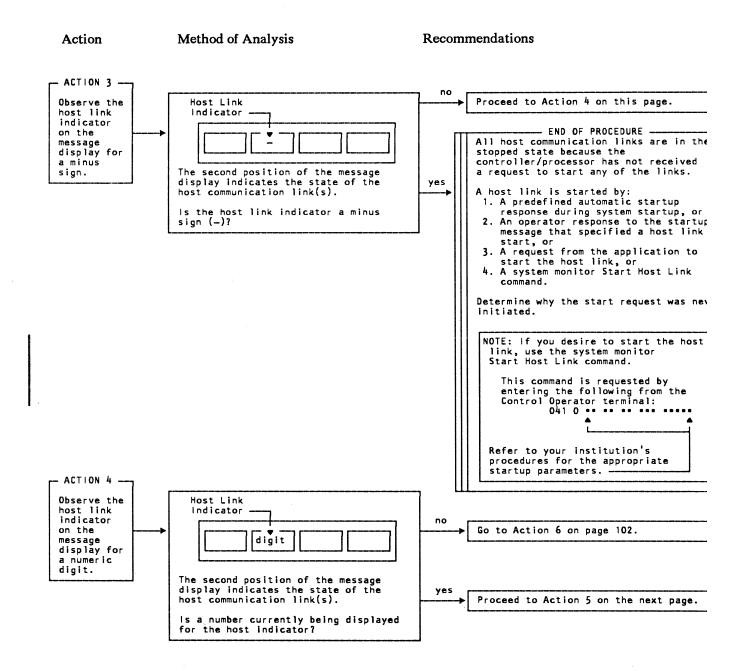


Action

Method of Analysis







Action

Method of Analysis

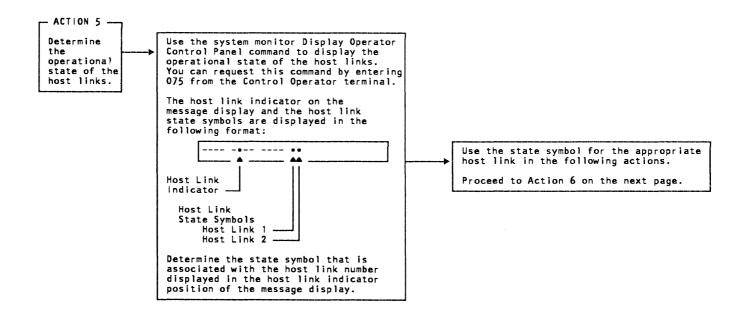
Recommendations

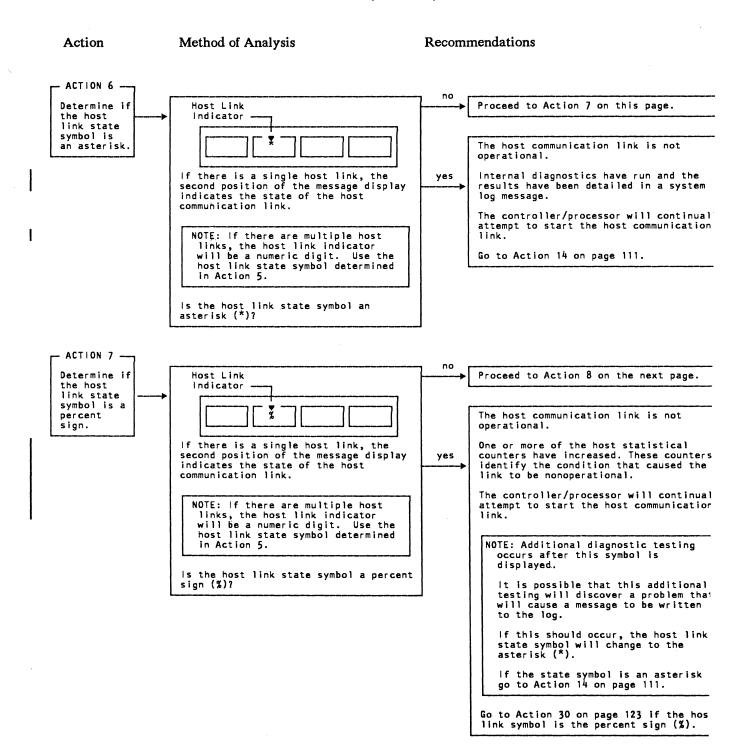
The display of a digit in the Host Indicator position of the message display indicates that there is more than one host link on the controller/processor and the link associated with the digit is not fully operational.

Because only one position on the message display is allocated to the host links, the number displayed is associated with the first link that becomes not fully operational. The status of the other link(s) is not displayed until the first link becomes operational or is stopped. However, you can determine the status of all the links using the system monitor Display Operator Control Panel command (see Action 5 for details).

The following action (Action 5) will retrieve the state symbol associated with the host link whose number is displayed on the message display. The state symbol will define the operational state of that link.

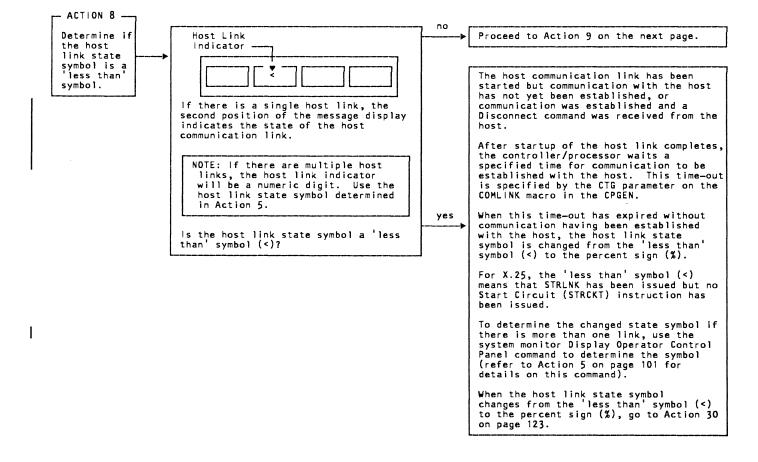
This state symbol will be referenced in the remainder of this procedure.





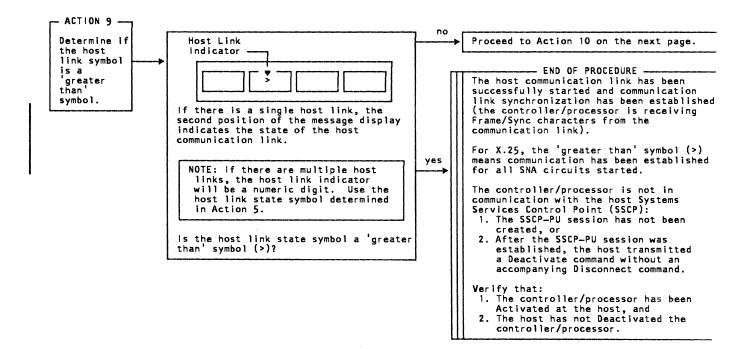
Action

Method of Analysis



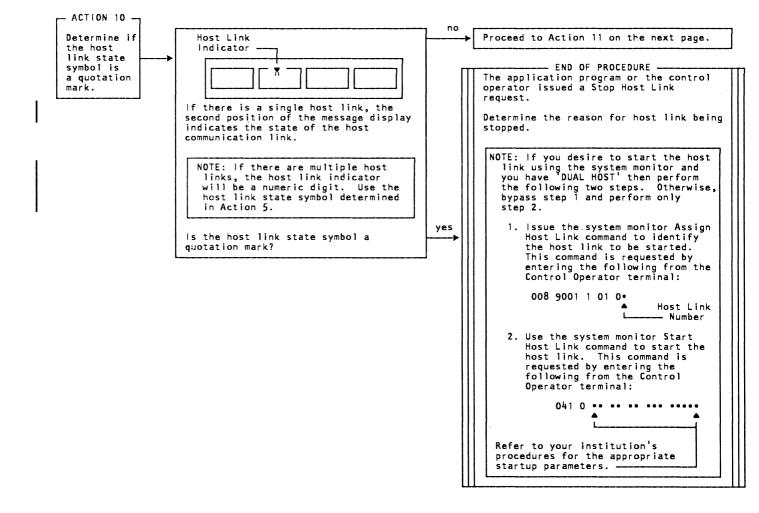
Action

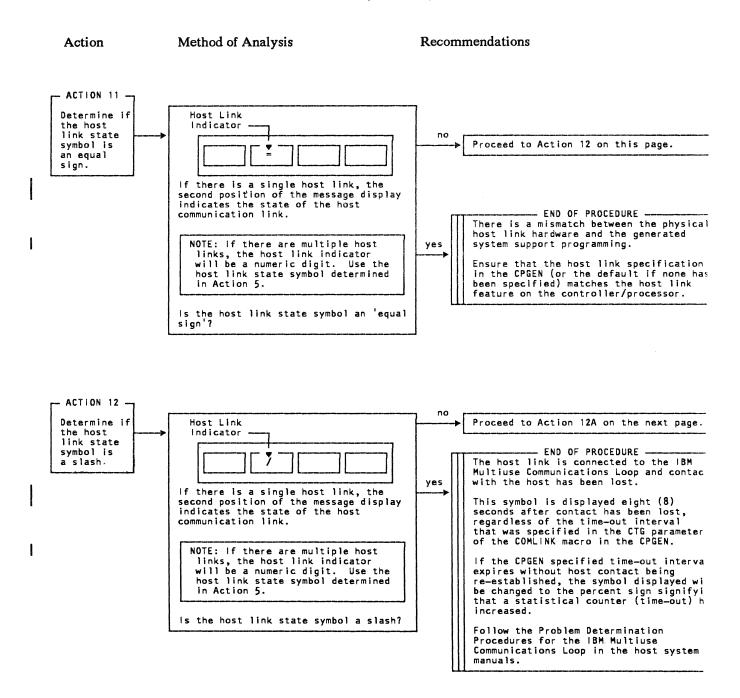
Method of Analysis

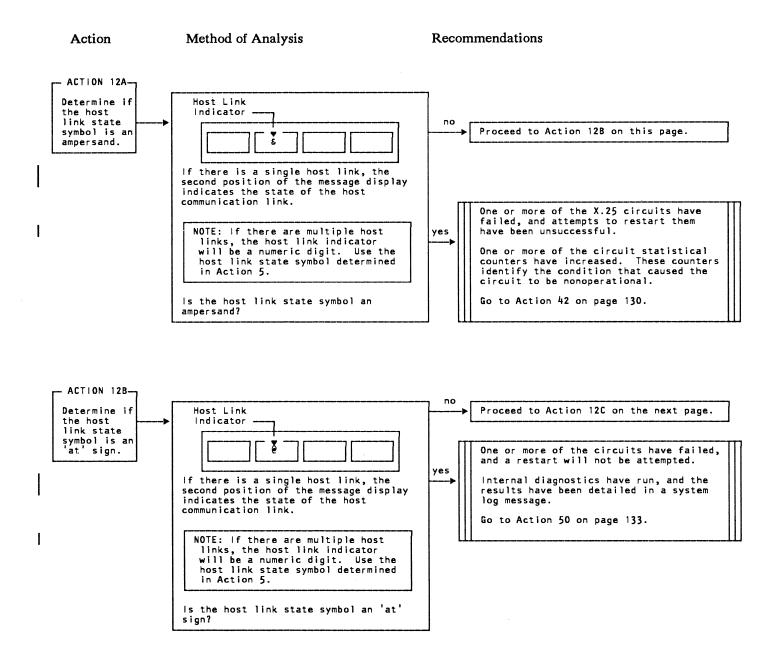


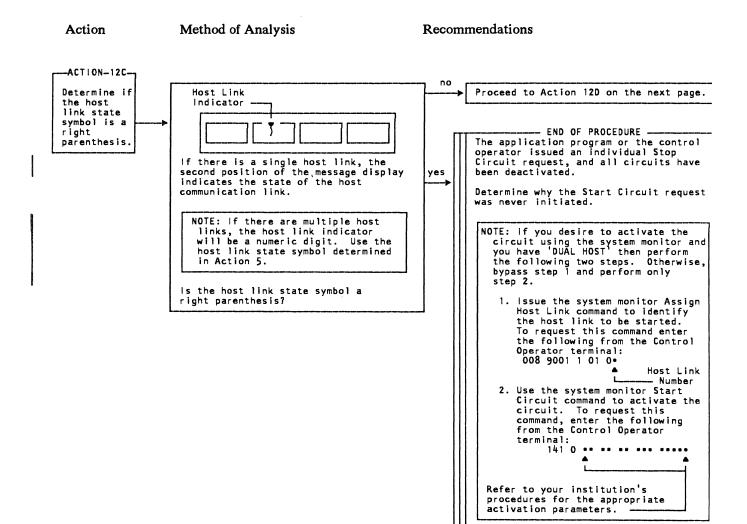
Action

Method of Analysis





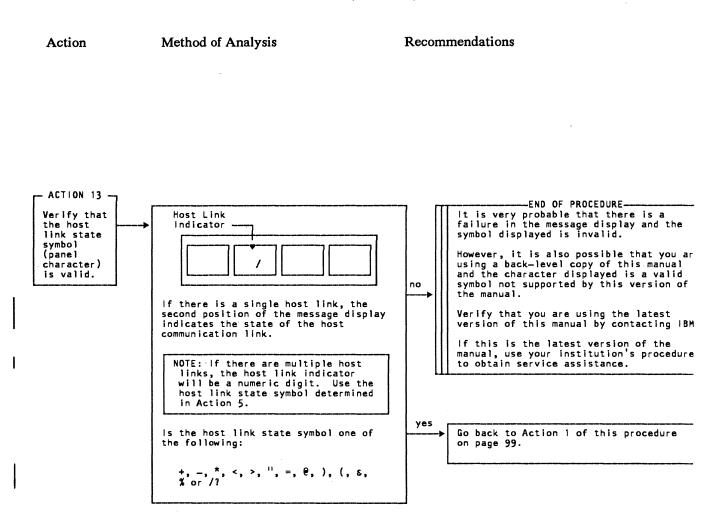




Method of Analysis

Action

ACTION 12D-Determine if Host Link the host Indicator link state no symbol is a Proceed to Action 13 on the next page. 7 left parenthesis. If there is a single host link, the second position of the message display indicates the state of the host communication link. --- END OF PROCEDURE -The host communication link has been Successfully started and one or more Start Circuit requests have been issued. However, contact has not been established with the associated DTE(s). NOTE: If there are multiple host links, the host link indicator will be a numeric digit. Use the host link state symbol determined in Action 5. yes -> Verify that the DTE(s) is powered on and operational. Is the host link state symbol a left parenthesis?



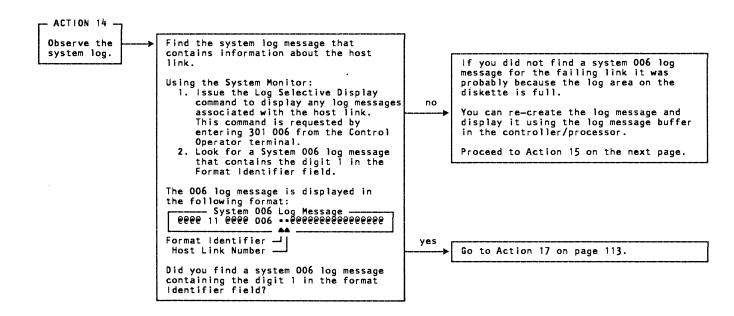
Action

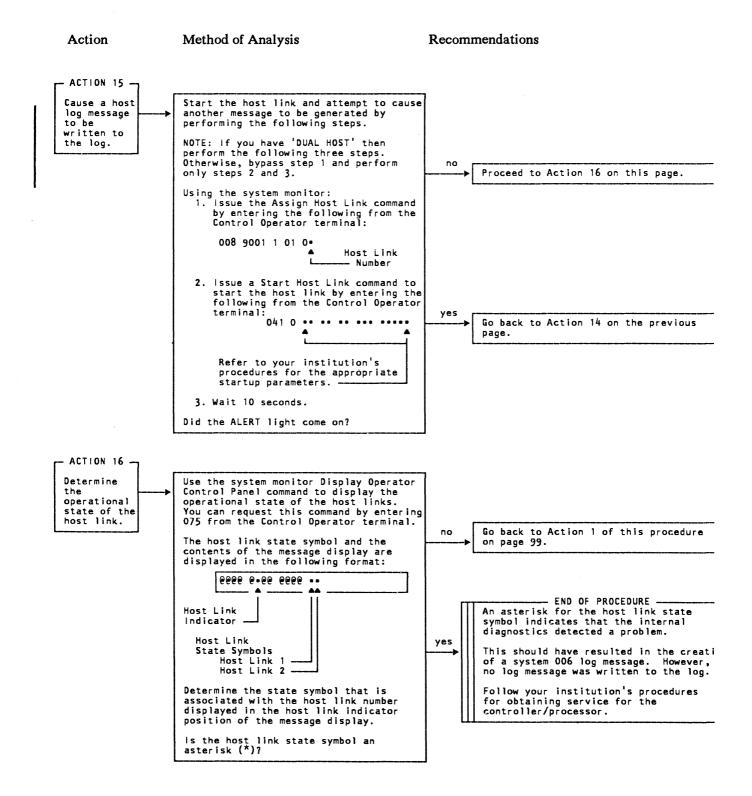
Method of Analysis

Recommendations

This portion of the procedure involves the analysis of the host related system log messages.

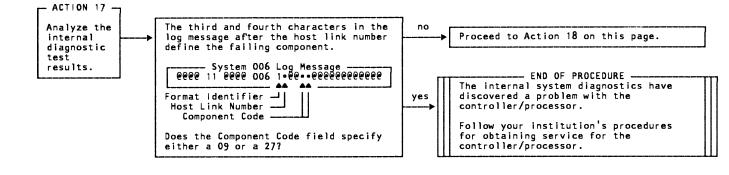
You should now have an asterisk (*) for the host link state symbol.

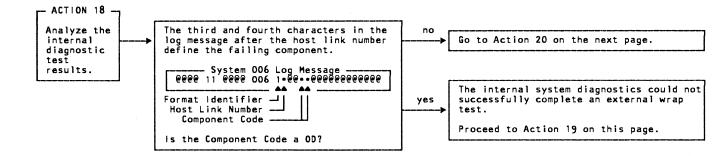


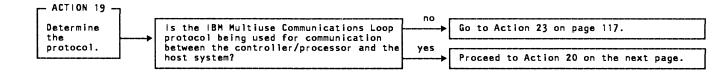


Action

Method of Analysis





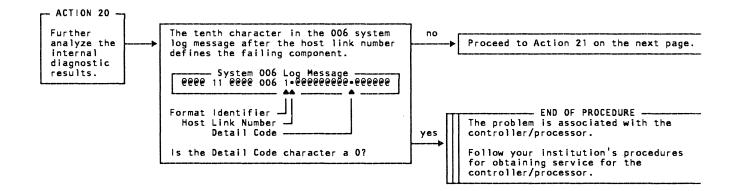


Action

Method of Analysis

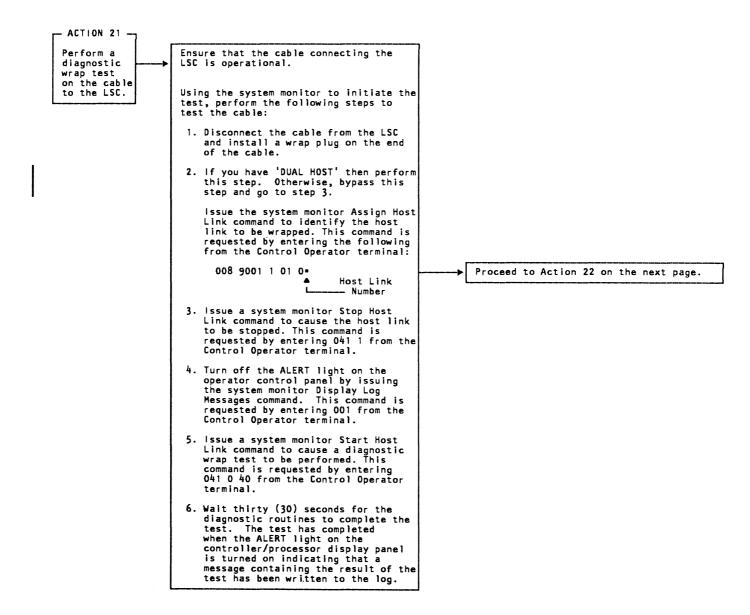
Recommendations

It was determined in Action 19 that the IBM Multiuse Communications Loop is used for the link between the controller/processor and the host. The following action (Action 20) further analyzes the 006 log message.



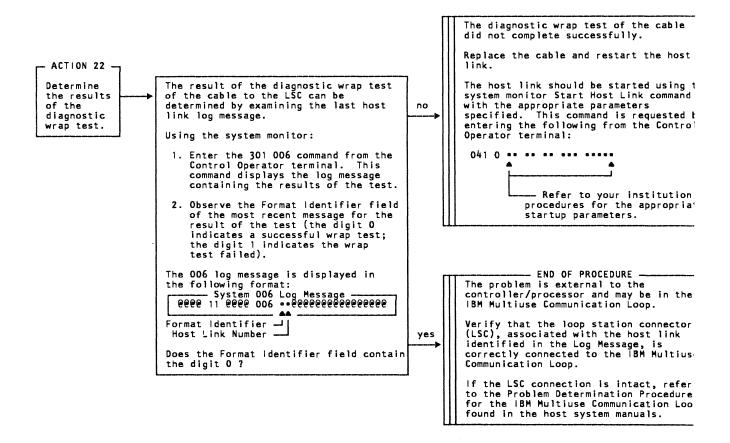
Action

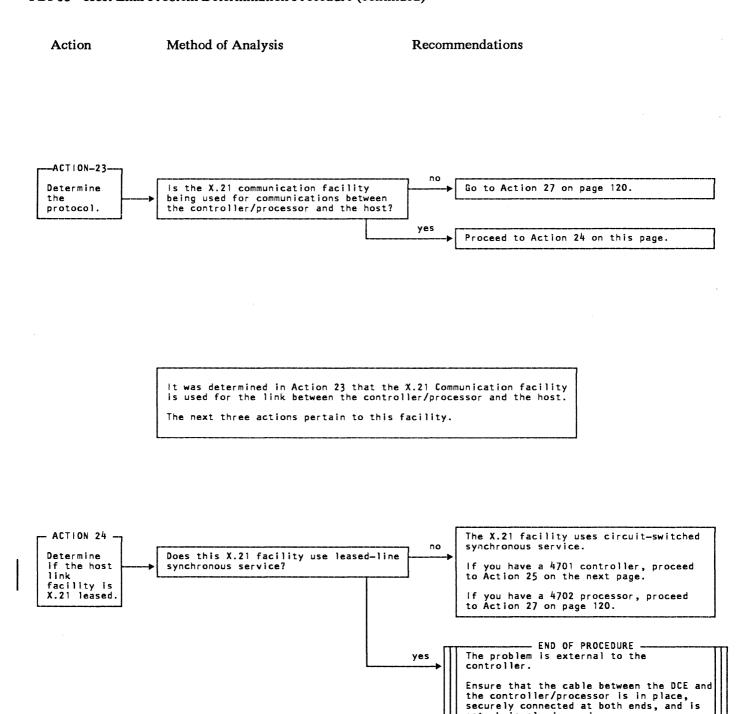
Method of Analysis



Action

Method of Analysis



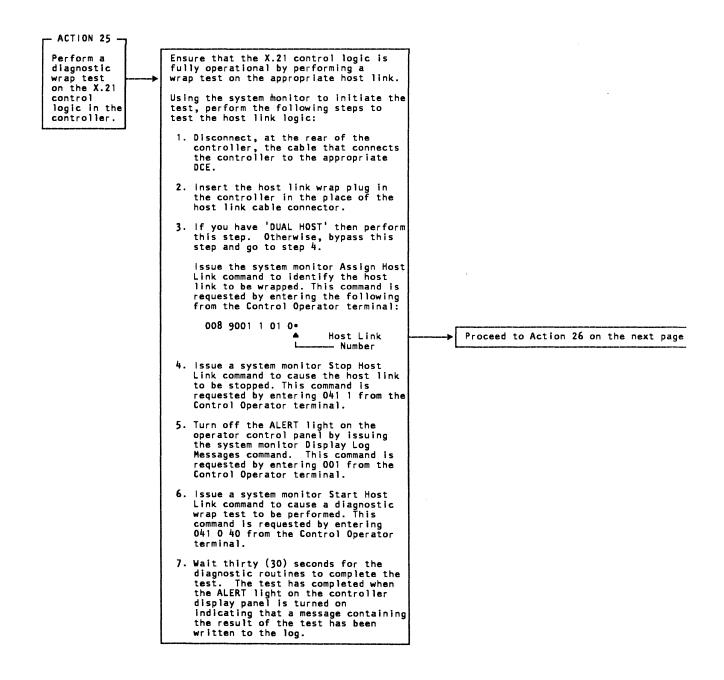


Subsystem Problem Determination Guide

not obviously damaged.

Action

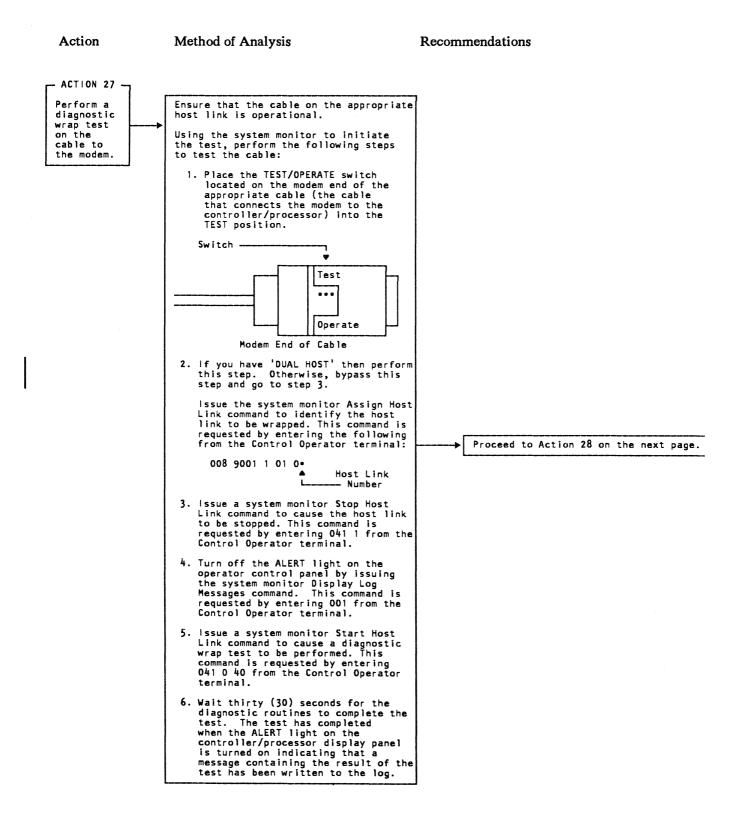
Method of Analysis



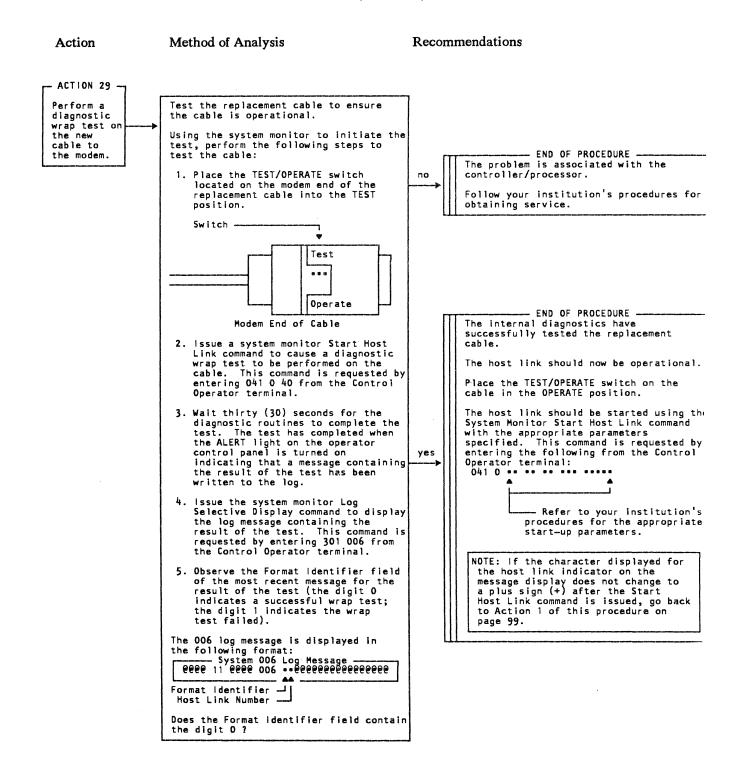
Action

Method of Analysis

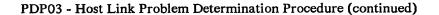
Determine the results of the X.21 control logic can be determined by examining the last host link log message. Using the system monitor to initiate the test, perform the following steps to test the host link logic: 1. Issue the system monitor Log Selective Display command to display the log message containing the result of the test. This command is requested by entering 301 006 from from the Control Operator terminal. 2. Observe the Format Identifier field of the most recent message for the result of the test (the digit 0 indicates a successful wrap test; the digit 1 indicates the wrap test failed). The 006 log message is displayed in the following format: Performat Identifier field contain Format Identifier field contain Format Identifier field contain the following format: Performat Identifier field contain Host Link Number format Identifier field contain the digit 0 ? Does the Format Identifier field contain the digi
You may elect, at this point, to restart the host link that was just tested will no longer be displayed in the host indicator on the message display. You may elect, at this point, to restart the host link even though the physical link is currently not operational. If the host link is started, the controller will continually monitor the state of the link and when the link becomes operational the controller will complete the start procedure. The host link should be started using the

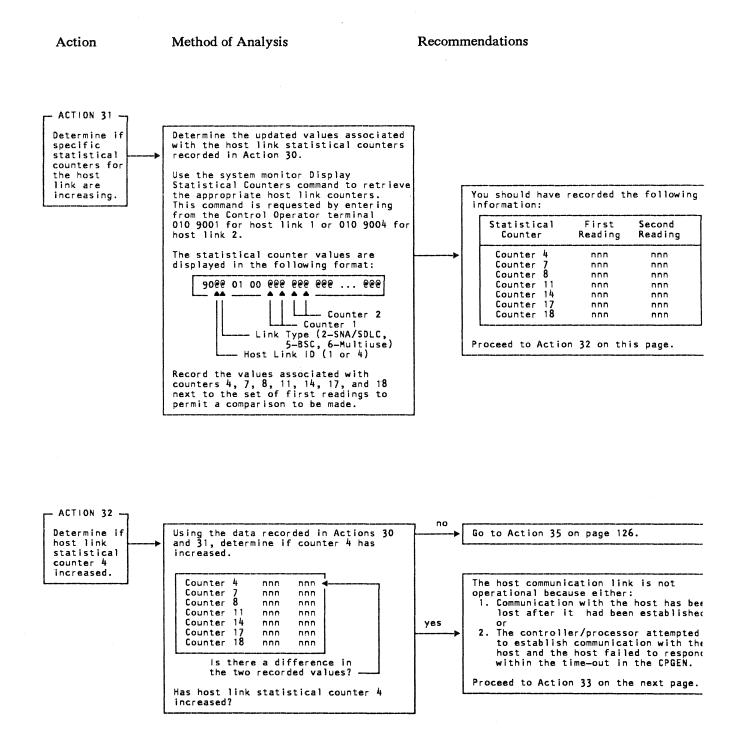


Action	Method of Analysis	Recom	mendations
ACTION 28 - Determine the results of the diagnostic wrap test.	 The result of the diagnostic wrap test of the cable can be determined by examining the last host link log message. Using the system monitor to initiate the test, perform the following steps to test the host link logic: Issue the system monitor Log Selective Display command to display the log message containing the result of the test. This command is requested by entering 301 006 from the Control Operator terminal. Observe the Format Identifier field of the most recent message for the result of the test (the digit 0 indicates a successful wrap test; the digit 1 indicates the wrap test failed). The 006 log message is displayed in the following format:	yes	The diagnostic wrap test of the cable did not complete successfully. Replace the cable with a similar cable that is known to be operational. Proceed to Action 29 on the next page. The cable tested successfully. The problem is associated with the modem. Ensure that the modem is fully operational and that the modem, if not wrappable, has not been incorrectly specified as wrappable in the CPGEN. Place the TEST/OPERATE switch on the cable in the OPERATE position. NOTE: If there is a single host link, the host indicator on the message display will show a quotation mark ('') signifying the host link is stopped. If there are multiple host links, the number of the host link that was just tested will no longer be displayed in the host indicator on the message display. You may elect, at this point, to restart the host link is started, the controller/processor will continually monitor the start of the link and when the link becomes operational. If the host link is started, the controller/processor will continually monitor the start of the link and when the link becomes operational, the start procedure will complete. The host link should be started using the system monitor Start Host Link command with the appropriate parameters specified. This command is requested by entering the following from the Control Operator terminal: 0410 •••••••



Action	Method of Analysis	Recommendations	
	The host link state symbol, for the l should be a percent sign (%). If the other than the percent sign, go back procedure, on page 99. The % indicates that the host commun operational because of one of the fo	e state symbol is som to Action 1 of this cation link is not	
	 Communication with the host sys' had been established. The controller/processor attempt communication with the system attempt 	em has been lost aft ed to establish	
	to respond within the time-out s 3. The controller/processor could n with the modem.	pecified in the CPGE ot establish a conne	N. sction
	 The controller/processor could r a message to the host system af Invalid internal status was deter operation. 	er making 20 attempt	
	 Either of two signal lines was Communications Loop. Because one or more of the host stat increased as a result of this condit will analyze these counters. 	stical counters have	
ACTION 30 - Determine a reference point for an examination of the host link statistical counters.	Determine the initial values associated with specific host link statistical counters. Use the system monitor Display Statistical Counters command to retrieve the appropriate host link counters. This command is requested by entering from the Control Operator terminal 010 9001 for host link 1 or 010 9004 for host link 2. The statistical counter values are displayed in the following format: 90000 01 00 000000000000000000000000000	The system host link. again unsu statistica You must w to ensure attempt. greater th specified COMLINK ma	ical First Second er Reading Reading er 4 nnn er 7 nnn er 8 nnn er 11 nnn er 14 nnn er 17 nnn

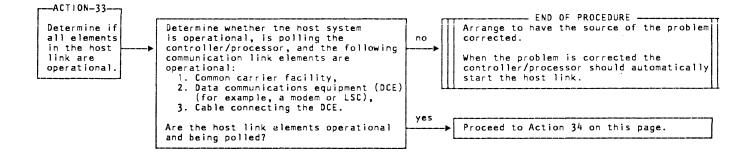


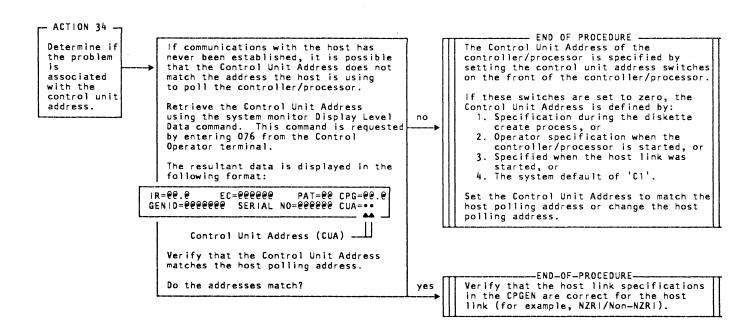


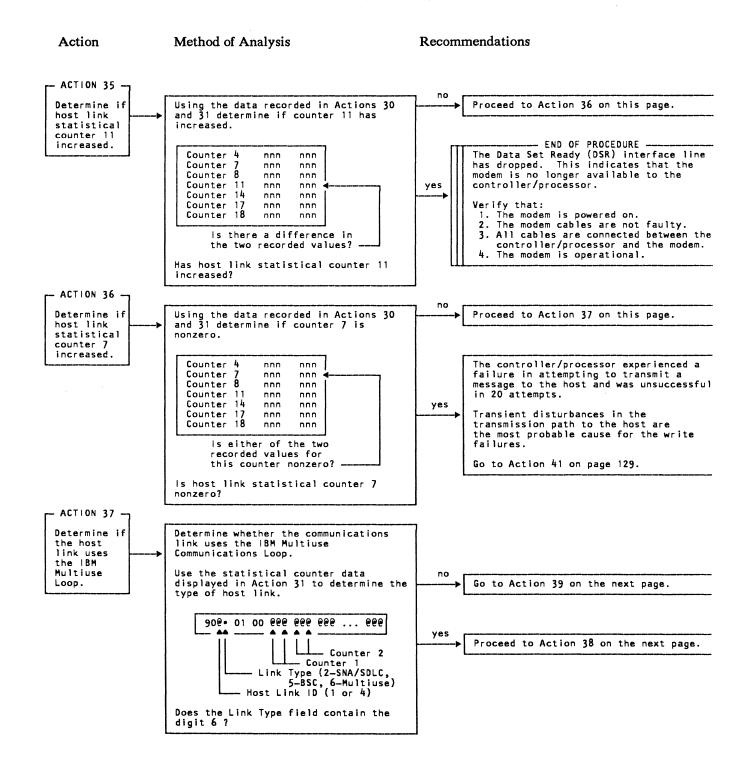


2tı	on

Method of Analysis







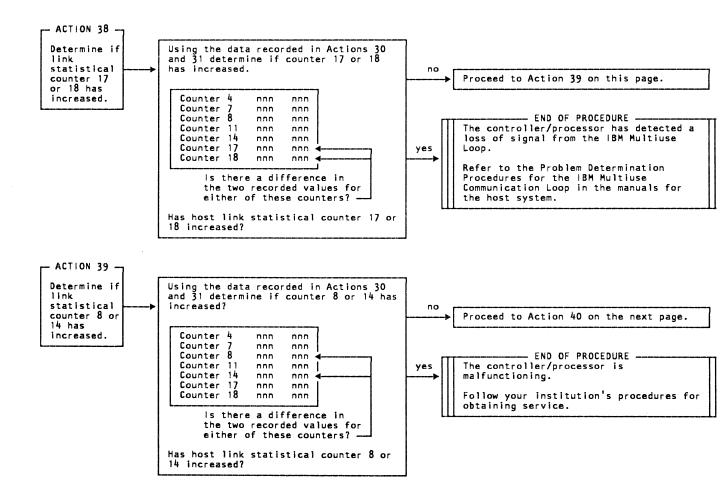
Action

Method of Analysis

Recommendations

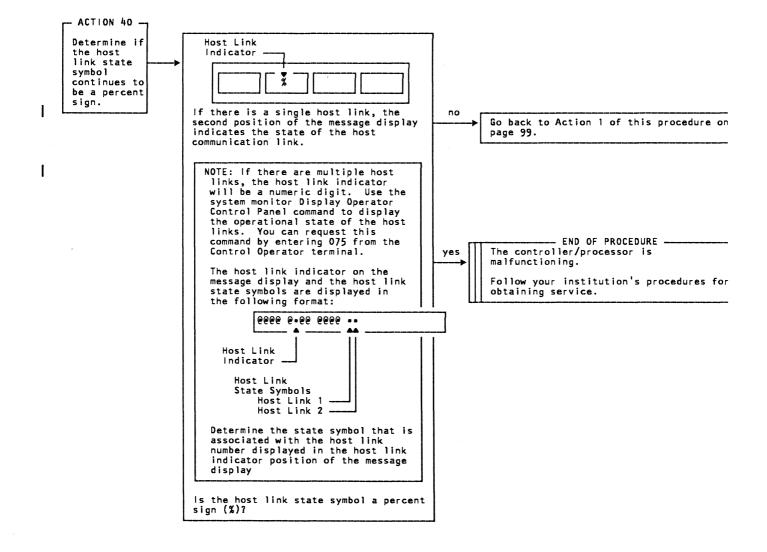
The IBM Multiuse Communication Loop is used for the link between the controller/processor and the host.

Action 38 analyzes two of the host statistical counters that are unique to this communication protocol.





Method of Analysis



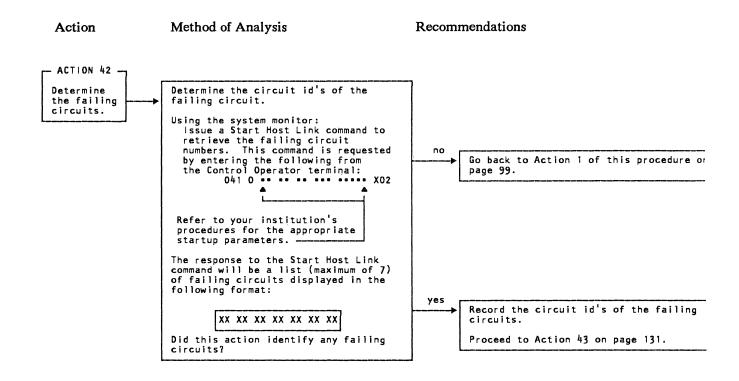
Action

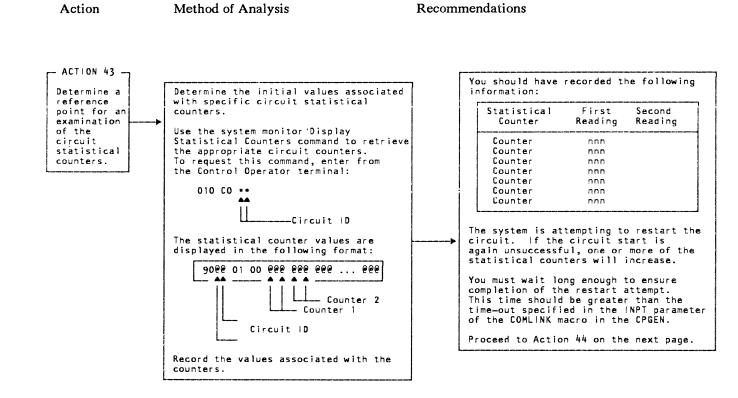
Method of Analysis

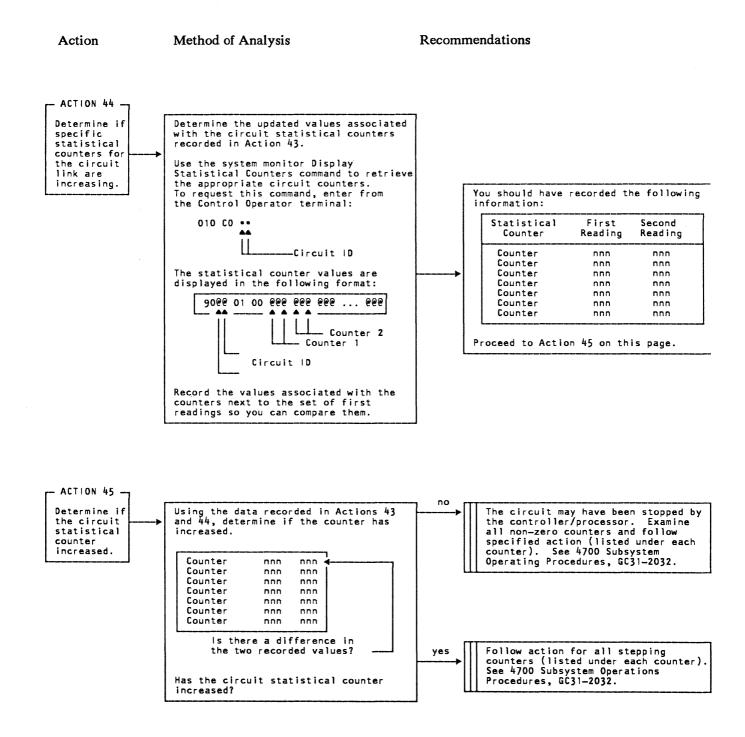
Recommendations

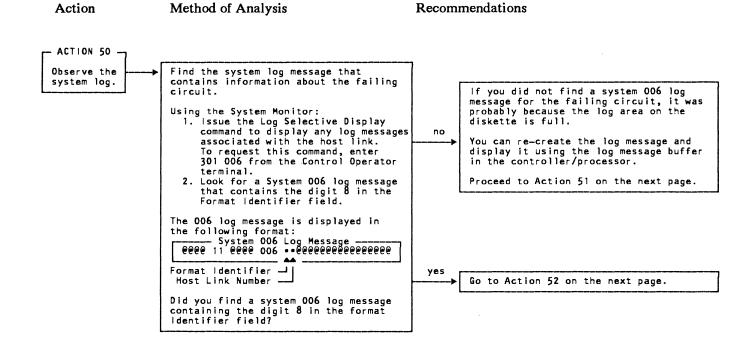
This portion of the procedure determines whether the bit error rate on the host communication facility is excessive.

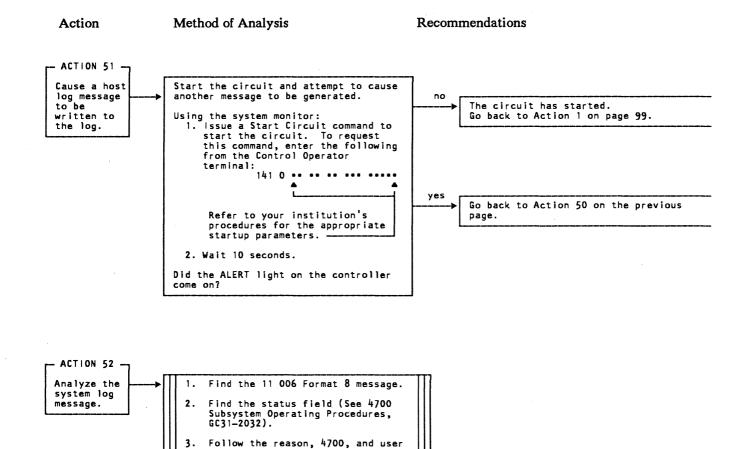
ACTION 41 - Analyze the system log.	Find the system log message that contains information about the bit error rate on the host link. Using the system monitor: 1. Issue the Log Selective Display command to display any log messages associated with the host link. This command is requested by entering 301 006 from the Control Operator terminal.	no	END OF PROCEDURE The host communication link is fully operational with no problems detected. Communication has been established with the host system and there is no excessive bit error rate on data transmission. If you have problems with communicating with the host, go to the Work Station Problem Determination Procedure on page 137.
	 2. Look for a System 006 log message that contains the digit 7 in the Format Identifier field. The 006 log message is displayed in the following format: System 006 Log Message Geeee 11 Geee 006 ••Geeeeeeeeeeeeeeeeeeeeeeeeeeeeeeeeeee	yes	END OF PROCEDURE The communication facility (identified by the Host Link Number) has excessive transient disturbances that are affecting data transmissions between the controller/processor and the host. The controller/processor has recorded 32 messages received or transmitted in error in a total of less than 256 messages. Follow your institution's procedures for notifying the common carrier that the bit error rate on the host link is excessive.











action.

Chapter Six Work Station Problem Determination Procedure

Chapter Six

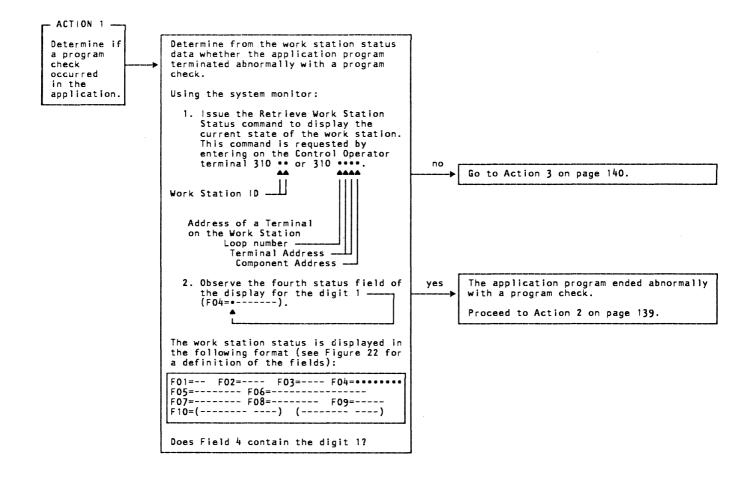
Work Station Problem Determination Procedure

PDP04 – Work Station Problem Determination Procedure

Action

Method of Analysis

Recommendations



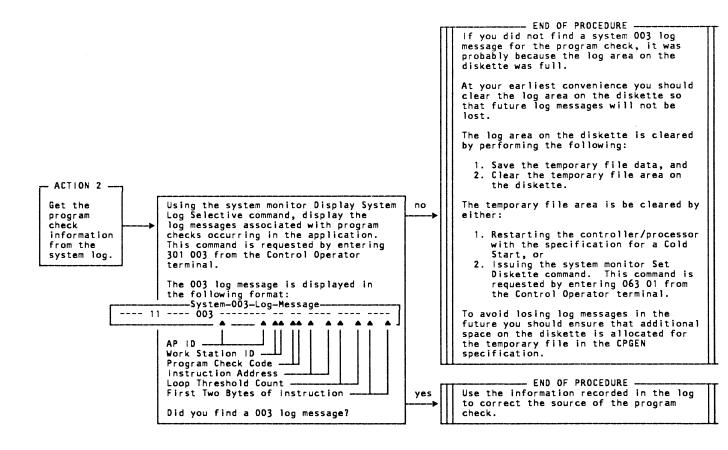
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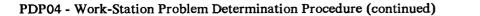
F 01=•• F 0 2 $F 0 3 = \bullet \bullet \bullet$ F 0 4 =. 0 5 06 F 0 0 9 F 1 0)) (. Format οf the Display Screen FIELD FIELD DESCRIPTION FIELD FIELD DESCRIPTION E01 Work Station Identification F06 Detail of Last Instruction Executed F02 Program Instruction Counter F07 Application Program Name F03 Work Station Pause Counter F08 Work Station State Indicators rk Station State Indicators 1 — Terminal Cancel interrupt 2 — Timer has interrupted 3 — Posting interrupt 4 — ALA message pending 5 — Host input message pending 6 — Terminal input message pending F04 Combined Status Flag 1 --- Program Check has occurred 2 --- Station is in session w/host --- Station is at PAUSE inst. --- Station issued LEXIT inst. 34 5 --- Host protocol is SNA 6 --- Timer is set 7 --- Reserved 7 --- Station message pending 8 --- Reserved 8 Terminal Address (if in terminal wait) --- Reserved F09 F05 Work Station Status Flag 1 F10 APCALL Stack Counter (2 entries) 1 --- Idle
2 --- Terminal Wait (can't cancel)
3 --- Terminal Wait (can cancel)
4 --- Host Wait (can't cancel)
5 --- Host Wait (can cancel) Name of the application program that was called and the address of the APCALL instruction (for each entry). 5 6 --- Reserved 7 --- Reserved --- Reserved 8



Action

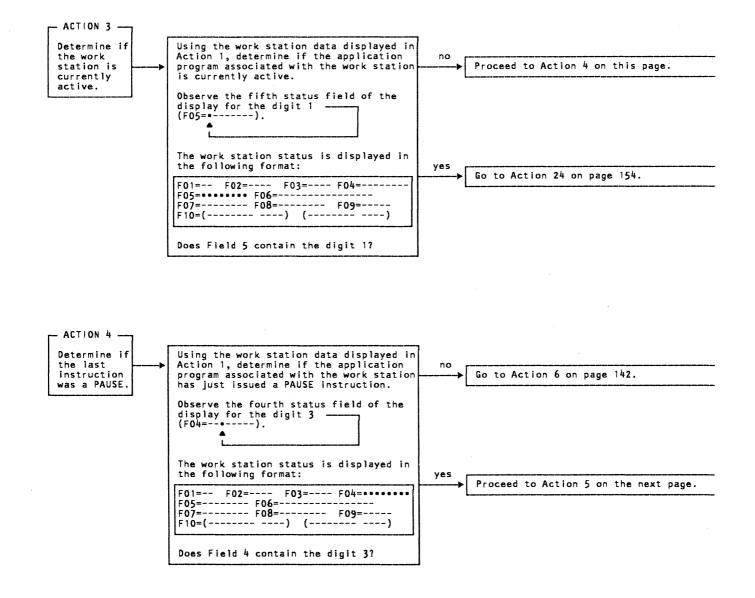
Method of Analysis







Method of Analysis

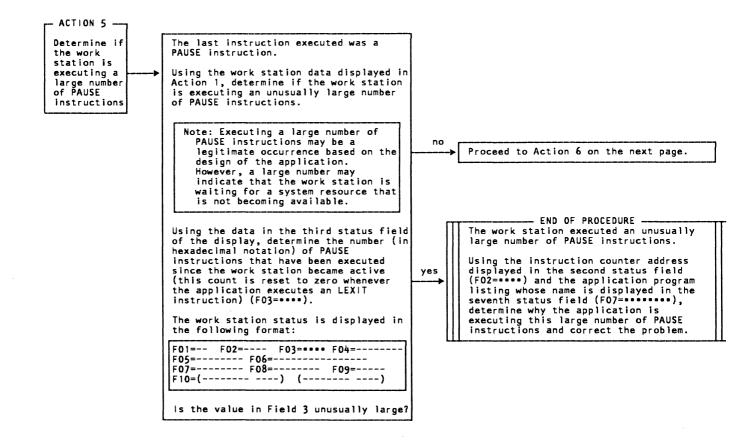


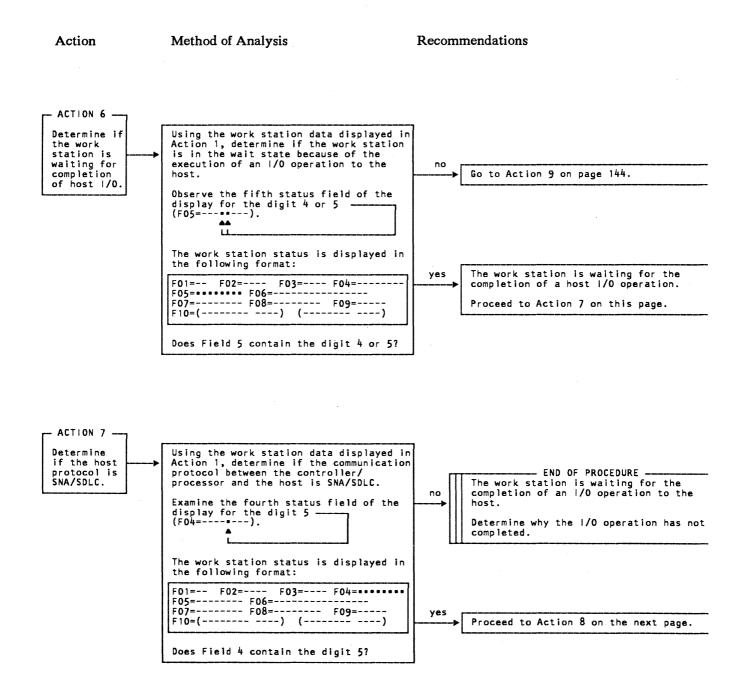
Action

Method of Analysis

Recommendations

The last application instruction executed was a PAUSE. We shall determine whether the work station executed an unusually large number of PAUSE instructions.





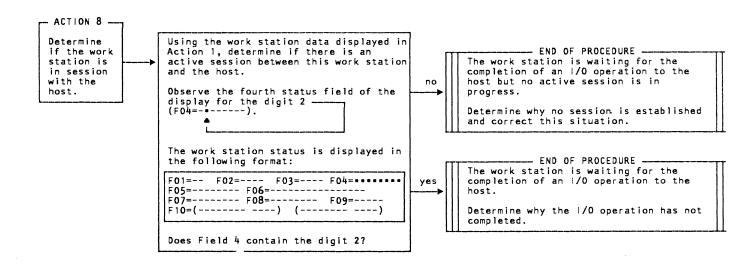
Action

Method of Analysis

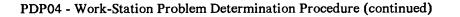
Recommendations

The work station is waiting for the completion of a host directed 1/0 operation and the host communication protocol is SNA/SDLC.

The next action will determine if the work station is in session with the host.

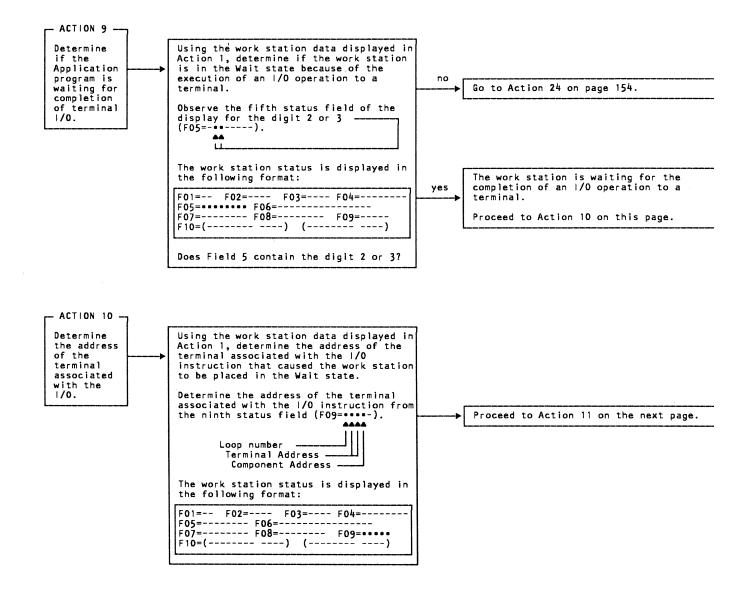


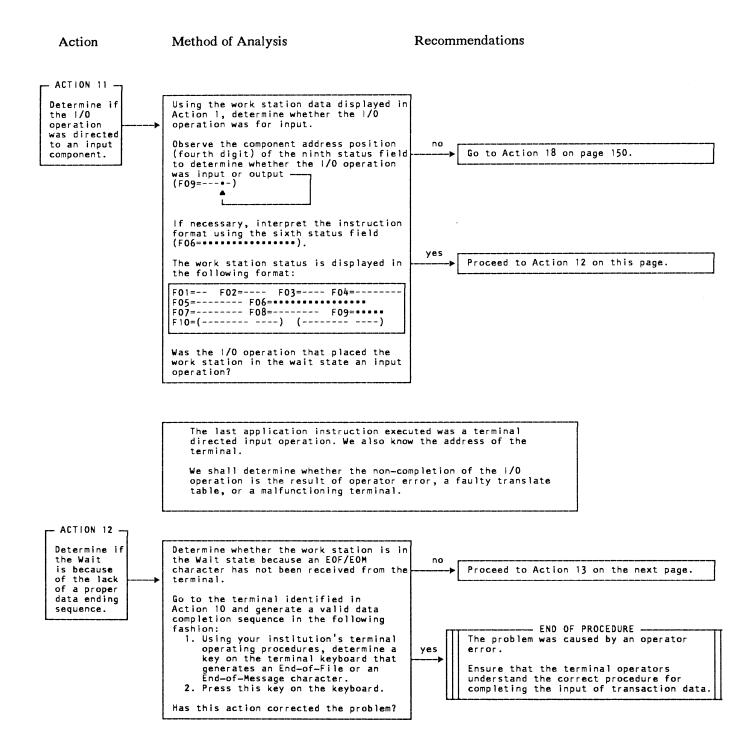
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Action

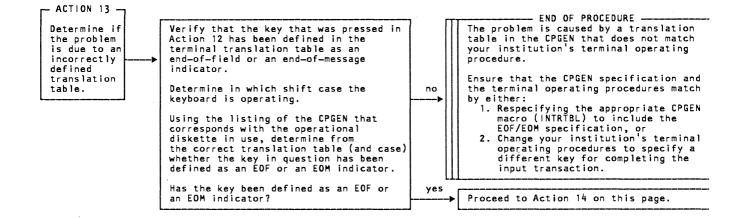
Method of Analysis

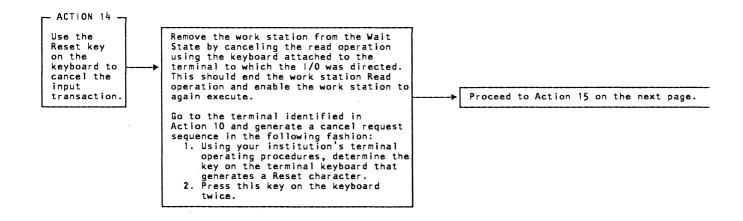




Action

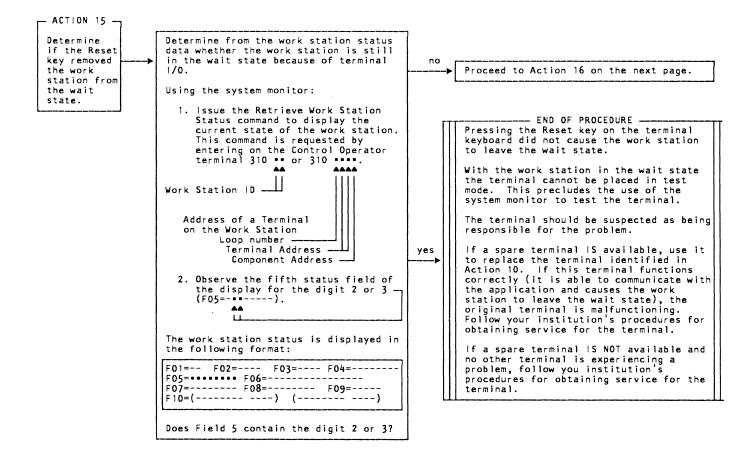
Method of Analysis





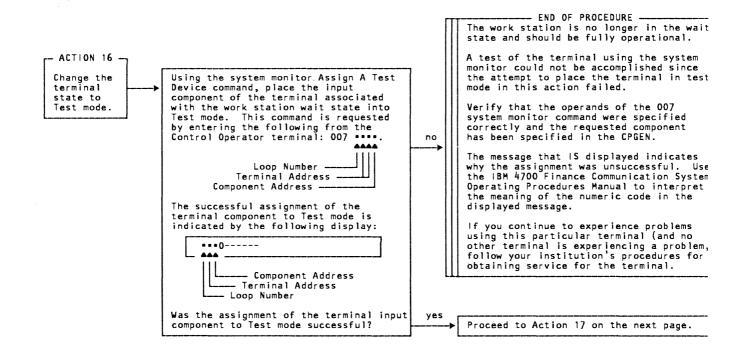
Action

Method of Analysis



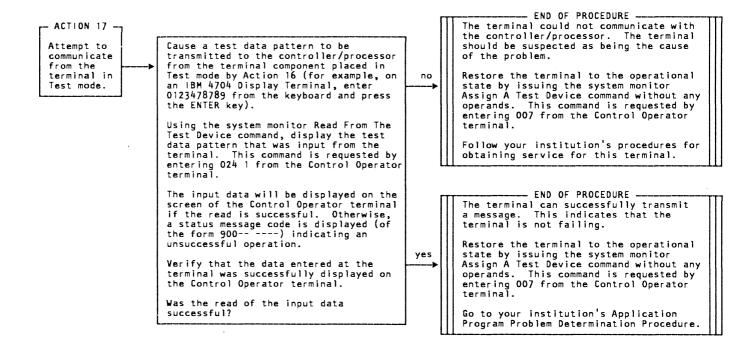
Action

Method of Analysis



Action

Method of Analysis



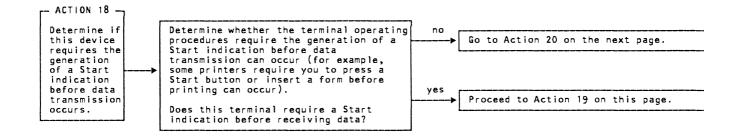
Action

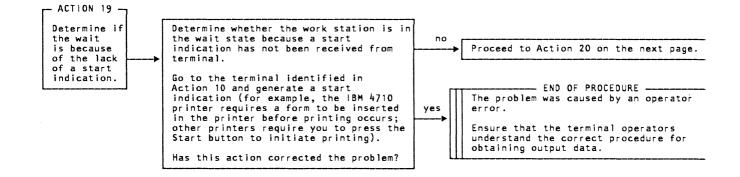
Method of Analysis

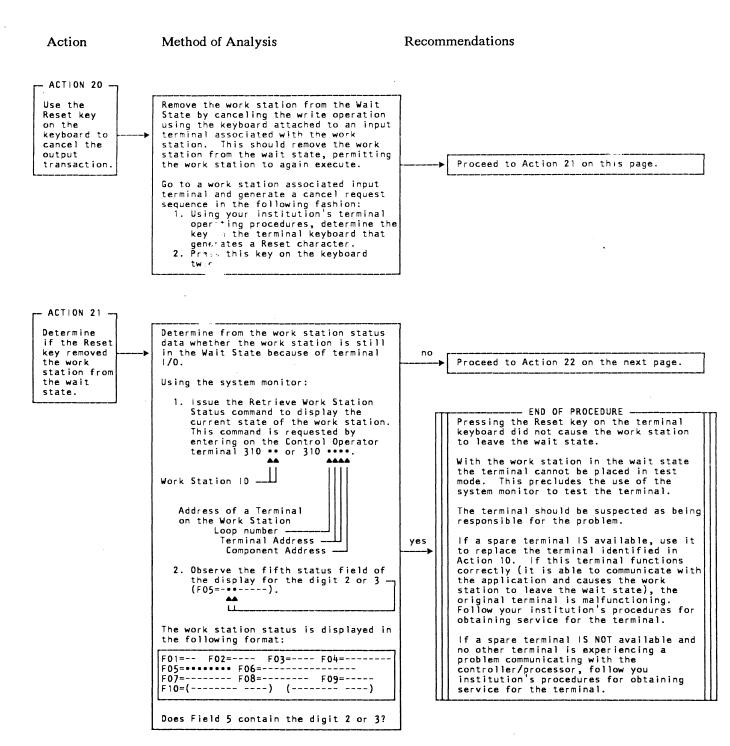
Recommendations

The last application instruction executed was a terminal directed output operation. We also know the address of the terminal.

We shall determine whether the non-completion of the I/O operation is the result of operator error, or is caused by a malfunctioning terminal.

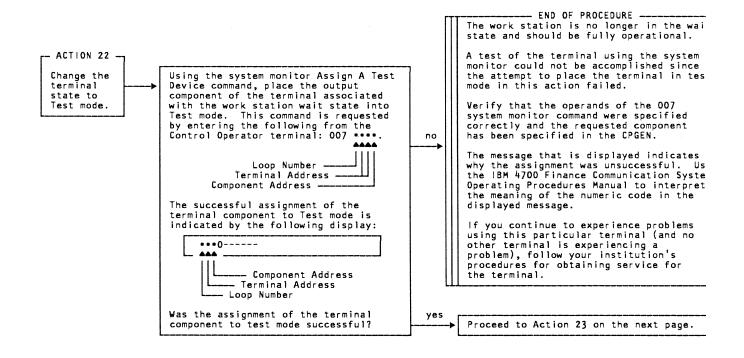






Action

Method of Analysis

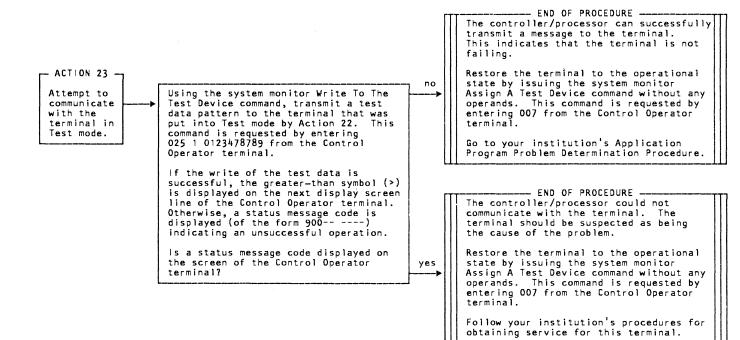




Action

Method of Analysis

Recommendations

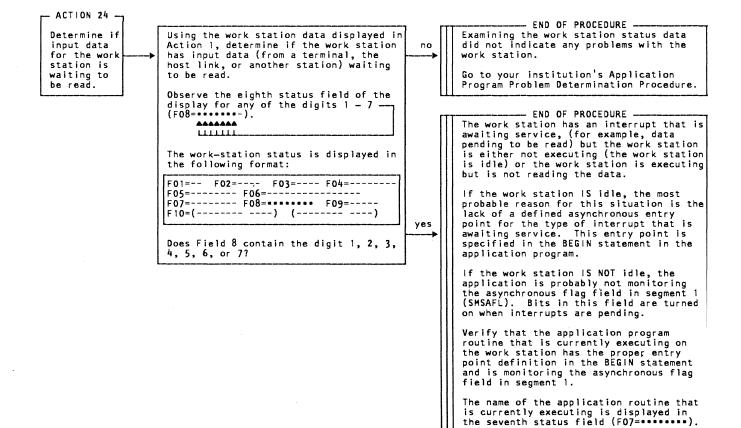


Subsytem Problem Determination Guide

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Action

Method of Analysis

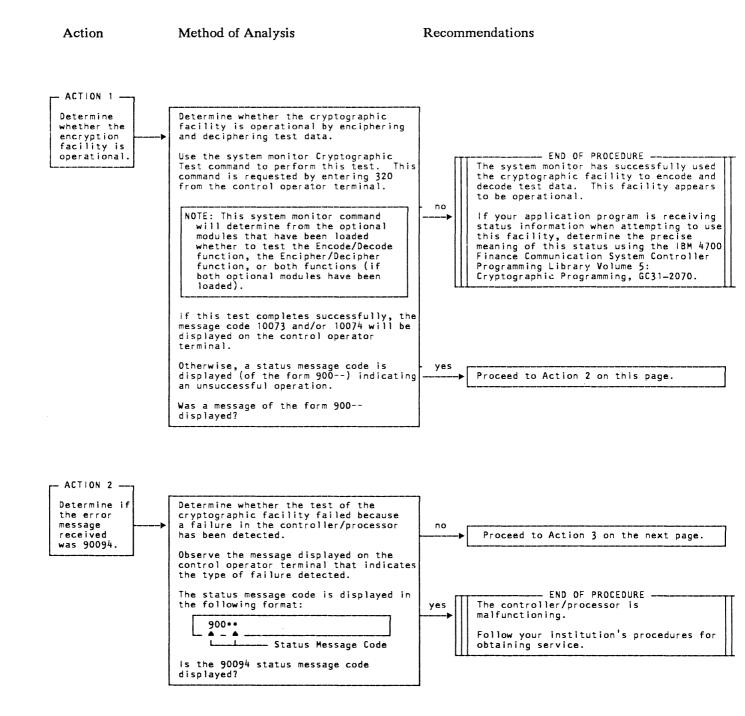


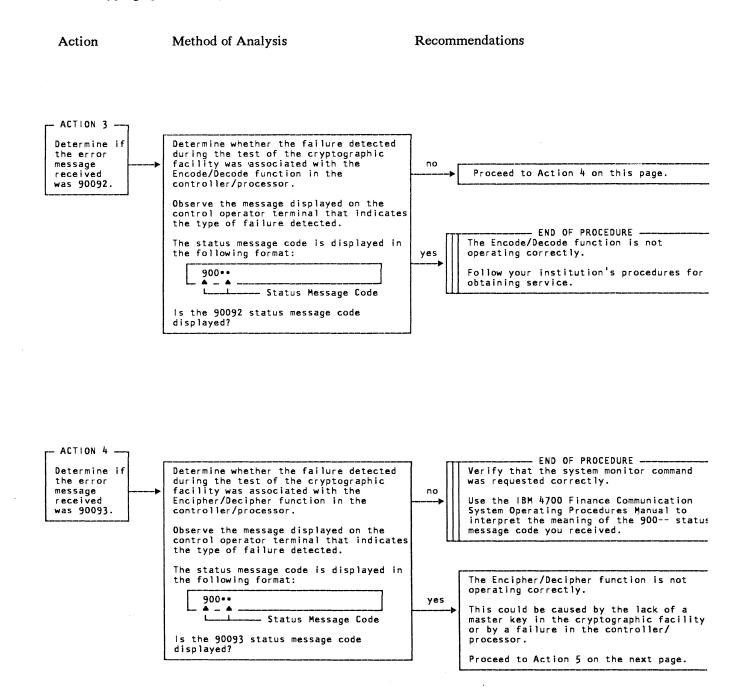
Chapter Seven

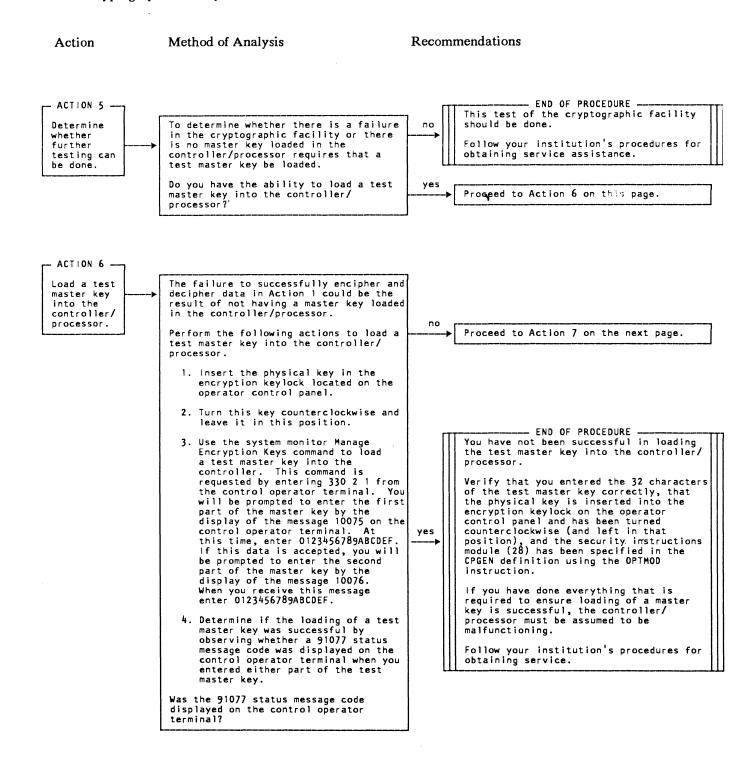
Cryptographic Facility Problem Determination Procedure

Chapter Seven

Cryptographic Facility Problem Determination Procedure

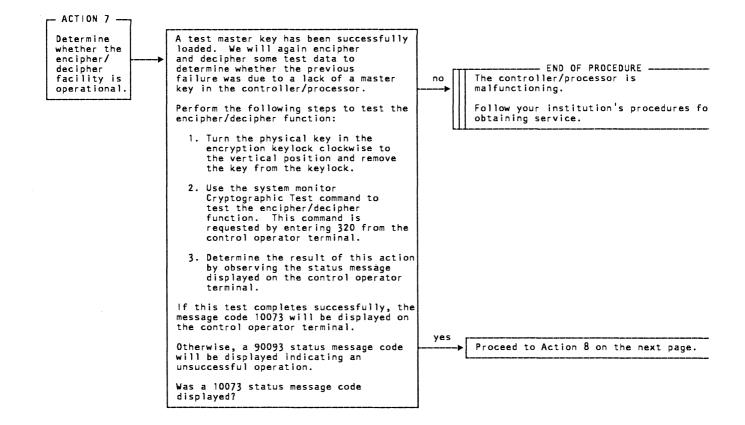






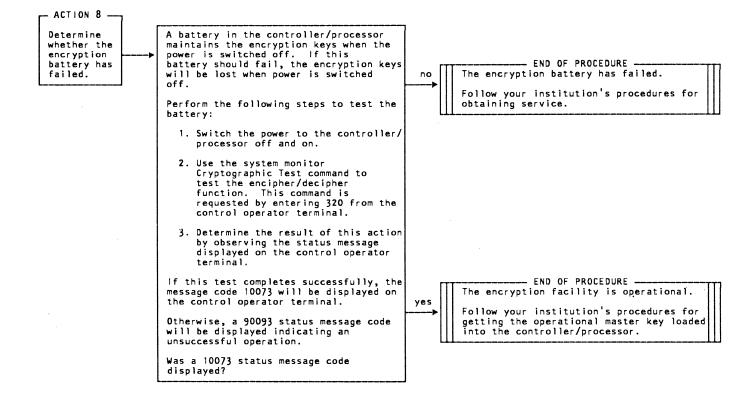
Action

Method of Analysis



Action

Method of Analysis



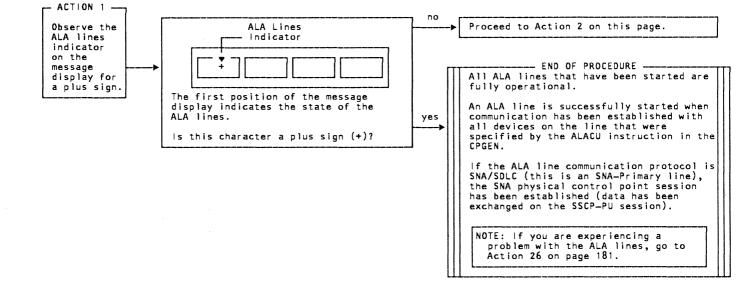
apter Eight Line Problem Determination Procedure

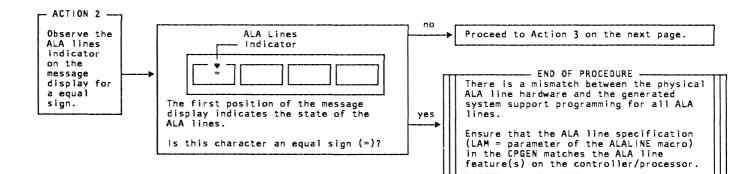
Chapter Eight ALA Line Problem Determination Procedure

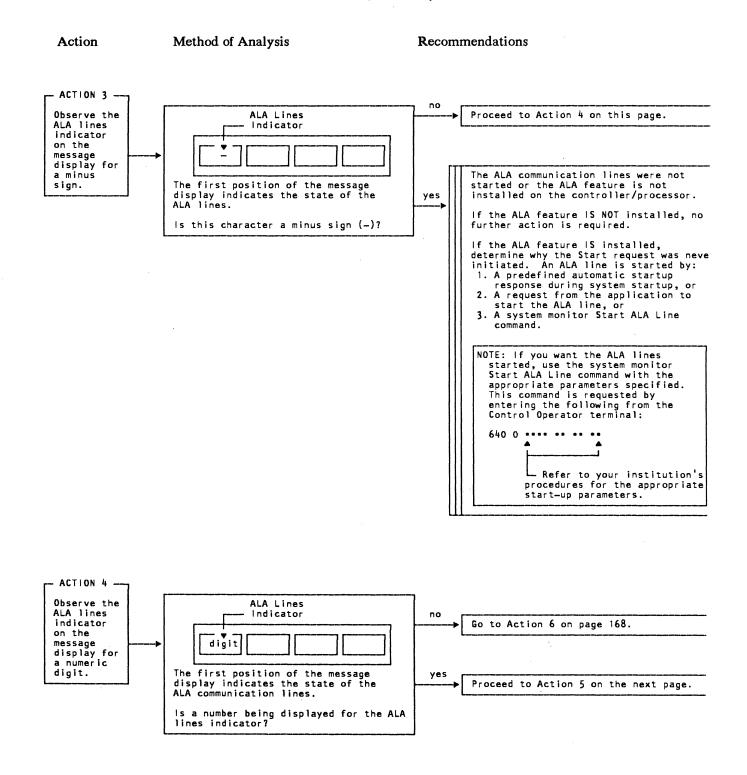
PDP07 – ALA Line Problem Determination Procedure

Action

Method of Analysis







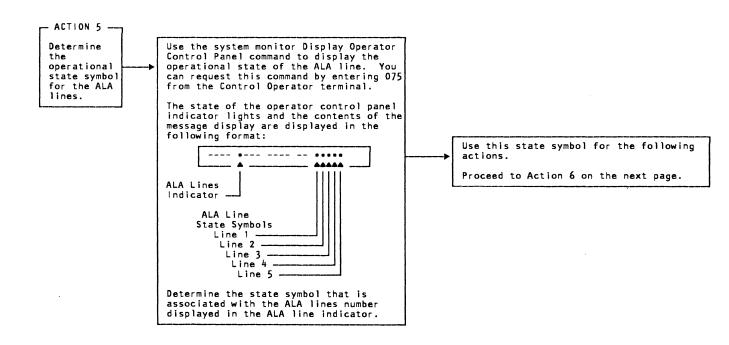
Action

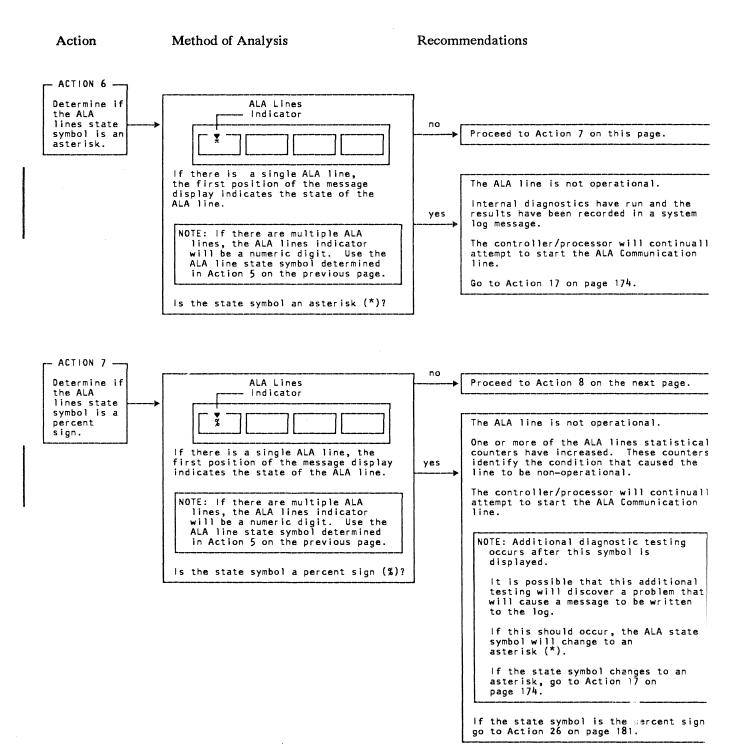
Method of Analysis

Recommendations

One of the multiple ALA lines on the controller/processor is not fully operational. The number of that ALA line is displayed in the ALA lines indicator position of the message display.

Action 5 will determine the operational state of the line by displaying the associated state symbol. This symbol will be referenced in the remainder of the procedure.

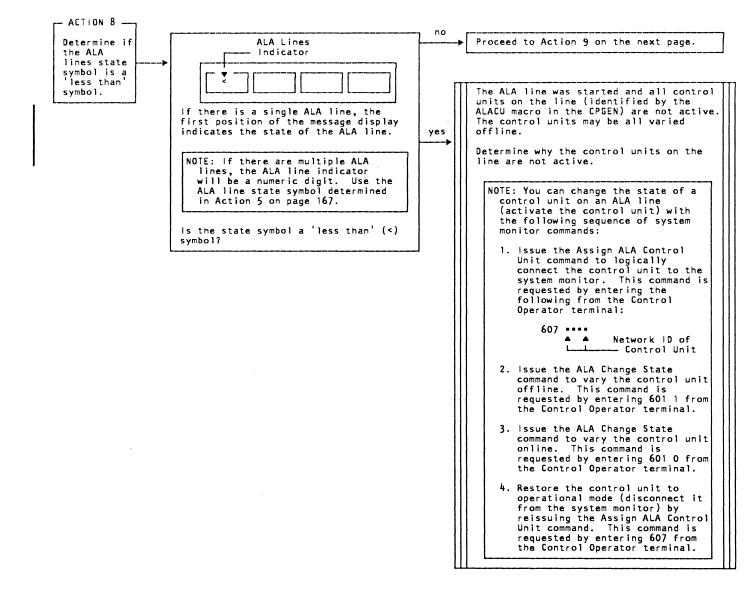


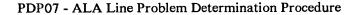


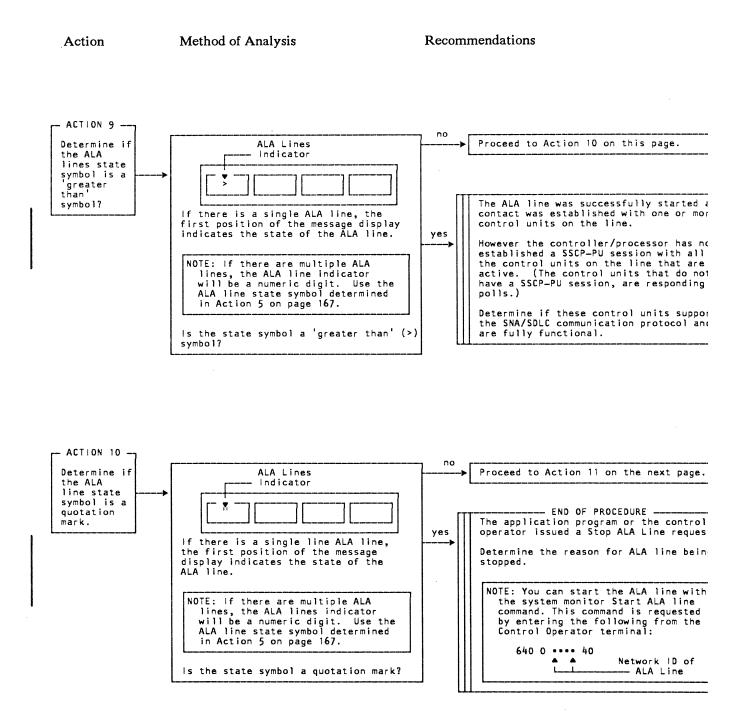
Action

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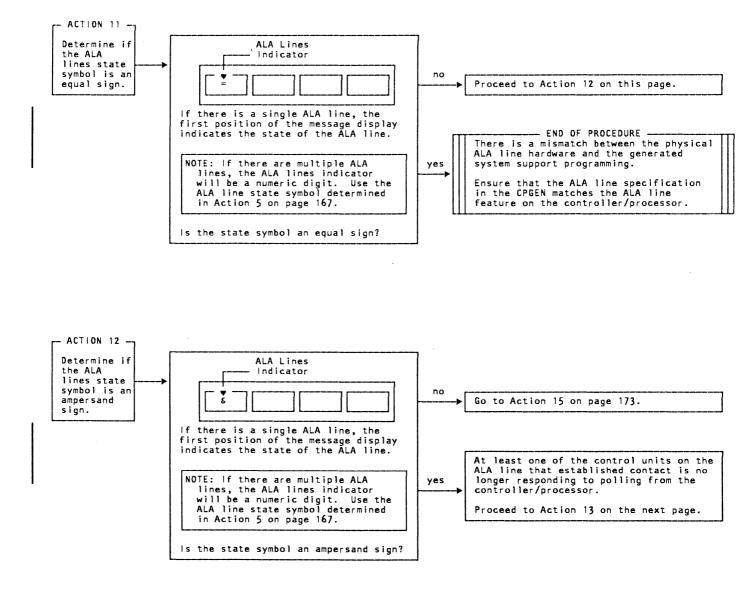


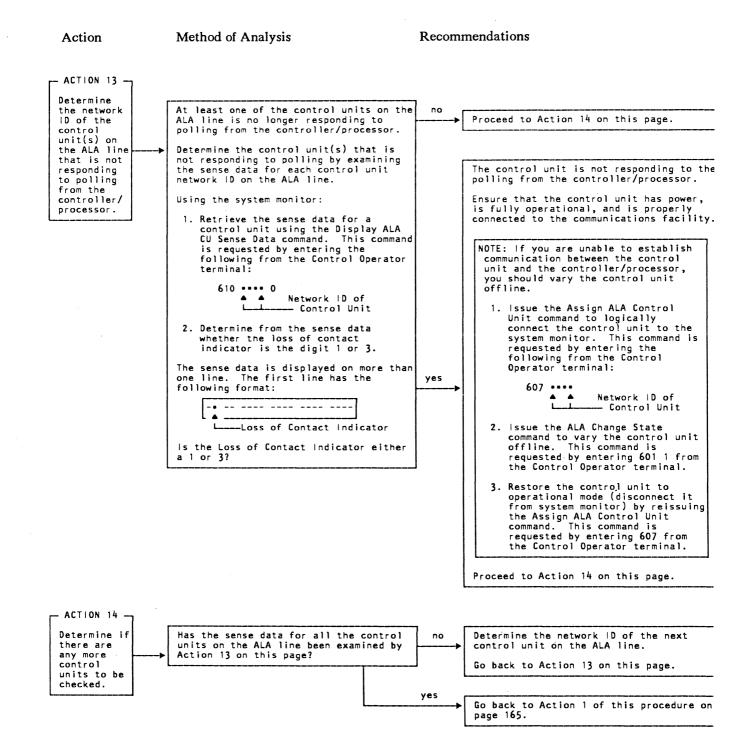


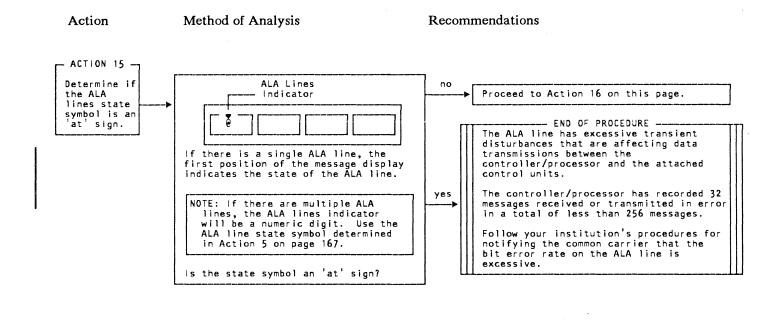
Action

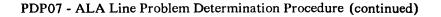
Method of Analysis

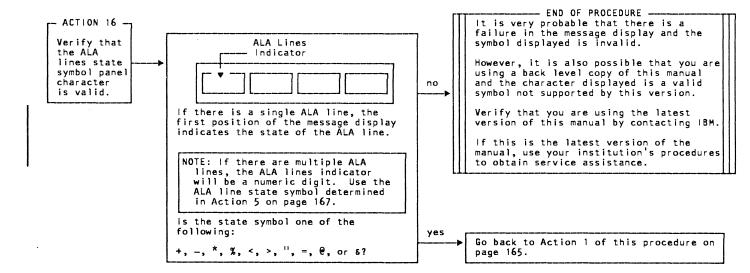
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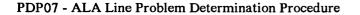


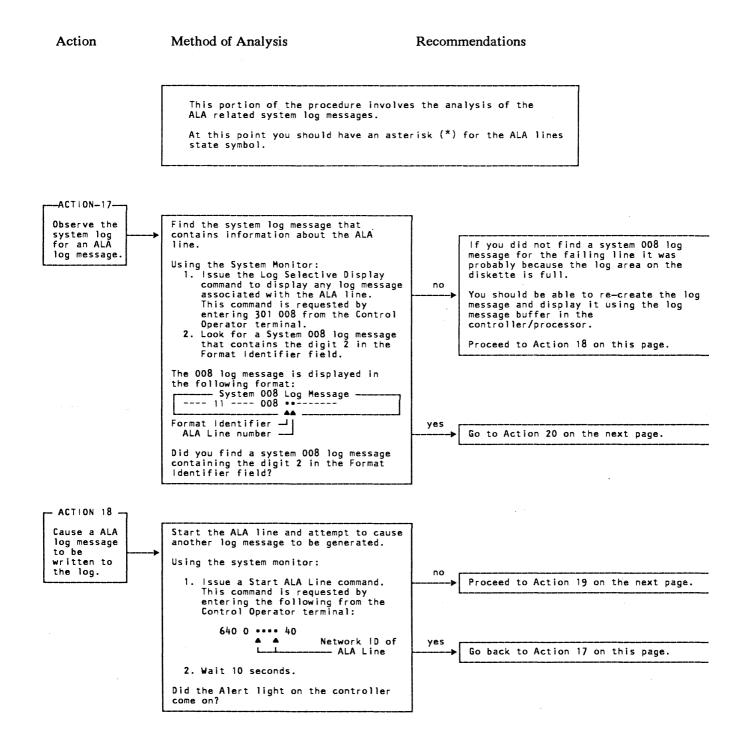


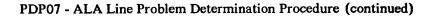


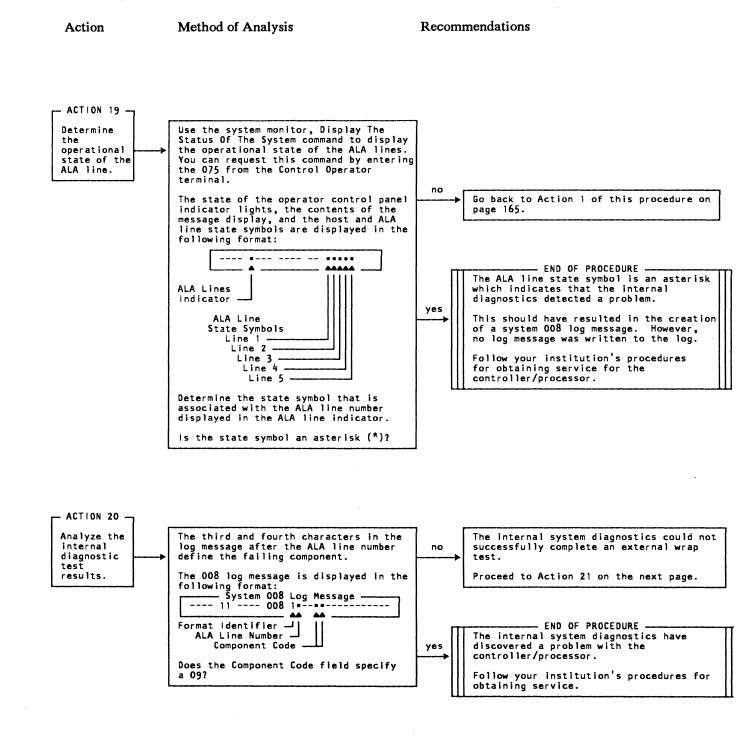


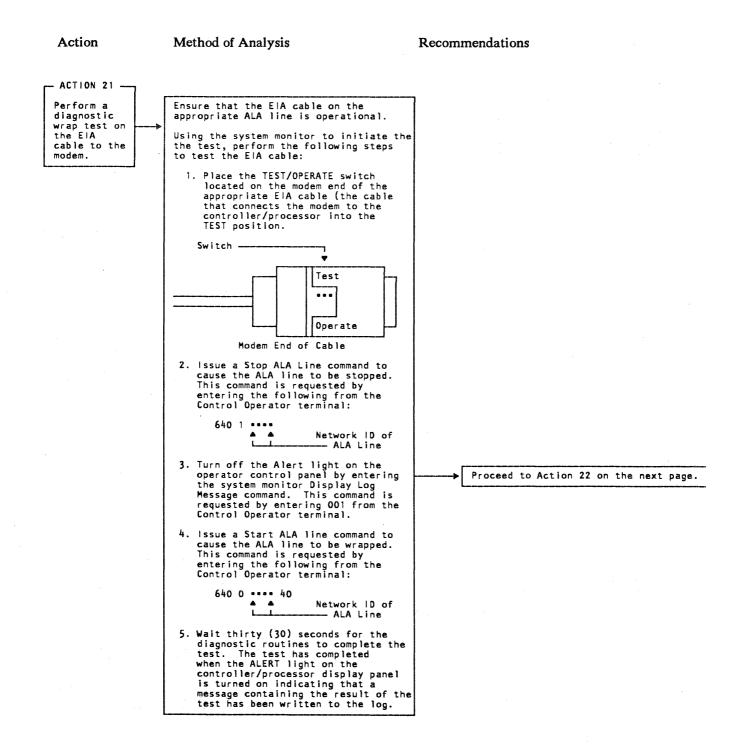










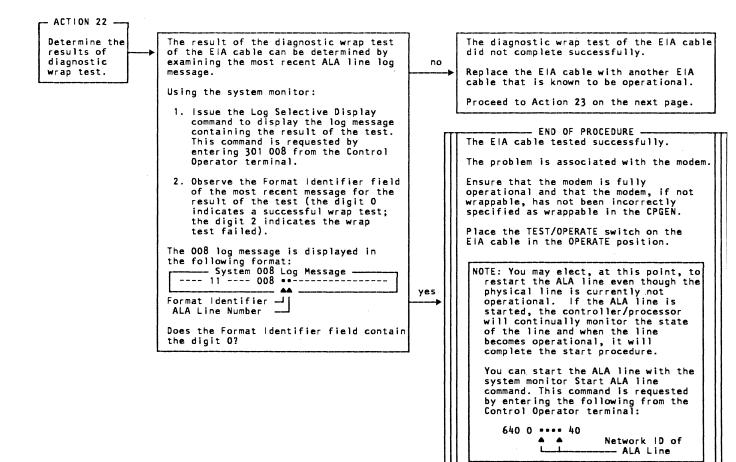


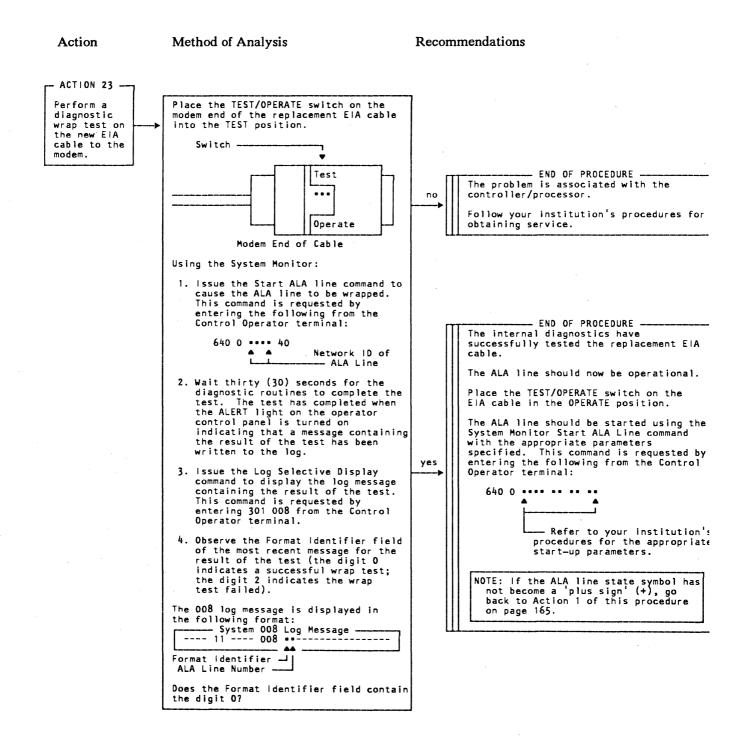
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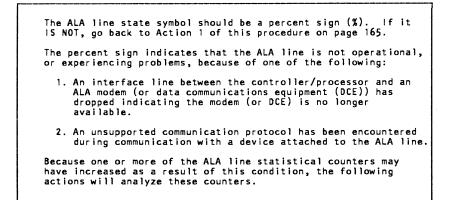


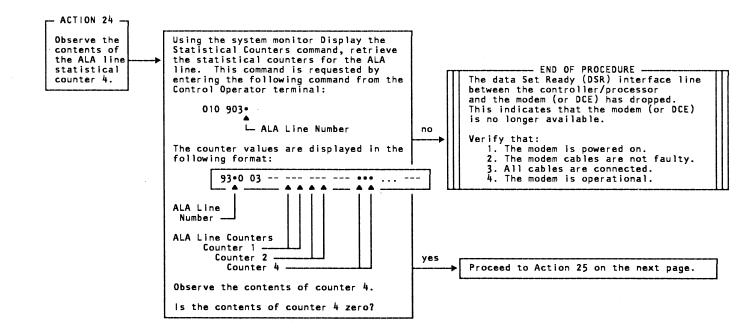


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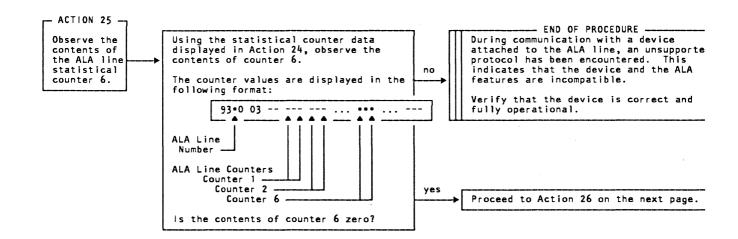


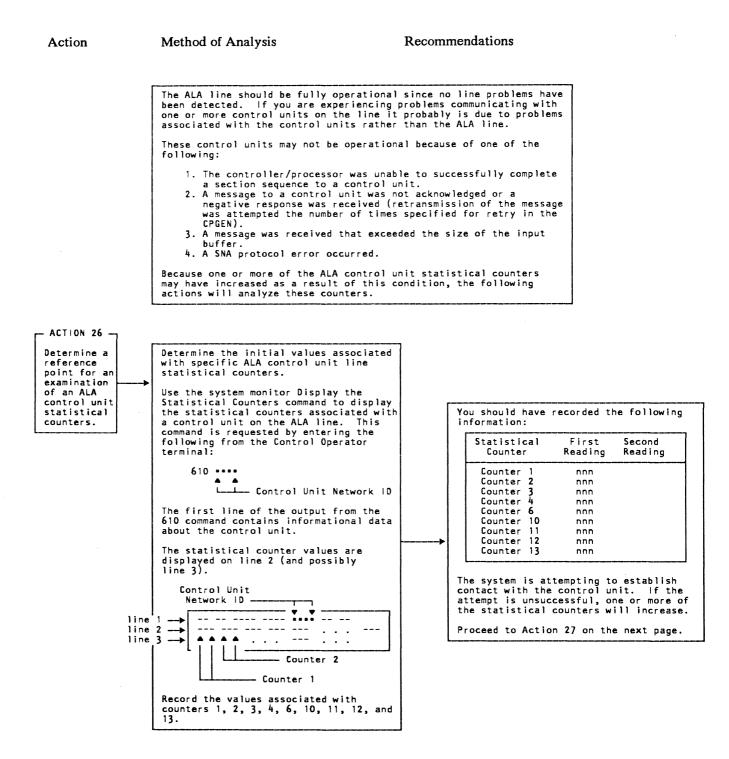


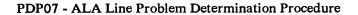
Action

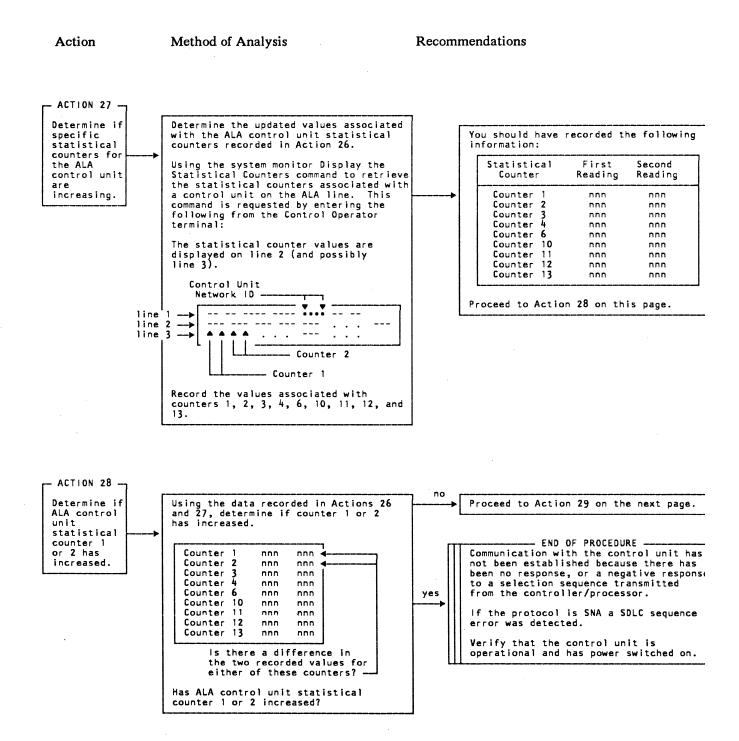
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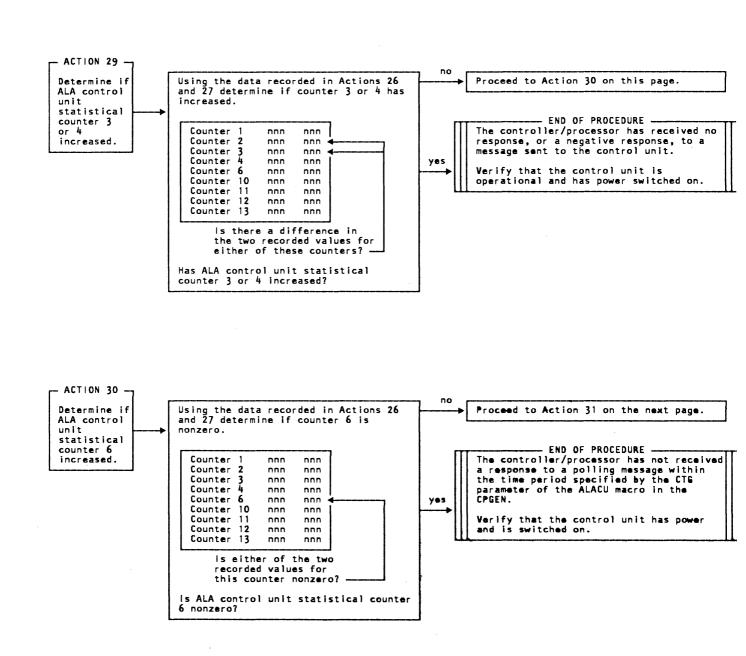
Recommendations









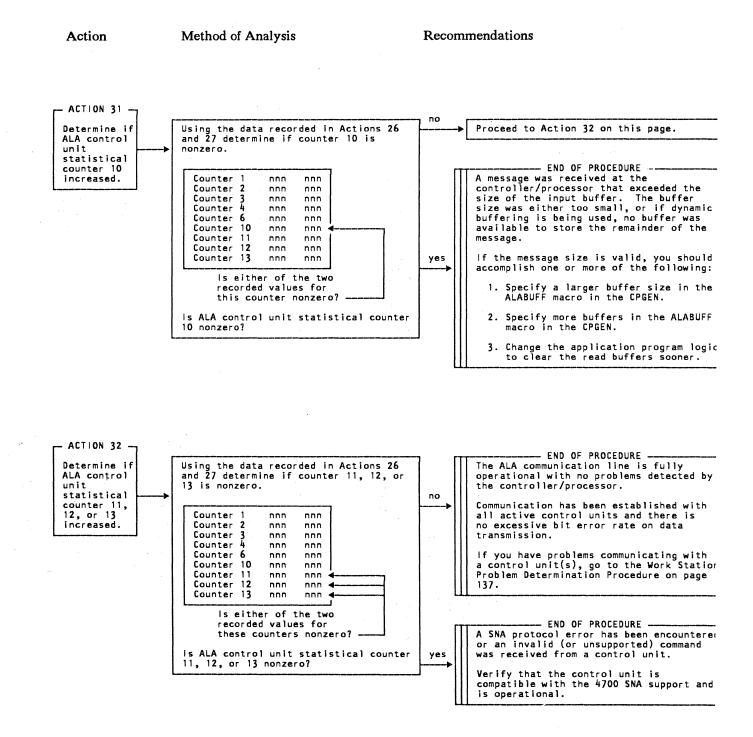


Recommendations

PDP07 - ALA Line Problem Determination Procedure (continued)

Method of Analysis

Action



Appendixes

Appendixes

Appendix One Description of the Finance Loop

Appendix One Description of the Finance Loop

IBM 4700 Finance Communication System

Finance Loop Interface

The finance loop is a communication medium used to exchange messages between the controller/processor and its associated terminals. The associated terminals are arranged on one or more loops (local and remote).

The controller/processor manages the message flow on the finance loop, and the terminals must observe the discipline imposed by it.

The finance loop interface is the logical and physical connection between a controller/processor or terminal and the physical loop. If the loop is remote, external modems connect it over a communication network.

The logical interface (connection) to the loop employs a strict line discipline to achieve a uniform flow of information over the loop. This flow consists of controls, commands, and data necessary to facilitate the message exchange.

The physical interface consists of the physical connection and such specifications as the signal levels and modulation techniques.

Loop speed is specified when the system is configured to accommodate the user's application and supporting terminals. Loop speed can be 1200, 2400, or 4800 bps.

Physical Loop Interface

The physical loop connects the terminals in a serial arrangement. The physical loop is a shielded pair of twisted conductors. Each active terminal on the loop acts as a regenerative repeater, reclocking and repowering the line signals that are received, and retransmitting them to the next terminal. If a terminal is powered off, the terminal's driver and receiver circuits are electrically and physically disconnected from the loop. If a terminal is removed from the loop, means must be provided to maintain the electrical continuity, such as through the use of a loop terminal port self-shorting outlet.

Each interconnecting cable segment used in the loop cabling of local loops or remote subloops can be as long as 2000 feet (610 meters). That is, the driving or redriving capability of the controller/processor and of each interconnected terminal is 2000 feet (610 meters). To provide this capability, an interconnected terminal must be powered-on. A powered-off terminal is automatically bypassed in a way that maintains loop continuity.

Logical Loop Interface

The logical loop interface uses a strict line discipline to control the message exchange between the controller/processor and the terminals. This line discipline provides techniques for synchronizing, transmitting and receiving messages, and error checking.

The loop is a one-way device that begins and ends at the controller/processor. The terminals are attached to the loop in a serial arrangement and must propagate the signals that are received to the next terminal on the loop. Signal propagation by each terminal eventually returns the signals to the controller/processor. The signals that are transmitted and propagated on the loop are the synchronization, command, and data bit patterns. Each terminal on the loop represents a one-bit delay in propagating the received bit patterns. By the time a terminal has assembled a complete bit pattern, the terminal has propagated all but the last bit that it has received from the loop.

Slot/Frame Format

Each bit pattern that is transmitted and propagated on the loop contains 18 bits and is called a slot. The slot is the basic transmission block and specifies a command, data, or a synchronization pattern. The bits within the slot are grouped in distinct fields. Seventeen slots make up a frame (see Figure 23).

The first slot of a frame is the synchronization bit pattern, and is called the framing slot. The other slots of the frame are identified by their relative position to the framing slot. Each terminal has at least one slot assigned to it. The assigned slot is determined by the terminal's base address (explained under "Addressing"). Additional slots may be allocated to a terminal by the execution of a Set Modulus command.

Terminal Addressing

Each terminal installed on the loop is assigned a distinct address (1-16), which is mechanically set as 0-15 (where 0 signifies address 16) in address switches within the terminal. This address is referred to as the base address, and identifies the corresponding slot that is dedicated to the terminal or group of terminals sharing slots.

The terminals on the loop identify their dedicated slots within the frame by the slot's relative position to the framing slot. The bit pattern of the framing slot is 11111110111111110. Each terminal assembles and passes on to the next terminal the slots that are received from the loop. When the framing slot is assembled and identified, the terminal counts the following slots to locate its dedicated slot. The dedicated slot is assembled and may contain commands or data.

When coming online (powered up), the terminal repeats the loop serial data, as received, and searches for the framing pattern. When two consecutive framing patterns are detected with proper spacing (17 slots), the terminal has established the in-sync condition. Each following framing pattern is inspected, and, if not valid, the above search is repeated. Each terminal on the loop represents a one-bit delay as a result of the time that is required to receive the bit and repeat it to the loop.

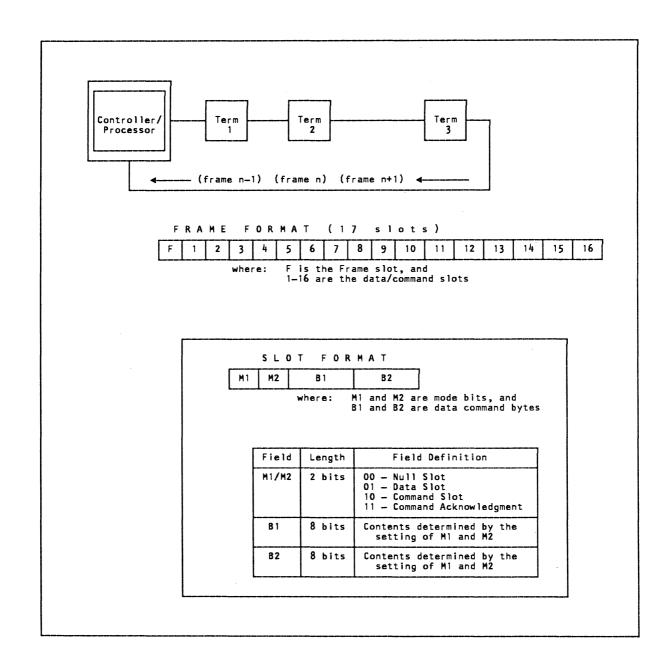


Figure 23. Finance Loop Frame and Slot Format

Component Addressing

A terminal can contain more than one component, such as the 4704 Display which could have three components (keyboard, display and magnetic stripe reader). Because these components use the terminal's dedicated and additionally allocated slots, they are assigned distinct addresses (component address). The component is specified in the Command slot format, as shown in Figure 24.

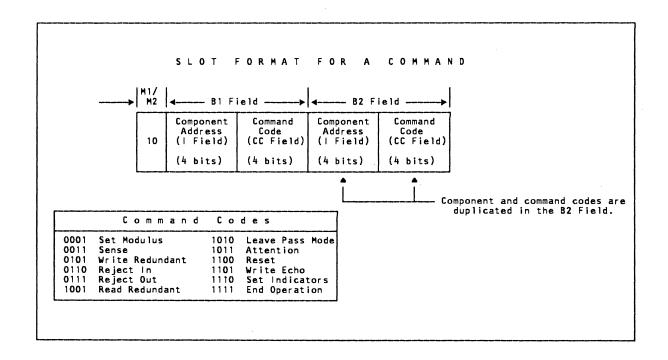


Figure 24. Format of a Command on the Loop

Command Issue

Command Issue falls into two categories: controller/processor-initiated commands and terminal-initiated commands.

To issue a command to a terminal, the controller/processor generates the necessary bit pattern to represent the command format (Mode field = 10), the component address (I field), and the command (CC fields).

The command pattern is serially presented to the loop in the designated terminal's slot. Assuming that the terminal has established synchronization (recognition of the framing slot), the terminal identifies and decodes its dedicated slot(s). If the terminal is not busy, it turns on the second mode bit (M2). When the slot returns, via the loop, the mode field of 11 indicates that the terminal has assembled the slot and was not busy. The B fields, which are duplicated in the command slot format, are compared by the terminal to detect a possible bit pick or drop during transmission. An unequal compare may indicate an invalid command or component address. If the comparison results are positive (equal compare of B1, B2), the command is executed. If the comparison results are negative (unequal compare of B1, B2), the command is treated as a No-Op (no operation is performed).

In summary, the conditions that must exist at the terminal for command execution are:

- 1. The terminal must be in synchronization (slot and frame).
- 2. The terminal is not busy (except for the Reset command which the terminal will always execute) attempting to transfer a previously received command to a component or complete the transfer of a Reject In or Attention command.
- 3. Fields B1 and B2 compare equally.

To issue a command, the terminal generates the necessary bit pattern to specify the command (as shown in Figure 19) and places this pattern in its dedicated slot(s). Upon receipt of this slot, the controller/processor decodes the command and initiates the respective action.

Address Sharing

Address sharing is a procedure for sharing loop capacity dynamically between different terminals, on a message or transaction (application program controlled) basis. Slot sharing increases the number of terminals that may be attached to the loop. There is, however, some increase in response time due to the resulting contention for the slots.

Address sharing is accomplished by the introduction of the Pass Mode terminal state. While in this state, the terminal does not respond to service requests from its components, but only monitors its slot group for commands which can place it into an Active or Idle state.

A terminal enters the Pass Mode state under the following conditions:

- 1. When power is turned on.
- 2. If, while awaiting an Attention echo, an Attention is received for a different component.
- 3. If, while in the Idle state or Active state, an Attention command is received.
- 4. If, while awaiting an Attention echo, or in an Idle state or Active state, a Read, Write, or Sense command is received for a component not attached to the terminal.

The terminal always retains a pending service request from a component. If the terminal is forced into a Pass Mode state, or is forced into an Active state through a Read, Write, or Sense command to an attached component, it presents the attention at the first opportunity.

For a terminal to leave the Pass Mode state, it must receive a Leave Pass Mode command, or receive a Read, Write, or Sense command addressed to the attached component. The issuing of this command is a controller/processor function that occurs: (1) periodically, when no activity is taking place, all terminals are idle, (2) following an echoed Attention command, and (3) after the completion of a Write function request of the Read operation.

The application program can be organized to allow a terminal to hold the slot group on a transaction basis by not 'reading' terminal I/O until ready to begin a new transaction. With some added complexity, the application program can be designed to use the slot loop capacity more efficiently by multiplexing terminal I/O on a message basis.

A necessary condition for terminals to share a slot is that each terminal has unique component address(es). A desirable characteristic is that all input and output on the loop be in burst mode.

Loop Capacity Limitations

The loop capacity is a function of the loop's speed and is described in terms of maximum slot rate. For example, a 1200-bps loop has a slot rate of approximately 62 data slots per second; a 2400-bps loop has a slot rate of 124 data slots per second (the frame slot is not considered a data slot).

When an input component is sending data to the controller/processor, a data byte is duplicated in the B fields of the slot. Therefore, its maximum byte rate is a function of the loop's slot rate and the number of slots, within the frames, that are allocated to the terminal. If additional slots are not allocated within a frame, the byte rate equals the slot rate.

When the controller/processor is sending data to a terminal under control of a Write Redundant command, the byte rate is a function of the loop slot rate and the number of slots allocated within the frames. If data is sent under control of a Write Echo command, the byte rate is doubled because each B field contains a separate byte.

When sending data to a terminal under control of a Write Echo command, each slot must be returned for comparison of the B fields before the next data slot can be sent. Because of the delay in the loop and slot process time, consecutive slots cannot be allocated to the terminal.

Controller/Processor-Initiated Commands

Set Indicators

This command is used to set/reset, under program control, up to four indicators. The setting/resetting is determined by the contents of the I field of the command slot. If an I field bit is on, its corresponding indicator on the component comes on. If the I field bit is off, the indicator is off.

Set Modulus

This command allocates additional slots to a terminal to accommodate the byte rate of its attached components. The I field of the Set Modulus command contains the modulus value that the terminal uses to identify the additionally allocated slots.

The terminal, upon receipt of the Set Modulus command, sets the modulus value in a register and increases the base address value to identify the additionally allocated slots. For example, the allocation of seven additional slots is accomplished by issuing a Set Modulus command with a modulus value of 2. Assuming a base address of 1, slots 3, 5, 7, 9, 11, 13, and 15 are allocated as a result of the execution of the Set Modulus command. Because the modulus value is 2 in the above example, every other slot is allocated to the terminal, which is the maximum allocation because of loop delay and slot process time. This command is always executed (even in Pass Mode state).

Read Redundant

This command initiates data transfers from the terminals. Upon receipt of this command, the terminal returns a positive acknowledgment (M2 set to a 1) if it is in synchronization and not busy. If the B fields compare equally, the component is selected and a byte of data is read. This byte is inserted in the B1 and B2 fields of the next slot that is allocated to this terminal if the M1 bit of that slot is a 0. If the M1 bit is a 1, signifying a command, the byte is not sent, and the terminal responds to the command. The component continues to read bytes and inserts them into the B fields of the terminal's allocated slots. If the component does not have a byte to send, a Null bit pattern is inserted in the B fields.

After receiving the data slots from the terminal, the controller/processor compares the B fields to determine if any bits were picked/dropped (distorted) during transmission. If the comparison results are negative (B1 not equal to B2), a Reject command initiates resending of the byte from the terminal. The data transfer continues until the End Operation command.

Write Redundant

This command writes Read Redundant data from an input component to an output component. Use this command only with a Read Redundant command, its purpose is to provide a visual means of checking the Read Redundant (input) data.

When operating under control of a Write Redundant command, both components, input and output, share the same slots. The terminal inserts each byte of input data in duplicate in the B fields of the slot, and the output component receives each byte from the B fields.

Write Echo

The B fields of the associated data slots do not contain duplicate data. Each B field contains a separate byte and, therefore, the byte rate is twice the slot rate. If a message contains an odd number of bytes, the B2 field of the last slot of data contains a component No-Op.

Upon receiving the data slots from the terminal, the controller/processor determines whether the B2 byte was inverted (signifying that the terminal accepted the slot). If the B2 byte was not inverted, the slot contents to the terminal are transmitted.

If the B2 byte was inverted, the controller/processor re-inverts the byte and then compares the received data with the transmitted data to determine if any bits were picked/dropped (distorted) during transmission. If the comparison results are negative (received data does not compare with transmitted data), the controller/processor sends a Reject command and then retransmits the slot contents to the terminal. The data transfer continues until the End Operation command.

Sense

	This command obtains status information from the terminal components. The operation of this command is identical with that for the Read Redundant command, with the exception that status information, instead of characters, is returned to the controller/processor.
	The terminal, after successful recognition and acknowledgement of this command, inserts status information in each allocated slot until all status has been sent. The status information is sent in duplicate in the B fields. After all status has been received, an End Operation command is sent.
Reject Out	
	This command is issued by the controller/processor to signal non-acceptance of input data to the terminal. Non-acceptance of terminal input is determined by the B field compare and is described under Read Redundant and Write Echo commands.
Reset	
	The execution of this command is equivalent to a power-on reset of the terminal and components. This command is always executed when recognized by the terminal. If the terminal is busy upon receipt of this command, the M2 bit in the command is not set on.
End Operation	
	This command deselects the specified component. Before deselecting a Write Selected component, the terminal transfers the buffered data to the component.
Leave Pass Mode	
	This command causes all components associated with the slot group, that are in the Pass Mode state, to enter the Idle state. If a terminal had received a service request prior to being placed in the Pass Mode state, the terminal attempts to present the Attention upon entering the Idle state.

Terminal-Initiated Commands

Attention

The terminal sends this command when it receives an indication from a deselected component that requires an information transfer (data or status). The terminal honors the request if it is not busy, not in Pass Mode, or does not have a component selected under control of a Write Echo command. The request is held pending if any of the above conditions exist. The terminal sends the Attention command in each dedicated or allocated slot that does not have the M1 bit on. The terminal continues to send this command until it is acknowledged (echoed to the terminal) or reset by the controller/processor.

Reject In

This command is issued by the terminal to signal non-acceptance of data that is sent from the controller/processor. Non-acceptance of data is determined by the B field compare in the terminal.

This command is associated with the Write Redundant command.

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Appendix Two Description of the Device Cluster Adapter

Appendix Two Description of the Device Cluster Adapter

Device Cluster Adapter (DCA) Interface

The Device Cluster Adapter (DCA) is a halfword cycle steal adapter that provides a communication path from the controller/processor to the coaxial interface to display and printer terminals that support that connection.

The DCA provides certain support functions as well as providing a communication path between terminals and the controller/processor. Asynchronous polling of attached terminals for inputs or errors is performed by the adapter.

To support multiple terminals, the DCA uses the cycle steal facility to transfer data to and from the controller/processor storage. Multiple storage queues enable the DCA to operate asynchronously while maintaining data integrity and fast response. These queues, and their associated register pointers, are used by the DCA through cycle steal operations.

DCA/Terminal Interface

Data to be transmitted from the DCA to a device or from a device to the DCA is carried on a single coaxial line. The coaxial type is RG62AU with a maximum length of 1.5 kilometers (4921 feet). Data is transmitted in a bit serial fashion using a binary Dipulse technique at 2.3587 million bits per second.

The communication protocol uses 12-bit words for the transmission of data across the coaxial connection. The first bit of the word is used to delimit successive words on the interface and is referred to as the "Synch bit". This bit is always at the one (1) state. The last bit of the word is the parity bit which is used to maintain even parity for the word. Word groups may be contiguous and, in this case, the Synch bit of a transmitted word must directly follow the parity bit of the preceding word with no intervening pad bits.

A word from the DCA to the device will be either a command or data. A command word contains an address portion and a command portion. The address portion of a command word is three (3) bits long (bits 2, 3, and 4) when addressing a base device and four bits long (bits 2, 3, 4, and 5) when addressing a feature of the base device. This provides for a five-bit command code to the base device (bits 5, 6, 7, 8, and 9) and a four-bit command code to a feature (bits 6, 7, 8, and 9).

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Appendix Three Description of Alternative Line Attachment

Appendix Three Description of Alternative Line Attachment

Alternative Line Attachment

Alternative Line Attachment (ALA) is a feature of the 4700 system that provides another method of connecting devices to the controller/processor (in addition to loop and DCA connection). It permits half-duplex, multipoint connection of devices and supports Start/Stop and Synchronous Data Link Control (SDLC) communication protocols.

<u>Multipoint</u> refers to the scheduled allocation of the communication facility using a polling technique that permits multiple terminals to co-exist on the line. <u>Half-duplex</u> means that data can be either sent or received on the facility but not both simultaneously.

ALA Polling Technique

The polling technique used with ALA is Round Robin polling. Each entry in the polling list is polled one during each pass through the list. Polling on an ALA line is started automatically after the line is started assuming that at least one read buffer is available and at least one device on the line has been varied online.

ALA maintains a write over read priority, meaning that if a write request is pending at the start of a polling operation the message is sent to the device before it is polled. If no write request is present, the polling cycle is continued.

ALA provides both a normal and a slow poll mode. A device is effectively removed from the normal poll list and is placed in slow poll mode if loss of contact is detected during a polling sequence. A device in slow poll mode is polled once for every 'n' passes through the normal poll list ('n' is specified in the CPGEN). The device is returned to the normal poll list when contact has been established.

Input Message Buffering

Although messages are written directly from a user's segment, data is read into intermediate buffers defined for each ALA line. You must define the number and size of the buffers during CPGEN as well as the type of buffer allocation scheme to be used in operations.

If you specify single buffer allocation in the ALALINE macro of the CPGEN, only one buffer will be used to hold an input message. Therefore, you should specify the buffer size as a value equal to or greater than the length of the largest input message from the ALA device. If the message does not fit into a single buffer, an overflow condition occurs and data is lost.

Dynamic buffer allocation, on the other hand, implies the use of multiple buffers. If an incoming message is too long to be contained in a single buffer, ALA obtains additional buffers to hold the input message. If insufficient buffers are available, a buffer overflow occurs and data is lost.

Systems Network Architecture - Primary

Systems Network Architecture - Primary (SNA-Primary), is one of the ALA supported protocols and provides support for SNA type terminals that will connect to the controller/processor. This communications protocol uses the SDLC line discipline.

SNA support is provided when the ALA line is operating in Message Routing mode. When the ALA line is operating in Native mode, direct access to the SDLC line discipline is possible allowing other communication protocols to be used. The application program, when operating in Native mode, has the responsibility for managing the details of the protocol being used.

Physical Unit Types

SNA-Primary supports both Type 1 and Type 2 Physical Unit types and provides the following support:

- 1. Transmission Subsystem (TS) Profile 2, 3, 4, or 7 (defined when the session becomes active).
- 2. Function Management (FM) Profile 2, 3, 4, 7, or 18 (defined when the session becomes active).

SNA-Primary Polling Technique

A normal poll sequence consists of a Receive Ready (RR) command with the poll bit set; however, when an I-Frame is to be set, the I-Frame itself carries the poll flag. Only one I-Frame can be sent to a control unit before a confirmation is requested. This is accomplished by setting the poll flag in the I-Frame before transmission. When the control unit responds with either an I-Frame or SDLC response, acceptance of the message is determined.

For initial contact, a Set Normal Response Mode (SNRM) command is used. If, after initial contact, an out-of-buffers condition is encountered, polling continues with the Receive Not Ready (RNR) command. This causes the terminal to remain active but does not allow the terminal to send data.

When using dynamic buffer allocation and insufficient buffers are available to contain the input message, the SDLC I-Frame is not acknowledged. This causes retransmission of the message by the associated terminal. If the insufficient buffer condition persists on this message transmission for the number of retries specified in the CPGEN, data will be lost.

Appendix Four Loop Layout Diagram

Appendix Four Loop Layout Diagram

LOOP LAYOUT DIAGRAM Prepared by: Date:					Controller/Processor ID: Loop Number: Location: Communication Facility ID:			
Building	Floor	Column	Extension	Facility D				
			•					
								
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IBM 4700 Finance Communication System

IBM 4700 Finance Communication System Subsystem Problem Determination Guide Order No. GC31-2033-3

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